

# **Description**

## **Magazine-Based Data Cartridge Library**

### **CROSS REFERENCE TO RELATED APPLICATIONS**

[0001] The present application is a continuation-in-part application of U.S. patent application serial number 09/682,800, filed on October 19, 2001, which is incorporated in its entirety herein by reference.

### **FIELD OF THE INVENTION**

[0002] The present invention is directed to a data cartridge library that is useful in storing data on a recording medium located in a cartridge and/or retrieving data from such a recording medium.

### **BACKGROUND OF THE INVENTION**

[0003] Presently, data cartridge libraries are primarily used to archive data, i.e., store data that is not immediately needed by the host computer, and provide archived data to the host computer when the data is needed. To elaborate, the typical data cartridge library receives data from a host computer and causes the data to be stored or recorded on the recording medium located in one or more data cartridges. When the host computer requires some of the data that was previously stored in a data cartridge, a request for the data is sent from the host computer to the library. In response, the library identifies the data cartridge(s) in

which the desired data is located, retrieves the data from the recording medium within the cartridge(s), and transmits the retrieved data to the host computer system.

[0004] Presently, most data cartridge libraries are comprised of: (a) a plurality of storage slots for holding data cartridges with the slots being in fixed locations during operation of the library; (b) one or more drives that are each capable of writing data onto the recording medium located in a data cartridge and/or reading data from the recording medium located in a data cartridge; (c) a cartridge picker device that is capable of moving individual data cartridges between the slots and the drives; and (d) an interface for receiving data from and transmitting data to a host computer. Such libraries are considered to be "cartridge-based" data cartridge libraries (hereinafter referred to as cartridge-based libraries) because, during operation of the library, the movement of cartridges to and from the fixed storage slots is accomplished solely by a cartridge picker device that transports individual cartridges. Generally, the operation of a "cartridge-based" library involves using the cartridge picker device: (a) to move a cartridge from a fixed storage slot to a drive so that data can be either written on to or read from the recording medium in a cartridge; and (b) to move a cartridge from a drive to a storage slot.

[0005] One approach to implementing storage slots in a "cartridge-based" library is to create a wall with a number of fixed slots. This approach has a distinct drawback when adding cartridges to the library and

removing cartridges from the library. Namely, the fixed slots require the cartridges to be loaded into the slots one at a time and removed from the slots one at a time. This drawback is particularly acute in situations in which a library has a large number of slots and a large number of cartridges either needed to be inserted into or removed from the slots. An example of such a situation is when the library is being initially populated with cartridges. In such situations, an operator typically powers down the library and inserts the cartridges into the slots by hand.

[0006]

Another approach to the implementation of storage slots in a "cartridge-based" library involves the use of a data cartridge magazine that an operator can mount or de-mount from a wall in the library. Typically, the magazine is a box-like structure that has an open side through which cartridges can be inserted into and removed from the magazine, a partitioning structure that defines a number of slots for holding data cartridges, and a mounting structure that allows the magazine to be mounted and de-mounted from a wall in the library. A significant advantage of the magazine approach is that an operator, by manipulating magazines rather than individual cartridges, is able to more quickly insert a large number of cartridges into a library and more quickly remove a large number of cartridges from a library. However, regardless of whether cartridges are being inserted into or removed from the library, the operator is still typically required to power-down the library or otherwise disable the cartridge picker device to avoid being

injured by the device during the mounting or de-mounting of a magazine.

[0007]

In many instances, only one data cartridge needs to be either inserted into or extracted from a "cartridge-based" library. In these instances, the powering down of the library or disabling of the cartridge picker device so that an operator can insert or extract the cartridge is undesirable. To address this situation, many "cartridge-based" libraries employ an entry/exit port that allows an operator to insert a single data cartridge into the library and extract a single data cartridge from the library without being exposed to the cartridge picker device. Because the operator is not exposed to the cartridge picker device, the library does not need to be powered down or the cartridge picker device disabled during the insertion or removal operation. Generally, the entry/exit port is comprised of a slot for holding a data cartridge and a device that places the slot in one of two states. In the first state, the slot of the entry port is exposed to the environment exterior to the library such that an operator can access the slot. When the slot is in the first state, the exit/entry port substantially prevents an operator from accessing the interior of the library by way of the slot and, as a consequence, prevents the operator from being exposed to injury by the cartridge picker device. In the second state, the slot of the entry/exit port is exposed to the interior of the library such that the cartridge picker device is able to access the slot. When the slot is in the second state, the entry/exit port prevents an operator from accessing the

interior of the library via the slot. For the insertion of a data cartridge into the library, the slot is placed in the first state and the operator inserts a data cartridge into the slot. The slot is then placed in the second state to make the cartridge available to the cartridge picker device for placement elsewhere in the library. For the extraction of a data cartridge, the slot is placed in the second state and the cartridge picker device places a cartridge in the slot. The slot is then placed in the first state to make the cartridge available to an operator for removal.

[0008]

Entry/exit ports that provide the ability to insert multiple data cartridges en masse into a library and extract multiple data cartridges en masse from a library are also known. Such exit/entry ports are typically employed in libraries that have a relatively high number of slots and are used in a manner in which the insertion and/or extraction of several cartridges at a time is desirable but the powering down or disabling of the cartridge picker device to allow a manual insertion/extraction of the cartridges is undesirable. For these types of libraries, the operator is effectively limited to inserting and extracting data cartridges via the entry/exit port. To provide the operator with the ability to insert or extract several cartridges at a time, the entry/exit port has multiple slots that form a fixed part of the port. Alternatively, an entry/exit port is provided that allows a magazine with multiple slots to be inserted into the port by the operator. With respect to this type of entry/exit port, insertion of multiple cartridges into the library is accomplished by placing the port in a first state so that an operator can either: (a)

populate a magazine that is already present in the port with multiple cartridges, or (b) place a magazine and accompanying cartridges into the port. The port is then placed in a second state so that the cartridge picker device can individually move each of the cartridges in the magazine to other locations within the library. To extract multiple cartridges, the port is placed in the second state and the cartridge picker device individually moves cartridges from various locations in the library to the magazine. After all of the cartridges that are to be extracted have been placed in the magazine, the port is placed in the first state. Once in the first state, an operator can either individually remove cartridges from the magazine or remove the magazine from the port.

[0009]

In many applications, the user of a cartridge-based library has a current need for a library with a particular data cartridge storage capacity and/or a particular number of drives but anticipates that there will be a future need for a library with a greater data cartridge capacity and/or greater number of drives. To address this situation, cartridge-based libraries have been developed that allow the user to initially acquire a library that satisfies the current needs and when future needs exceed the data cartridge and/or drive capacity of the library, allow the user to expand the library. Certain cartridge-based libraries that have this expansion capability expand the library with a unit or units that expand the library horizontally. Other cartridge-based libraries expand the library in a vertical fashion. In either case, such cartridge based libraries

are in many cases able to pass cartridges between the original library and the expansion units by expanding the cartridge picker system.

[0010] In certain cartridge-based libraries, the use of expansion units that provide greater data cartridge storage capacity and/or a greater number of drives and an expandable cartridge picker system is not practicable. For instance, the use of expansion units and an expandable cartridge picker system is typically not practicable for libraries that employ a cartridge picker system that traverses a circular path, which are sometimes referred to as "silo" libraries. Nonetheless, in many situations, there is a need to transfer cartridges between libraries for which the expansion approach is impracticable and to do so automatically, rather than transfer a cartridge out of one library by its entry/exit port and then have an operator transfer the cartridge to the entry/exit port of the other library. Consequently, cartridge pass-through ports have been developed that allow one library to automatically transfer a cartridge to another library, i.e., without operator assistance.

## SUMMARY OF THE INVENTION

[0011]

The present invention is directed to a "magazine-based" data cartridge library that is capable of moving data cartridge magazines within the library, as well as moving individual cartridges within the library. A magazine-based data cartridge library (hereinafter referred to as a magazine-based library) is comprised of: (a) one or more shelves that are each capable of holding one or more data cartridge magazines and allowing the one or more data cartridge magazines to be moved to and

from the shelf system by a robotic device; (b) one or more drives that are each capable of reading data from and/or writing data to a recording medium located in a data cartridge; (c) a magazine transport device for moving a magazine within the library, including the movement of a magazine to and from the shelf system; (d) a cartridge transport for moving data cartridges between a magazine that is moveable within the library and one or more drives that are located in the library; and (e) an interface for receiving data from and transmitting data to a host computer. In contrast, a cartridge-based library does not have the shelves or the magazine transport of a magazine-based library. Further, it should be appreciated that a cartridge-based library does not have the cartridge transport of a magazine-based library. To elaborate, the cartridge picker in a cartridge-based library moves cartridges to and from slots that are at fixed locations during operation of the library. In contrast, the cartridge transport of a magazine-based library moves cartridges to and from the slots of a magazine that is capable of being moved by the magazine transport device during operation of the library.

[0012]

A magazine-based library has a number of advantages relative to a cartridge-based library. For instance, in one embodiment of a magazine-based library that has multiple drives, cartridges can be "bulk" loaded into the drives. In a bulk load operation, an embodiment of a magazine transport device extracts a magazine from a shelf and moves the extracted magazine to a location adjacent to the drives,



which are typically situated in a row or column. Once the magazine is positioned adjacent the drives, an embodiment of a cartridge transport moves a cartridge into one of the drives and then another cartridge into another one of the drives. The cartridge transport continues loading cartridges in this manner until the desired number of cartridges have been loaded. In most cases, the total distance moved by the magazine transport and the cartridge transport in performing a bulk loading of the cartridges will be significantly less than the distance that a cartridge picker in a cartridge-based library would move in traversing back and forth between the fixed storage slots and the drives in loading the same number of cartridges into individual drives. As a consequence, with other factors being equal, this embodiment of a magazine-based library is able to load the drives faster than a cartridge-based library and in many cases, considerably faster.

[0013]

One embodiment of the magazine-based library has a layout in which the space allocated to the magazine transport (i.e., the space within which the magazine transport must be able to move within the library to position magazines at all desired locations within the library) has at least one dimension that is related to a dimension of the magazine employed in the library. To elaborate, when a magazine is situated on a shelf in a magazine-based library, the front and rear surfaces of the magazine define a magazine plane with a depth that is equal to the distance between the front and rear surfaces of the magazine. The space within which the magazine transport operates is also a plane that

will be referred to as a transport plane. The transport plane is parallel to the magazine plane and, like the magazine plane, has a depth. When the magazine transport removes a magazine from a shelf, the magazine transport displaces the magazine from the magazine plane into the transport plane. In one embodiment of the magazine-based library, the depth of the transport plane is greater than the depth of the magazine plane but less than twice the depth of the magazine plane. Typically, the closer the depth of the transport plane is to the depth of the magazine plane, the more likely the overall volume of space occupied by the transport plane approaches an optimal volume at which the volume of the transport plane is the minimum needed to transport magazines within the library. Consequently, a transport plane or space with a depth that approaches the depth of the magazine plane can contribute to achieving a high data density footprint for the library. The data density footprint is the amount of data that a library is capable of storing when the maximum number of data cartridges that the library is capable of accommodating are housed within the library divided by the area of the floor space or footprint occupied by the library. The depth of the transport plane is preferably less than about 150% of the depth of the magazine plane and more preferably less than about 130% of the depth of the magazine plane. In a further embodiment, the distance between the front and rear surfaces of the magazine used in the library or the depth of the magazine plane is roughly equal to the depth of the drives used in the library, i.e., within about  $\pm 20\%$  of the depth of the drives. By employing magazines with a length or depth that

is related to the length or depth of the drive(s) employed in the library, the ability to layout the components of the library in a manner that efficiently uses the space within the library is increased.

[0014]

Another embodiment of the magazine-based library is particularly able to move magazines that are relatively heavy when fully populated with cartridges. To elaborate, this embodiment of the library comprises a magazine picker for displacing a magazine towards and away from a shelf and an elevator for moving the magazine picker within the library (an elevator that moves in only one dimension or in multiple dimensions is possible). To manage fully populated magazines that are relatively heavy, the magazine picker is comprised of a support surface on which all or a portion of a magazine can rest and a device that is capable of moving a magazine between a shelf and the support. In one embodiment, the support surface comprises a guide structure that serves to orient the magazine during the transfer of the magazine to or from a shelf and to maintain the orientation of a magazine during movement of the support within the library by the elevator. In another embodiment, the device for moving a magazine between the support and a shelf comprises: (a) an engagement device for establishing a connection with a magazine that is sufficiently positive to allow the magazine to be moved; and (b) a displacement device for moving the engagement device so that when the engagement device has engaged a magazine, the magazine can be moved between a shelf and the support. In one embodiment, the engagement device comprises a

member with a surface that is used to "hook" a magazine, an actuator for providing the force to move the member into and out of engagement with a magazine, and a linkage that constrains the member to rotate about an axis. In operation, the engagement device "hooks" a magazine by using the actuator to rotate the member so that the "hooking" surface is in position to engage a magazine. To "unhook" the magazine, the actuator is used to rotate the member so the surface is no longer in position to "hook" the magazine.

[0015]

A further embodiment of the invention provides a method for moving a magazine relative to a shelf in a magazine-based library. The method involves displacing the magazine relative to the shelf in discrete steps that each displace the magazine further towards or away from a shelf. For convenience, the method is initially described with respect to the moving of a magazine away from a shelf. The method comprises providing a device for engaging a magazine and using the device to engage the magazine. Once the magazine has been engaged, the device is displaced to move the engaged magazine a first distance away from a shelf. After the magazine has been displaced the first distance, the device is disengaged from the magazine and moved back towards the shelf. At this point, the device re-engages the magazine and is then displaced away from the shelf to move the re-engaged magazine further away from the shelf. In the case of moving a magazine towards a shelf, the method comprises the steps of providing a device for engaging a magazine and using the device to engage the

magazine. Once the magazine has been engaged, the device is displaced to move the engaged magazine a first distance towards a shelf. After the magazine has been displaced the first distance, the device is disengaged from the magazine and moved away from the shelf. At this point, the device re-engages the magazine and is then displaced towards the shelf to move the re-engaged magazine further towards the shelf. The method is capable of being implemented with an engagement device that "hooks" a magazine. The method is also capable of being implemented with an engagement device that is an adaptation of the types of devices that are presently used in cartridge-based libraries to grasp cartridges between two members. Typically, the two members in such devices grasp a data cartridge by either (a) moving one member towards the other member; or (b) moving each member towards the other member. The movement of a member is typically accomplished by either rotating the member about an axis or linearly translating the member. In any event, such devices are adaptable to grasping magazines and the method is capable of being practiced with devices that are so adapted and any other devices that are capable of engaging a magazine so that the magazine can be displaced.

[0016]

A further embodiment of the invention provides a method for moving a magazine relative to a shelf in a magazine-based library. The method is initially described with respect to the moving of a magazine away from a shelf. The method comprises the steps of providing a support

structure for holding a data cartridge magazine and providing a device for engaging a magazine. The method further comprises positioning the support structure adjacent to the magazine; causing the device to engage the magazine; and displacing the device and, as a consequence, the engaged magazine away from the shelf such that at least a portion of the magazine is held by the support structure. The method is capable of being implemented with a device that engages a magazine by "hooking" the magazine. The method is also capable of being implemented with various types of devices for engaging a magazine that, in operation, grasp a magazine between two members, rather than "hook" a magazine. The method is also practicable with any other device that is capable of engaging a magazine so that the magazine can be displaced.

[0017]

Yet a further embodiment of the invention is directed to a method for moving magazines between different shelves in a magazine-based library. The method comprises the steps of providing a device for engaging a magazine and providing a support structure for holding a magazine. The method further comprises positioning the support structure adjacent to a magazine located on a first shelf; causing the device to engage the shelved magazine; and moving the device away from the first shelf to an extent that the engaged magazine is held by the support structure and is no longer held by the first shelf. Further comprising the method is the step of moving the device towards a second shelf to an extent that the magazine is supported by the second

shelf and no longer associated with the support structure. In one embodiment, the first and second shelves are situated opposite to one another and separated by a space. In this embodiment, the support structure is used to bridge the space for the transfer of the magazine from the first shelf to the second shelf. The method is capable of being implemented with a device that "hooks" a magazine. The method is also capable of being implemented with the types of devices that operate to grasp a magazine between two members, rather than "hook" a magazine. The method is also practicable with any other device that is capable of engaging a magazine so that the magazine can be displaced.

[0018]

A further embodiment of a magazine-based library comprises a cartridge transport for moving cartridges to and from a magazine when the cartridges are oriented within the magazine and the library such that the faces of the cartridges with the greatest surface area lie in a substantially vertical plane. At least with respect to certain cartridge form factors and particular or desired library dimensions, it has been discovered that the orientation of cartridges in this manner contributes to a high data density footprint. Data density footprint is the amount of data that a library is capable of storing when the maximum number of data cartridges that the library is capable of accommodating are housed within the library divided by the floor space occupied by the library. A high data density footprint is important in applications where the floor space that can be dedicated to data storage is limited and the amount

of data that needs to be stored is relatively large. One notable example of such an application is a data center that provides off-site "backup" or "mirroring" of the data stored on the computer systems of the data center's clients. Such data centers typically want to maximize the amount of data that can be stored over a given floor space. In one embodiment, the cartridge transport comprises a grasper for grasping a data cartridge and a displacement or actuating device for moving the grasper such that when the grasper is extracting/inserting a cartridge from/into a magazine, the cartridge is linearly displaced such that the cartridge moves within a substantially vertical plane. In one embodiment, the displacement, in addition to being in vertical plane, has a vertical component. In another embodiment, the displacement has a horizontal or lateral component. In a further embodiment, an additional actuating device operates to rotate the grasper about a horizontal axis during the transport of a grasped cartridge from a magazine to a drive. The rotation, in one embodiment, is about a horizontal axis that allows a grasped data cartridge to be inserted into a drive with a horizontally oriented slot for receiving a cartridge. In another embodiment, the rotation is about a horizontal axis that allows a grasped data cartridge to be inserted into a drive with a vertically oriented slot for receiving a data cartridge. The additional actuating device also operates to rotate the grasper about a horizontal axis during the transport of a grasped cartridge from a drive to a magazine.

[0019]

Yet another embodiment of a magazine-based library comprises a



magazine transport that is capable of moving a magazine, that when located in the library orients a cartridge such that the face of the cartridge with the greatest surface area lies in a vertical plane, to a site at which two or more of the slots of the magazine are accessible by a cartridge transport. The cartridge transport comprises a grasper for grasping cartridges and a grasper transport that is capable of horizontally displacing the grasper such that the grasper can be positioned adjacent to the two slots of a magazine that has been positioned at the noted site by the magazine transport. In one embodiment, the magazine transport comprises a support for holding a magazine, a magazine engagement device for moving a magazine between the support and a shelf, and an elevator for moving the support and magazine engagement device within the library. The grasper and the grasper transport are operatively attached to the elevator and positioned so that the grasper transport is able to move the grasper to a location adjacent to one of two or more of the slots of a magazine that is held by the support so that the grasper can insert/extract a cartridge into/from the magazine. In another embodiment, the grasper and grasper transport are not associated with the elevator but rather are fixedly positioned adjacent to a drive. In this embodiment, the magazine transport positions a magazine adjacent to the grasper and grasper transport. The grasper transport horizontally moves the grasper to a location adjacent to one of two or more of the slots of the magazine for insertion or extraction of a cartridge. In a further embodiment, the cartridge transport comprises an additional

actuating device or structure that is used to linearly displace a cartridge relative to the magazine during extraction of a cartridge from the magazine and insertion of a cartridge into the magazine. In yet a further embodiment, an additional actuating mechanism is employed to rotate the grasper about a horizontal axis to facilitate the transport of a cartridge between the drive and a magazine.

[0020]

Another embodiment of a magazine-based library comprises a magazine transport for moving a magazine within the library, a cartridge transport for moving a cartridge between a magazine and a drive, and an elevator that is part of both the magazine transport and the cartridge transport. In one embodiment, the elevator comprises a carriage to which both a magazine picker and a grasper are attached, and a surface for holding a magazine. Such a magazine-based library is capable of a "bulk load" operation in which: (a) the magazine picker moves a magazine from a shelf onto the support; (b) the elevator moves the support and associated magazine to a location adjacent the drives; and (c) the grasper is then used to repeatedly move a cartridge from the magazine into one of the drives until the desired number of cartridges have been loaded. In one embodiment, the cartridge transport comprises a grasper for grasping the cartridges and a grasper transport for moving the grasper such that the grasper can be positioned adjacent to at least two of the slots of a magazine when the magazine is associated with the support. In a particular embodiment, the grasper transport is capable of positioning the grasper adjacent to

each slot of a magazine that is appropriately positioned on the support.

[0021]

Yet a further embodiment of a magazine-based library comprises a device for reading a label that is associated with a magazine, as well as labels that are associated with any cartridges held by the magazine. In "cartridge-based" libraries there is a need to be able to identify cartridges within the library because a particular cartridge will not necessarily be permanently associated with a particular storage slot. The cartridge picker in such libraries can move the cartridge from one storage slot to another storage slot, to an entry/exit port, or to another library via a pass-through port. Consequently, to be able to determine which a cartridge is in a particular location within a library, the cartridges need to be susceptible to identification. Presently, identification of cartridges is accomplished by associating a bar-code label with each cartridge in the library and positioning the bar-code label such that a bar-code reader is readily able to read the bar-code associated with the cartridge. However, there is no need in "cartridge-based" libraries that employ magazines to create cartridge storage slots to be able to identify a magazine within the library because such libraries do not manipulate or move magazines within the library during operation. Consequently, the magazines that are used in cartridge-based libraries do not bear labels that identify the magazine and that can be read by any kind of label reader located within the library. However, in a magazine-based library, because the location of a magazine is not fixed and can be changed using a magazine

transporter, there is a need to be able to identify each magazine. In one embodiment, the device for reading the magazine label and cartridge labels comprises a label reader (e.g., a bar code reader) and an actuating device for moving the label reader between a first orientation at which the reader is capable of reading a magazine label and a second orientation at which the reader is able to read cartridge labels. In one embodiment, the label reader is associated with the element of the cartridge transport that grasps data cartridges. In another embodiment, the device is comprised of two label readers, one label reader for reading a magazine label and a second reader for reading cartridge labels. In one embodiment that employs two label readers, the first reader is associated with the element of the cartridge transport that grasps data cartridges, and the second reader is associated with a magazine picker that moves magazines to and from shelves in the library.

[0022]

Another embodiment of a magazine-based library comprises a cartridge transport that is capable of accommodating cartridges of different dimensions. The particular dimensions associated with a data cartridge are commonly referred to as a form factor. For tape data cartridges, common form factors include DLT (digital linear tape), LTO (linear tape open), and SAIT (super advanced intelligent tape). The present invention provides a magazine-based library with a cartridge transport that is able to grasp cartridges that conform to two different form factors. To elaborate, the cartridge transport comprises a housing that

serves to constrain a cartridge in at least one dimension. The housing is capable of adapting to cartridges that have different measurements in one dimension, such as the height dimension. In one embodiment, the housing comprises a first planar surface and a second planar surface that is substantially parallel to and separated from the first planar surface. Further, the first planar member is able to move relative to the second planar member so that the distance between the two members can be adjusted to accommodate the differences in the distances between two substantially parallel surfaces associated with two cartridges that each conform to a different form factor. In one embodiment, the planar members are able to accommodate LTO and DLT tape cartridges, which have different heights (height being the distance between the two substantially parallel faces of the cartridge that have the greatest surface area).

[0023]

Another embodiment of a magazine-based library comprises an entry/exit port for conveying a magazine between: (a) a space that is interior to the library and accessible by a magazine transport device that can place a magazine in the port or remove a magazine from the port; and (b) an exterior environment where an operator can place a magazine in the port or remove a magazine from the port. It should be appreciated that such an entry/exit port is markedly different than the entry/export ports in "cartridge-based" libraries that utilize a magazine to move cartridges into and out of a library. In a cartridge-based library that employs an entry/exit port, the cartridge picker cannot move the

magazine, the cartridge picker can only move individual cartridges between the magazine associated with the port and other locations in the library. In contrast, the entry/exit port of a "magazine-based" library allows a magazine picker to move magazines into and out of the port.

[0024] In one embodiment, the entry/exit port comprises a tray for supporting a magazine and selectively holding the magazine in a substantially fixed position relative to the tray during the movement of a magazine between the exterior environment and the space that is interior to the cabinet. The ability to selectively hold the magazine in a fixed position is particularly important during the transport of a magazine between the exterior environment and the interior space that is accessible by the magazine picker. To elaborate, momentum is imparted to the magazine during transport of the magazine between the exterior environment and the interior space. If the magazine was not fixed in place during the transport, the momentum could possibly carry the magazine into the interior of the library in a manner that could adversely affect the operation of the library. In one embodiment, the tray comprises a fixed wall and a spring-loaded, opposing wall that are capable of clamping a magazine in place during transport. When the tray is positioned so that the magazine picker can access the tray, the spring-loaded, opposing wall is retracted to unclamp any magazine being supported by the tray so that the magazine picker can remove the magazine from the tray.

[0025] A further embodiment of the entry/exit port comprises a door system that prevents an operator from accessing the interior of the library via

the entry/exit port. In one embodiment, the entry/exit port comprises an exterior door and an interior door that operate such that during the time that the exterior door is opening to allow an operator to access the port, the interior door is closing to prevent the operator from accessing the interior of the library via the port and from possibly being injured by moving elements within the library. Conversely, during the time that the exterior door is closing, the interior door is opening to allow the magazine picker to access the port. In one embodiment, a linkage between the interior and exterior doors is employed to achieve the coordinated operation.

[0026]

A further embodiment employs a tray for supporting a magazine that moves between a position that is exterior to the library so that an operator can access the tray and a position that is interior to the library so that a magazine picker can access the tray. In this embodiment, a linkage is employed that coordinates the opening and closing of the doors with the movement of the tray. To elaborate, the linkage operates such that during the time that the tray is moving from the position that is exterior to the library to the position that is interior to the library, the exterior door moves from the open position towards the closed position and the interior door moves from the closed position towards the open position. Conversely, during the time that the tray is moving from the position that is interior to the library to the position that is exterior to the library, the exterior door moves from the closed position towards the open position and the interior door moves from the open position

towards the closed position. In one embodiment, the interior and exterior doors are substantially planar and are operated in a "drawbridge" fashion such that each of the doors rotate about an axis that is substantially parallel to the face of the door. Other door structures are feasible, including a door structure that rotates about a different axis to move between open and closed positions, a door structure that is linearly translated between open and closed positions, and a multi-element door. In a further embodiment, a single actuator is used to achieve the movement of the tray and the interior and exterior doors. Yet another embodiment of the entry/exit port employs a carousel with a body that holds a magazine and that rotates about an axis. The body serves to prevent an operator from accessing the interior of the library. Consequently, the carousel approach avoids the use of doors. However, the implementation of a carousel entry/exit port is likely to reduce the space available for magazines and/or drives in the library.

[0027]

Also provided is a magazine that is suitable for use in a magazine-based library in which magazines are moved within the library. The magazine is comprised of: (a) a frame that defines a space for accommodating a plurality of data cartridges; (b) a partitioning structure for dividing the space into a plurality of slots with each slot being capable of accommodating a data cartridge and supporting the cartridge such that when the magazine is associated with the magazine-based library, the face of the cartridge with the greatest



surface area lies in a vertical plane; and (c) an engagement structure that allows the magazine to be engaged by a magazine picker for movement within the library. In one embodiment, the engagement structure comprises a hole for use in magazine-based libraries that employ a magazine picker that utilizes a member to "hook" magazines. Another embodiment employs an engagement structure that is adapted for use with a magazine picker that utilizes two members to grasp a magazine. In one embodiment, the engagement structure comprises a pair of holes. In another embodiment, the engagement structure comprises a pair of protrusions that extend beyond a surface or surfaces of the frame. Yet a further embodiment comprises a pair of indentations in a surface or surfaces of the frame. Yet a further embodiment of the magazine comprises a flag structure for use in determining when a magazine picker has engaged the magazine. In one embodiment, the flag structure comprises an element that blocks an optical signal when the magazine picker has engaged the magazine.

[0028]

Another embodiment of the magazine comprises a "label" structure for use in identifying the magazine within the library so that, for example, the location of the magazine in the library can be tracked. There is no need in a "cartridge-based" library that employs magazines to create fixed storage slots to identify the magazines during the operation of the library because the library does not manipulate or move magazines within the library during operation. As previously noted, magazines in cartridge-based libraries primarily serve to make it easier for an

operator to hand load/unload a large number of cartridges into/from the library. In contrast, in a magazine-based library, magazines are manipulated during operation of the library and, as a consequence, there is a need to be able to identify a magazine within a library. In one embodiment, the label structure comprises an indentation in a surface of the magazine that is capable of accommodating an adhesive label, such as an adhesive bar-code label. Another embodiment comprises a receptacle that is associated with the magazine and that is capable of receiving a label or other identifier, such as a radio frequency identification RFID tag. In yet another embodiment, an identifier, such as an RFID tag, is embedded within the magazine. Identifiers with fixed or programmable identification information are also feasible.

[0029]

Another embodiment of the magazine comprises a structure for inhibiting, when the magazine is on a shelf within a magazine-based library, movement of the magazine other than movement attributable to the magazine picker. In one embodiment, the structure comprises a detent that cooperates with a notch associated with a shelf to hold the magazine in a desired location on a shelf. The engagement between the notch and the detent serves to resist forces that might displace the magazine. The resistance provided by this engagement is not, however, great enough so that it cannot be overcome by a magazine picker. A further embodiment of the structure comprises one portion of an active latching mechanism, the other portion of the latching mechanism being associated with the shelf. In one active latch

embodiment, the structure associated with the magazine comprises a receptacle that is designed to engage an active element associated with the shelf. In another active latch embodiment, the structure associated with the magazine comprises an active element that is adapted to engage a receptacle associated with a shelf. One such active element comprises a cantilevered detent. In operation, the cantilever provides a spring force that must be overcome to disengage the detent from the receptacle and, as a consequence, resists forces that might displace the magazine from a preferred location.

[0030]

A further embodiment of a magazine-based library comprises a drive bay for holding a drive in an operative position within the library and facilitating relatively speedy insertions and extractions of the drive. Most drives have a front face with a slot for receiving a data cartridge and rear face with one or more plugs for receiving power, control signals, and data signals. In many cartridge-based libraries, when such a drive is operatively situated in a library, the front face of the drive is accessible to the cartridge picker. The drive is also typically situated near a removable panel or door of the library housing so that an operator can readily access the drive. Further, the drive is typically attached to a frame to prevent the drive from moving during operation of the library. To remove or replace such a drive, the operator has to remove the panel or open the door to obtain access to the drive, disconnect the drive from all of the electrical connectors that are providing power, control signals, and data signals to the drive, and then

unfasten the drive from the frame. In many situations, the library is powered down during such an operation. The magazine-based library with a drive bay substantially reduces the work and, hence, the time required for such operations. To elaborate, in one embodiment, the drive bay comprises a housing with two open sides, where one of the open sides is exposed to the cartridge transport and the other open side is exposed to an environment that is accessible to an operator so that the operator can insert or extract a drive from the housing. Further comprising the drive bay is a sled that is capable of holding a drive. The sled comprises an electrical connector with one or more drive plugs that mate with the plug(s) on the rear face of the drive, one or more sled plugs, and one or more electrical conductors extending between the drive plug(s) and the sled plug(s). The sled plug(s) is/are oriented so as to face towards the first open side of the housing, i.e., towards the cartridge transport. The drive bay further comprises housing plug(s) that face the second opening or the area accessible to the operator. The housing plug(s) is/are positioned in the housing so that when an operator inserts the sled into the housing with the proper orientation (i.e., such that if the sled held a drive, the front face of the drive would be accessible to the cartridge transport), the sled plug(s) engage the housing plug(s). As a consequence, the insertion of a drive into the library is accomplished by pushing a sled with the drive into the housing such that the sled plug(s) and the housing plug(s) engage one another. Conversely, removal of a drive from the library is accomplished by extracting the sled and drive from the housing, which causes the sled

plug(s) and housing plug(s) to disengage from one another.

Consequently, the drive bay eliminates the need for an operator to spend time disconnecting/connecting cables from plug(s) associated with the rear face of the drive during the extraction/insertion of a drive.

Further, since the drive is attached to the sled and not a fixed frame within the library, the drive bay eliminates the need to spend time detaching/attaching a drive from/to such a frame. In one embodiment, the drive bay housing is comprised of multiple sub-bays with each sub-bay capable of accommodating a sled and having a housing plug for establishing an electrical connection with a sled residing in the sub-bay.

[0031]

In yet another embodiment, a magazine-based library comprises an operator alterable space within the housing, i.e., a space whose function in the library is subject to a choice by an operator. The alterable space is capable of accommodating at least two different types of library modules. Among the possible types of modules are shelving modules and drive modules. The alterable space is defined by a first open side that is accessible to the cartridge transport and/or magazine picker and a second side that is accessible to an operator. Associated with the alterable space is a mounting structure that allows a module to be readily mounted within the library or de-mounted from the library. Among the possible modules is a drive bay module that, in addition to the features of a drive bay described above, also has a drive bay mounting structure that cooperates with the library mounting structure to facilitate securing the module within the library and

removing the module from the library. Another module is a magazine bay module that provides one or more shelves, each for holding at least one magazine. The magazine bay module has a magazine bay mounting structure that cooperates with the library mounting structure to facilitate securing the magazine bay within the library and removing the module from the library.

[0032]

A further embodiment of a magazine-based library comprises a power system that is used to provide power to the drive(s) within the library and that allows the space within the library to be utilized more efficiently. In many cases, the power system allows more data cartridges to be housed within a library relative to a library that employs a typical power system. This advantage is particularly discernable in libraries that employ multiple drives. To elaborate, most data cartridge libraries include one or more power supplies for providing power to the drive or drives within the library. In libraries that employ multiple drives, power is typically conveyed from the power supply or supplies via multiple cables, each with a circular cross-section and each with a conductor surrounded by an insulating cover. Typically, these cables are bundled together. This bundle of cables typically has a cross-section that is roughly circular. The cross-sectional shape of the bundle makes it awkward to "fit" the bundle with other elements of the library to efficiently utilize the space within the library. The embodiment of the magazine-based library comprises a power supply and a conductor structure for distributing power produced by the power supply to the

drive(s) in the library that is flat, i.e., has two external and flat surfaces that are separated from one another and substantially parallel to one another. For the distribution of power to a given number of drives, the distance between the flat external surfaces is less than the diameter of a bundle of circular conductors. This reduced dimension typically allows the space within the library to be more efficiently used and, in many cases, allows a greater number of data cartridges to be stored within the library. Further, the flat shape of the conductor lends itself to being integrated with the other components of the library, many of which are box-shaped, so that the space within the library can be more efficiently used relative to libraries that employ power conductors with circular cross-sections and bundles of such conductors.

[0033] Further, the insulating covers associated with the conductors in a bundle of cables may provide more insulation than is needed to electrically insulate the conductors from one another. Consequently, the insulating covers occupy more space over a diameter of the cross-section of the bundle than is needed. In one embodiment, the conductor is comprised of a plurality of laminated electrical conductors that are separated from one another by insulating material. In a transit between the two external flat surfaces, the amount of space dedicated to insulating material is less than that for a comparable bundle of cables. Consequently, relative to a bundle of cables, the conductor structure provides additional space for other uses.

[0034]

Yet another embodiment of the invention is directed to a method that

allows a user to upwardly scale or expand the size of a magazine-based library in a modular manner. The method comprises the step of providing a magazine-based library with a cabinet surface that is alterable to form a passageway through the cabinet so that the library can be joined to an add-on module and magazines can be transferred between the library and the add-on module. The magazine-based library further comprises an elevator structure that is used to horizontally displace a magazine picker that is capable of moving magazines to and from the shelves in the library. The method further comprises the step of providing an add-on module with a side surface that is either alterable to form a passageway or already has a passageway. The add-on cabinet can take a number of forms. For example, the add-on module may be able to accommodate a shelf, multiple shelves, a drive, multiple drives, combinations of the foregoing elements, or be a self-sufficient magazine-based library. In one embodiment, the method further comprises replacing whatever portion (s) of the elevator structure in the library serves to limit the horizontal range over which the magazine picker can be moved within the library with a longer structure that extends through the passageways of the library and the add-on. In another embodiment, the method comprises the further step of providing an add-on to the existing elevator structure such that an elevator is modularly expanded and the resulting expanded elevator is capable of transporting the magazine picker through the passageways of the library and the add-on. In yet a further embodiment, the add-on has its own magazine transport device and the



two magazine transport devices (one associated with the library and the other associated with the add-on) are capable of directly passing a magazine from one to the other. In yet another embodiment, the add-on comprises a separate magazine transport device from that of the library. However, the two magazine transports are incapable of directly passing a magazine from one to another. Consequently, the method comprises the further step of providing a third magazine transport device that serves as an intermediary transport device between the transport device associated with the library and the transport device associated with the add-on.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

[0035] Fig. 1 is a block diagram of a magazine-based data cartridge library that illustrates necessary and optional features of the library;

[0036] Figs. 2A-2E are plan views of five rectilinear layouts for a magazine-based data cartridge library;

[0037] Figs. 3A-3G are plan views of seven cylindrical layouts for a magazine-based data cartridge library;

[0038] Figs. 4A and 4B are plan view of two moving shelf layouts for a magazine-based library;

[0039] Figs. 5A-5C are a perspective view of a drive, a front view of the drive in a vertical orientation, and a front view of the drive in a horizontal orientation;

[0040] Figs. 6A-6C are perspective views of the exterior of an embodiment of

a magazine-based data cartridge library (hereinafter library);

[0041] Fig. 7A is a perspective view of the embodiment of the library shown in Figs. 6A-6C with the various elements, such as certain cabinet surfaces removed, and the library populated with magazines;

[0042] Fig. 7B is a perspective view of the embodiment of the library shown in Figs. 6A-6C with certain elements removed, including all but one drive bay, and populated with a few magazines;

[0043] Fig. 8A is a perspective sectional interior view of the library with certain features removed, such as the entry/exit port, and the shelves in the view fully populated with magazines;

[0044] Fig. 8B is a perspective-sectional interior view of the library that is fully populated with drives and the shelves in the fully populated with magazines;

[0045] Figs. 9A-9B illustrate an LTO tape cartridge;

[0046] Figs. 10A-10B illustrate a SAIT tape cartridge;

[0047] Figs. 11A-11B illustrate a DLT tape cartridge;

[0048] Figs. 12A-12D illustrate an embodiment of a magazine that is capable of accommodating both LTO and SAIT tape cartridges;

[0049] Figs. 13A-13B are cross-sectional views of the magazine shown in Figs. 12A-12D that respectively show an LTO tape cartridge and a SAIT tape cartridge within the magazine;

[0050] Figs. 14A-14B illustrate an embodiment of a magazine that is capable of accommodating a DLT tape cartridge;

[0051] Fig. 15 is a cross-sectional view of the magazine shown in Figs. 14A-14B that shows a DLT tape cartridge within the magazine;

[0052] Fig. 16 is a schematic plan view of the general layout of the library;

[0053] Figs. 17A-17B respectively are a front perspective view of an embodiment of a dual entry/exit port and a rear view of the dual entry/exit port;

[0054] Figs. 18A-18F illustrate various elements of one port of the dual entry/exit port;

[0055] Figs. 19A-19E illustrate the operation of the port shown in Figs. 18A-18E in moving a magazine from an exterior environment to a space in which the magazine is accessible by a magazine transport device;

[0056] Figs. 20A-20E illustrate examples of alternative door structures for an entry/exit port;

[0057] Figs. 21A-21B illustrate a carousel entry/exit port;

[0058] Figs. 22A-22C, respectively illustrate an embodiment of a shelf capable of holding five magazines, an embodiment of a cleat that is associated with the shelf, and the relationship between the shelf and magazine;

[0059] Figs. 23A-23C are respectively a front perspective view of an embodiment of a four unit, drive bay assembly populated with four

drives, a rear perspective of the drive bay assembly, and a rear view of an embodiment of a ladder frame that is used to hold the drive bay assembly in the library;

[0060] Figs. 24A-24F illustrate features of the housing portion of the drive bay assembly;

[0061] Fig. 25 illustrates the plug interface of a typical drive;

[0062] Figs. 26A-26C illustrate the sled portion of the drive bay assembly;

[0063] Figs. 27A-27E illustrate an embodiment of a power supply system that utilizes a flat power conductor to provide DC power to the drives in the library;

[0064] Figs. 28A-28B illustrate an embodiment of a magazine bay assembly;

[0065] Figs. 29A-29H illustrate features of an embodiment of an elevator that is used to move a magazine picker;

[0066] Figs. 30A- 30G illustrate an embodiment of a magazine picker;

[0067] Figs. 31A-31L are cross-sectional and free body diagrams that illustrate the operation of the magazine picker in moving a magazine from one shelf to another shelf;

[0068] Fig. 32 illustrates an alternative magazine engagement device;

[0069] Figs. 33A-33N illustrate an embodiment of a cartridge transport;

[0070] Figs. 34A and 34B respectively illustrate the range of motion of the housing of the cartridge transport and the ability of a bar code reader to

read a bar code label that identifies a magazine and a bar code label that identifies a cartridge;

[0071] Figs. 35A-35C illustrate steps associated with moving a data cartridge between a magazine and a drive;

[0072] Figs. 36A-36G are free body diagrams that illustrate an embodiment of a cartridge transport unit extracting/inserting a data cartridge from/into a magazine;

[0073] Figs. 37A-37G are free body diagrams that illustrate an embodiment of a cartridge transport unit inserting/extracting a data cartridge into/from a drive;

[0074] Fig. 38 illustrates the relationship between the space occupied by magazines resident in the library and the space utilized by the magazine transport and cartridge transport in the library;

[0075] Fig. 39 illustrates the controller and the relationship of the controller to the electrical elements in the library and the power distribution system;

[0076] Figs. 40A-40F illustrate alternative embodiments of magazines that operatively orient cartridges within a magazine-based data cartridge library such that the face of the cartridge with the greatest surface area lies in a vertical plane;

[0077] Figs. 41A-41B illustrate an alternative embodiment of a magazine that operatively orient cartridges within a magazine-based data cartridge library such that the face of the cartridge with the greatest surface area

lies in a vertical plane;

[0078] Fig. 42 illustrates an alternative embodiment of a magazine that is operative with the library;

[0079] Figs. 43A and 43B respectively illustrate an expanded library and a schematic representation of the process for producing the expanded library;

[0080] Figs. 44A-44B schematically illustrate alternative methods of producing an expanded library; and

[0081] Figs. 45A-45E illustrate various embodiments of magazine pass-through ports for passing magazines between magazine-based libraries.

## DETAILED DESCRIPTION

[0082] The present invention is directed to a magazine-based data cartridge library that is capable of moving data cartridge magazines within the library, as well as moving individual cartridges within the library.

[0083] With reference to Fig. 1, the present invention is directed to a magazine-based data cartridge library 100 comprised of: (a) a frame 102 for supporting the other elements of the library; (b) a shelving system 104 for supporting at least two data cartridge magazines; (c) one or more drives 106 that are each capable of writing and/or reading data to/from a recording medium in a data cartridge; (d) a magazine transport 108 for moving a magazine within the library; (e) a cartridge transport 110 for moving a data cartridge between a magazine and a

drive; and (f) an interface 112 for communicating with a host computer that uses the library to store data.

[0084] As noted, the frame 102 provides a support for the other elements of the library. In addition, the frame may comprise one or more exterior surfaces that form a cabinet for enclosing most of the components of the library. Typically, the cabinet establishes an environment whose temperature can be controlled for the benefit of the elements of the library housed within the cabinet. The cabinet also commonly serves as a barrier to contaminants that could adversely affect the operation of the elements of the library housed within the library. Additionally, the cabinet is also useful in preventing an individual from accessing the space within the cabinet during operation of the library and potentially being injured by the moving elements within the library.

[0085] There are a number of devices that do not necessarily need to be part of the magazine-based-data cartridge library 100 (i.e., not supported by the frame) but are nonetheless needed for the library to function and, as a consequence, typically are part of the library. Among these devices are a power supply system 114 (which may be comprised of multiple power supplies) and a controller 116 for managing the operations of the library. If the magazine-based data cartridge library 100 is unable to passively dissipate enough heat for the elements of the library to function, environmental devices 118 (e.g., fan, fins, heat pipes etc.) are also incorporated into the library.

[0086] In addition, there are several devices that typically are not required for

the magazine-based library 100 to function as a magazine-based library but are nonetheless desirable in certain embodiments. Among these devices are an entry/exit port 120 for moving a magazine between an exterior environment that is accessible to an operator and a space that is accessible by the magazine transport; a pass-through port 122 for moving magazines between magazine-based data cartridge libraries; and an operator interface 124 that allows an operator to interact with the library.

[0087]

There are several possible layouts for the magazine-based cartridge library 100. Many of these layouts can be characterized or typed as rectilinear or circular/cylindrical. In a rectilinear type, magazine-based data cartridge library, at least the drive or drive and the shelf or shelves of the shelf system are laid out along: (a) a straight line or plane; or (b) along multiple straight lines or planes that are parallel and/or perpendicular to one another. More specifically, in a rectilinear type library, the face of a drive that has a receptacle for receiving a data cartridge is oriented such that the direction that a data cartridge is displaced when the cartridge is either inserted or extracted from the receptacle by the cartridge transport is substantially perpendicular to a straight line or plane. Similarly, a shelf in a rectilinear type library is oriented such that the direction that a magazine is displaced when the magazine is either associated with a shelf or removed from a shelf by the magazine transport is substantially perpendicular to a straight line or plane. Typically, most of the other elements of the library are also



laid out in the same manner.

[0088]

Figures 2A-2E are plan views of five different rectilinear type layouts for the magazine-based data cartridge library 100. In the layout illustrated in Fig. 2A, a drive 128 (or drives) and/or a shelf 130 (or shelves) are oriented along a straight line/plane 132. A robot 134 is provided that cumulatively represents the magazine transport 108 and the cartridge transport 110. With respect to the rectilinear type layouts shown in Figs. 2B-2E, the drive and shelf elements retain the same reference numbers as those elements bear in Fig. 2A. In the embodiment illustrated in Fig. 2B, at least one drive 128 and/or at least one shelf 130 is/are laid out along line/plane 136A, and at least one drive 128 and/or at least one shelf 130 is/are laid out along line/plane 136B, which is parallel to line/plane 136A. In the embodiment illustrated in Fig. 2C, at least one drive 128 and/or at least one shelf 130 is/are laid out along line/plane 138A, and at least one drive 128 and/or at least one shelf 130 is/are laid out along line/plane 138B, which is perpendicular to line/plane 138A. With respect to the embodiment of Fig. 2D, at least one drive 128 and/or at least one shelf 130 is/are laid out along each of lines/planes 140A, 140B and 140C, with lines/planes 140A and 140B being parallel to each other and perpendicular to line/plane 140C. In the embodiment illustrated in Fig. 2E, at least one drive 128 and/or at least one shelf 130 is/are laid out along each of lines/planes 142A-142D, with lines/planes 142A, 142B being parallel to one another, and with lines/planes 142C, 142D being parallel to one another and

perpendicular to the parallel lines/planes 142A, 142B. It should be appreciated that each of the embodiments comprises at least one drive. Further, each embodiment comprises at least one shelf that is capable of supporting two or more magazines or multiple shelves that cumulatively support two or more magazines. It should also be appreciated that the illustrated location of a drive or shelf along a line/plane is merely illustrative and that the actual location of a drive or shelf can be anywhere along a line/plane. It should also be appreciated that, while the robot 134 cumulatively represents the magazine transport 108 and cartridge transport 110, the magazine transport 108 and the cartridge 110 may share one or more elements or be entirely separate from one another. It should be further appreciated that there may be other rectilinear layouts for the magazine-based data cartridge library 100 and characteristic of each such layout is a magazine transport.

[0089]

In a circular/cylindrical type, magazine-based data cartridge library, the drive or drives and shelf or shelves of the shelf system are laid out along radial lines that have a common center and/or along one circular arc or multiple, concentric circular arcs. More specifically, in a circular type library, the face of a drive that has a receptacle for receiving a data cartridge is oriented such that the direction that a data cartridge is displaced when the cartridge is either inserted or extracted from the receptacle is either: (a) substantially along a radial line/plane, (b) substantially along a line/plane that is parallel to a radial line/plane, or

(c) along a line that is tangent to a circular arc. Similarly, a shelf in a circular type library is oriented such that the direction that a magazine is displaced when the magazine is either associated with a shelf or removed from a shelf by the magazine transport is either: (a) substantially along a radial line/plane, (b) substantially along a line/plane that is parallel to a radial line/plane, or (b) along a line that is tangent to a circular arc.

[0090]

Figures 3A-3G are plan views of seven different circular/cylindrical type layouts for the magazine-based data cartridge library 100. In the layout illustrated in Fig. 3A, a drive 146 (or drives) and/or shelf 148 (or shelves) are respectively oriented along radial lines 150A, 150B that have a common center 152 and along a circular/cylindrical arc 153. A robot 154 is provided that cumulatively represents the magazine transport 108 and the cartridge transport 110. With respect to the circular/cylindrical type layouts shown in Figs. 3B-3G, the term drive 146 refers to one or multiple drives and the term shelf 148 refers to one or more shelves. Additionally, reference numbers for elements common to the various embodiments have been retained as a matter of convenience. In the embodiment illustrated in Fig. 3B, at least one drive 146 and/or at least one shelf 148 is/are laid out along a radial line(s) and along a first circular/cylindrical arc 156A, and at least one drive 146 and/or at least one shelf 148 is/are laid out along a radial line(s) and along a second circular/cylindrical arc 156B that is concentric to the circular arc 156A. The robot 154 moves in a space that is located

between the two concentric arcs 156A, 156B. It should be appreciated that the embodiments illustrated in Figs. 3A and 3B are each capable of being implemented such that the shelf or shelves and drive or drives are laid out along a circular/cylindrical arc that defines a complete or substantially complete circle or cylinder. In the embodiment illustrated in Fig. 3C, at least one drive 146 and/or at least one shelf 148 is/are laid out along a radial line(s) and along a circular/cylindrical arc 156A, and at least one drive 146 and/or at least one shelf 148 is/are laid out along a tangent line 158 to a circular/cylindrical arc 160 that typically represents the path traveled by an element of the robot 154. The embodiment illustrated in Fig. 3D is a combination of the characteristics or features noted with respect to the embodiments shown in Figs. 3B and 3C. In the embodiment illustrated in Fig. 3E, at least one drive 146 and/or at least one shelf 148 is/are laid out along a tangent line 162A to the circular/cylindrical arc 160, and at least one drive 146 and/or at least one shelf 148 is laid out along a tangent line 162B to the circular/cylindrical arc 160. The embodiment illustrated in Fig. 3F is a combination of the characteristics or features noted with respect to the embodiment shown in Figs. 3B and 3E. In the embodiment illustrated in Fig. 3G, at least one drive 146 and at least one shelf 148 is/are laid out along the tangent line 158 of the circular/cylindrical arc 160. In yet a further embodiment (not shown), at least one drive and/or a least one shelf are located along each of two or more circular arcs with a common center and an element of the robot 154 is cable of moving between the arcs. It should be appreciated that each of the

embodiments comprises at least one drive. Further, each embodiment comprises at least one shelf that is capable of supporting two or more magazines or multiple shelves that cumulatively support two or more magazines. It should also be appreciated that the illustrated location of a drive or shelf along a circular/cylindrical arc is merely illustrative and that the actual location of a drive or shelf can be anywhere along the circular/cylindrical arc. It should also be appreciated that, while the robot 154 cumulatively represents the magazine transport 108 and cartridge transport 110, the magazine transport 108 and the cartridge transport 110 may share one or more elements or be entirely separate from one another. It should be further appreciated that there may be other circular layouts for the magazine-based data cartridge library 100 and characteristic of each such layout is a magazine transport.

[0091]

Yet another possible layout for the magazine-based data cartridge library 100 is a movable-shelf type in which at least two shelves are moveable to facilitate the positioning of the shelves relative to the magazine transport. Figure 4A is a plan view of one embodiment of a movable-shelf layout for the magazine-based data cartridge library 100. The embodiment comprises a rotating shelf structure 166 with shelves 168A-D, a robot 170 that cumulatively represents the magazine transport 108 and cartridge transport 110, and one or more stationary drives 172. In operation, the shelf structure 166 is rotated to place one of the shelves 168A-D in the same plane as the robot 170 so that the robot 170 can perform a magazine or cartridge operation. In an

alternative embodiment, one or more drives are also associated with the rotating shelf structure 166. Figure 4B is a plan view of another embodiment of a movable-shelf layout for the magazine-based library 100 that includes movable drives. Elements common to this embodiment and the embodiment in Fig. 4A have been given the same reference numbers. The embodiment shown in Fig. 4B comprises the further element of a rotating drive structure 174 that is capable of holding multiple drives 176A-D and positioning each of the drives for the transfer of a data cartridge with the robot 170. In other embodiments, one or more drives are associated with the rotating shelf structure 166 and/or one or more shelves are associated with the rotating drive structure 174. Although not illustrated another possible layout for the magazine-based data cartridge library is movable-drive type that is comprised of a stationary shelf system and movable drives. In essence, the rotating drive structure 174 of the embodiment illustrated in Fig. 4B in combination with a stationary shelf system. It should be appreciated that each of the embodiments comprises at least one drive. Further, each embodiment comprises at least one shelf that is capable of supporting two or more magazines or multiple shelves that cumulatively support two or more magazines. Moreover, while the illustrate embodiments contemplate that the rotating elements rotate about a vertical axis, rotation about a horizontal axis is also feasible. It should also be appreciated that, while the robot 134 cumulatively represents the magazine transport 108 and cartridge transport 110, the magazine transport 108 and the cartridge transport 110 may share one

or more elements or be entirely separate from one another. It should be further appreciated that there may be other movable-shelf layouts for the magazine-based data cartridge library 100 and characteristic of each such layout is a magazine transport.

[0092] The magazine-based data cartridge library 100 is also capable of being implemented in a layout that is a combination of two or more of the rectilinear type, circular type, movable-shelf type, and movable-drive type layouts. Further, characteristic of each such layout is a magazine transport.

[0093] Figure 5A illustrates a typical drive 180 that is employed in the magazine-based data cartridge library 100. The drive 180 is capable of writing data to and/or reading data from a recording medium that is located within a cartridge. Typically, the drive is capable of both writing data to and reading data from a recording medium located in a library. The drive 180 is comprised of a housing 182 with a front surface 184 that has a receptacle 186 for receiving a cartridge. The housing 182 further comprises a back surface (not shown) and side surface 188 that is comprised of a first surface 190A, a second surface (not shown) that is substantially parallel to the first surface, a third surface 190B that is substantially perpendicular to the first surface 190, and a fourth surface (not shown) that is substantially parallel to the third surface 192. Typically, the drive 180 is situated in the library 100 such that the first surface 190A lies in a horizontal plane or in a vertical plane. When the receptacle 186 is longer in one dimension than the other and extends in

a direction that is substantially parallel to the first surface 190A, these orientations result in the long dimension of the receptacle 186 extending either horizontally or vertically. Figure 5B illustrates the drive 180 with an orientation in which the long dimension of the receptacle 186 extends horizontally. In a circular/cylindrical type implementation, either a horizontal receptacle center line 192 or a horizontal drive center line 194 of the drive 180 is typically located: (a) substantially along a radial line/plane, (b) substantially along a line/plane that is parallel to a radial line/plane, or (b) along a line that is tangent to a circular arc. Figure 5C illustrates the drive 180 in an orientation in which the long dimension of the receptacle 186 extends vertically. In a circular/cylindrical type implementation, either a vertical receptacle center line 196 or a vertical drive center line 198 of the drive 180 is typically located: (a) substantially along a radial line/plane, (b) substantially along a line/plane that is parallel to a radial line/plane, or (b) along a line that is tangent to a circular arc.

[0094]

It should be appreciated that the magazine-based data cartridge library 100 is capable of being adapted to any type of drive that is capable of writing data to and/or reading data from a recording medium located in a cartridge. Concomitantly, the magazine-based data cartridge library 100 is also adaptable to any type of data cartridge. For example, the library 100 is capable of being applied to a cartridge with a recording medium that is either a disk, a tape, or a non-moving recording medium (e.g., a solid state memory). Further, the library 100 is capable



of being applied to cartridge with a recording medium that is magnetic, optical, magneto-optical or any other type of recording medium. The magazine-based data cartridge library 100 is further capable of being adapted for use with recording mediums that are not located in a cartridge, e.g., CDs.

[0095] In many cases, the magazine-based data cartridge library 100 operates on magazine that, unlike the magazines used in cartridge-based data cartridge libraries, comprises a structure that allows the magazine to be engaged by the magazine transport 108 so that the transport can move the magazine within the library.

[0096] With reference to Figs. 6A-6C, 7A-7B and 8A-8B, an embodiment of a magazine-based data cartridge library 202 (hereinafter referred to as library 202) is described. Generally, the library 202 is comprised of: (a) an embodiment of a frame 204 for supporting the other elements of the library; (b) an embodiment of an entry/exit port 206; (c) an embodiment of a shelf system 208; (d) an embodiment of drives 210; (e) an embodiment of a magazine transport 212; (f) an embodiment of a cartridge transport 214; (g) an embodiment of a power supply system 216; (h) an embodiment of a control system 218; and (i) an embodiment of fans 220 for cooling the library.

[0097] Before describing the library 202 in greater detail, the data cartridges and magazines that the library 202 is adapted to manipulate are described. The library 202 is adapted for operating on magnetic tape cartridges and magazines that contain the tape cartridges. Specifically,

the library 202 is adapted for operating on cartridges that conform to the following cartridge formats: (a) LTO (linear tape open), (b) SAIT (super advanced intelligent tape, and (c) DLT (digital linear tape). Typically, the library 202 is only used to store magazines that are each used to hold cartridges with the same cartridge format. For instance, the library 202 may be used to store magazines that are each used to hold LTO tape cartridges. However, the library 202 is capable of storing magazines that are used to hold cartridges of different types. For instance, the library 202 is capable of storing a magazine that holds LTO tape cartridges and another magazine that holds DLT tapes. Typically, the library 202 is used to store a magazine where the magazine is only used to hold tape cartridges that conform to a single format. For instance, the library 202 may be used to store a magazine that is only used to hold LTO tape cartridges. However, the library 202 is capable of storing a magazine that is used to hold cartridges of different formats. For instance, the library 202 is capable of storing a magazine that is used to hold LTO and SAIT tape cartridges.

[0098]

With reference to Figs. 9A-9B, an LTO tape cartridge 224 comprises a first cartridge face 226A, a second cartridge face 226B, a first cartridge side 228A, a second cartridge side 228B, a first cartridge end 230A, and a second cartridge end 230B. The distance between the first and second cartridge faces 226A, 226B defines the height of the cartridge, which is 0.85 in. The distance between the first and second side surfaces 228A, 228B defines the width of the cartridge, which is 4.15 in.

The distance between the first and second ends 230A, 230B defines the depth of the cartridge, which is 4.02 in. The cartridge further comprises an orientation feature 232 that provides a basis for properly orientating the cartridge for insertion in to an LTO tape drive so that data can be read from and/or written to the recording medium within the cartridge. The orientation feature also provides a basis for orienting all of the LTO tape cartridges stored in a particular magazine in the same manner. The cartridge also comprises a first pair of gripper notches 234A, 234B and a second pair of gripper notches 236A, 236B, with one or both pair of notches typically used by a device that grips the cartridge during transport between a magazine and a drive.

[0099]

With reference to Figs. 10A-10B, a SAIT tape cartridge 240 comprises a first cartridge face 242A, a second cartridge face 242B, a first cartridge side 244A, a second cartridge side 244B, a first cartridge end 246A, and a second cartridge end 248B. The distance between the first and second cartridge faces 242A, 242B defines the height of the cartridge, which is 0.85 in. The distance between the first and second side surfaces 244A, 244B defines the width of the cartridge, which is 4.15 in. The distance between the first and second ends 246A, 246B defines the depth of the cartridge, which is 4.02 in. The cartridge further comprises an orientation feature 248 that provides a basis for properly orientating the cartridge for insertion in to an SAIT tape drive so that data can be read from and/or written to the recording medium within the cartridge. The orientation feature 248 also provides a basis for orienting

all of the SAIT tape cartridges stored in a particular magazine in the same manner. The cartridge also comprises a pair of gripper notches 250A, 250B, which are typically used by a device that grips the cartridge during transport between a magazine and a drive.

[0100]

With reference to Figs. 11A-11B, a DLT tape cartridge 254 comprises a first cartridge face 256A, a second cartridge face 256B, a first cartridge side 258A, a second cartridge side 258B, a first cartridge end 260A, and a second cartridge end 260B. The distance between the first and second cartridge faces 256A, 256B defines the height of the cartridge, which is 1.00 in. The distance between the first and second side surfaces 258A, 258B defines the width of the cartridge, which is 4.15 in. The distance between the first and second ends 260A, 260B defines the depth of the cartridge, which is 4.16 in. The cartridge further comprises an orientation feature 262 that provides a basis for properly orientating the cartridge for insertion into a DLT tape drive so that data can be read from and/or written to the recording medium within the cartridge. The orientation feature in an actual DLT is somewhat more complex than the feature shown in Fig. 11A. The orientation feature 262 also provides a basis for orienting all of the DLT tape cartridges stored in a particular magazine in the same manner. The cartridge also comprises a single gripper notch 264, which is typically used by a device that grips the cartridge during transport between a magazine and a drive. The DLT tape cartridge 306 further comprises a recess 266 in the first end 260A that is typically used for to hold a label, such as a

bar-code label, that is used to identify the cartridge.

[0101] Generally, the magazine for holding multiple cartridges in a magazine-based data cartridge library comprises a structure that defines a space for holding the cartridges, a partitioning structure for dividing the space into a plurality of slots that are each capable of accommodating a data cartridge, and an engagement structure that allows a magazine transport to engage the magazine so that the magazine can be displaced towards and away from a shelf. Typically, the structure is comprised of a first pair of parallel sides and a second pair of parallel side that are perpendicular to the first pair of parallel sides. In many cases, the partitioning structure divides the space into slots that are capable of accommodating a single row of cartridges. If desired, a partitioning structure is feasible that divides the space into slots that are capable of accommodating two or more rows of cartridges.

[0102] Generally, the magazines employed within the library 202 are designed so that when a magazine is operatively situated in the library, any tape cartridges held by the magazine are oriented such that the face of the cartridge with the greatest surface area lies in a vertical plane.

[0103] With reference to Figs. 12A-12D, an embodiment of an LTO/SAIT magazine 270 that is capable of accommodating LTO and/or SAIT tape cartridges is described. The magazine 270 comprises: (a) a closed-loop side surface 272 that is comprised of a first and second end sides 274A, 274B, and first and second lateral sides 376A, 376B; (b) a bottom side 278; and (c) a plurality of partitioning elements 280 that

divide a space defined by the side surface 272 and bottom side 278 into ten slots. The first and second end sides 274A, 274B, and the first and second lateral sides 276A, 276B extend between the bottom side 278 and an edge 282 that defines the opening through which cartridges are inserted into and extracted from the magazine. Associated with each slot is an orientation structure 284 that is used to ensure that all of the LTO and/or SAIT cartridges held by the magazine have a predetermined orientation. Figures 13A and 13B respectively illustrate the interaction of the orientation feature 232 of an LTO cartridge with the orientation structure 284 and the orientation feature 248 of the SAIT cartridge with the orientation structure 284. Also associated with each slot are first pair of stand-offs 286A, 286B and a second pair of stand-offs 288A, 288B that position the notches of either an LTO or SAIT tape cartridge at a distance from the bottom side 278 that is substantially the same as the distance between the bottom surface of a DLT tape cartridge magazine and the notch of a DLT cartridge held therein. Consequently, regardless of whether an LTO, SAIT or DLT tape cartridge is presented to the cartridge transport 214, the notch or notches of the cartridge are at substantially the same distance from the bottom of the magazine. This simplifies the design of the cartridge transport 214. The distance between the first and second end sides 274A, 274B is approximately equal to the distance between the front and back surfaces of an LTO or SAIT drive, i.e., within about 20% of the distance between the front and back surface of such a drive.

[0104] Associated with the first end side 274A of the magazine 270 is a recess 290A for accommodating a label that is used to identify the magazine or distinguish the magazine from other magazines in the library. A recess 290B for accommodating an adhesive label is also associated with the second end side 326B. The recesses are associated with the first and second end surfaces because, when the magazine is operatively positioned on a shelf of the shelf system 208 in the library 202, either the first end side 274A or the second end side 274B will be exposed or visible so that any label residing in the recess can be read. One alternative to the use of a recess to hold an adhesive label is a sleeve or slot structure that can hold a non-adhesive label. Another alternative to the use of a recess is a radio frequency identification tag (RFID tag). An RFID tag can either be substantially permanently fixed to the magazine or removable. Further, the RFID tag can either have a permanent identification code or be programmable. Moreover, the RFID tag, unlike a bar-code label, does not necessarily require an unobstructed "line of sight" with a RFID tag reader to be read. Consequently, a single RFID tag may be sufficient.

[0105]

The magazine 270 further comprises a first and second rails 292A, 292B that are respectively associated with the first and second lateral sides 276A, 276B. With reference to Fig. 12C, the first and second rails 292A, 292B are located so as to establish an asymmetry that is used to position the magazine with a predetermined orientation within the library. More specifically, the first and second rails 292A, 292B are

asymmetric with respect to a plane 294 that bisects the magazine 270. The ability to position the magazine 270 with a predetermined orientation within the library and to position the cartridges held by the magazine with a predetermined orientation, in turn, substantially ensures that the cartridges will also be presented to the cartridge transport 214 in the same orientation. Other structures that establish an asymmetry relative to other planes are also feasible. The rails 292A, 292B also facilitate the guiding of the magazine 270 within the library 202 (e.g., guiding the magazine to a particular location on a shelf) and prevent the magazine from being displaced in certain directions. Respectively associated with the rails 292A, 292B are notches 295A, 295B. The notches 295A, 295B are used with complementary structures associated with the magazine transport 212 to hold the magazine 270 in place when the magazine is associated with the transport.

[0106]

With reference to Fig. 12D, associated with the bottom side 278 of the magazine 270 are a pair of detents 296A, 296B that cooperate with complementary structures associated with a shelf of the shelf system 208 to prevent the magazine from being displaced away from a shelf in an uncontrolled manner, i.e., being displaced away from the shelf by something other than the magazine transport 212. The detents 296A, 296B and the complementary structures associated with a shelf form a passive latch (i.e., a latch without any moving parts). A latch can also be realized by swapping the detents and the complementary structures,



i.e., associating the detents with the shelf and the complementary structures with the magazine. Also feasible is an active latch in which either an element associated with the magazine or an element associated with the shelf includes a moving component. Typically, the moving element comprises an element that operates in a spring-like fashion to allow the latch to be engaged and disengaged when desired. An example of an active latch mechanism is disclosed in U.S. Patent Application Publication No. US 2003/0076618 A1.

[0107]

The magazine 270 further comprises a first pair of engagement holes 298A, 298B that are utilized by the magazine transport 212 to displace the magazine 270 towards or away from a shelf in the shelf system 208. More specifically, the magazine transport 212 "hooks" the holes 298A, 298B and then pulls and/or pushes the magazine towards or away from a shelf. Associated with the first pair of engagement holes 298A, 298B is a first engagement flag structure 300 that is comprised of a pair of holes 302A, 302B, that are separated by a bar 304. The engagement flag structure 300 operates such that when magazine transport 212 has engaged the magazine, the bar 304 breaks a beam of light and when the magazine transport 212 is not engaging the magazine, the bar 304 does not break the beam of light. As an alternative to the engagement flag structure 300, the exterior surface of the bottom side 278 of the magazine 270 can be used to indicate when the magazine transport 212 is engaging the magazine and when the magazine transport 212 is not engaging the magazine. To elaborate,

the bottom side 278 can be used as a reflective surface that can be used to determine whether or not the magazine has been engaged by the magazine transport 212.

[0108]

The magazine 270 further comprises a second pair of engagement holes 306A, 306B, and a second engagement flag structure 308 that are used in the same manner as the first pair of engagement holes 298A, 298B and the first engagement flag structure 300. Two sets of engagement holes are utilized because when the magazine is associated with a shelf on one side of the magazine transport 212, the first pair of engagement holes 298A, 298B is accessible but the second pair of engagement holes 306A, 306B is not readily accessible. Conversely, when the magazine is associated with a shelf that is located on the opposite side of the magazine transport 212, the second pair of engagement holes 306A, 306B is accessible but the first pair of engagement holes 298A, 298B is not readily accessible. Presently, the magazine 270 has a third pair of engagement holes 310A, 310B with an associated third engagement flag structure 312 and a fourth pair of engagement holes 314A, 314B and an associated fourth engagement flag structure 316 that are capable of being used by the magazine transport 212 to move the magazine but are not currently utilized. It should be appreciated that other structures that are capable of being "hooked" are feasible. For instance, a single hole is feasible. Also, feasible are one or multiple indentations and one or multiple protrusions. Further, while the magazine transport 212 "hooks" the

magazine, it should be appreciated that the magazine 270 can be adapted to facilitate engagement of the magazine by a device that grasps the magazine between two arms. Possible structures to facilitate grasping of the magazine comprise a pair of holes in the magazine, a pair of indentations in the magazine, and a pair of protrusions that extend outward from one or more surfaces of the magazine.

[0109]

With reference to Figs. 14A-14B, an embodiment of a DLT magazine 320 that is capable of accommodating DLT tape cartridges is described. The DLT magazine 320 is substantially identical to the LTO/SAIT magazine 270. Consequently, those elements of the DLT magazine 320 that are common to both the DLT magazine 320 and LTO/SAIT 270 are not described further. Further, in describing the DLT magazine 320, elements of the DLT magazine 320 that are substantially identical to elements in the LTO/SAIT magazine 270 will bear the same reference number as those substantially identical elements in the LTO/SAIT magazine 270. The DLT magazine 320, in addition to the elements that are common to the LTO/SAIT magazine 270, comprises partitioning elements 322 that divide the interior space of the magazine into nine slots. Associated with each slot is an orientation structure 324 that is used to ensure that all of the DLT cartridges held by the magazine have a predetermined orientation. Figure 15 illustrates the interaction of the orientation feature 262 of a DLT cartridge with the orientation feature 324 of the magazine. It should also be noted that the DLT magazine 320 does not employ any

stand-offs.

[0110] Having described the cartridges and magazines on which the library 202 operates, the general layout of the library 202 is now described. As shown in Fig. 16, the layout of the library 202 is a rectilinear layout that conforms to the specifications for the rectilinear layout illustrated in Fig. 2B. More specifically, the shelf system 208 comprises five columns of shelving 328A located along a first plane 330A, two columns of shelving 328B located along a second plane 330B, and two columns of drives 332 located along the second plane 330B. The magazine transport 212 and cartridge transport 214 are each located in the space between the first and second planes 330A, 330B and each move at least within a portion of the space between the first and second planes 330A, 330B. Most of the other elements of the library 202 also conform to the rectilinear layout. Specifically, the entry/exit port 206 is located within the five columns of shelving 328A and along the first plane 330A; the power supply 216 is located below the two columns of drives 332 and either along the second plane 330B or along a parallel plane; the control system 218 is located below the two columns of shelving 328B and either along the second plane 330B or along a parallel plane; and the fans 220 are located under the five columns of shelving 328A and either along the first plane 330A or a parallel plane.

[0111] In addition to the general layout of the library 202, the user has a choice about the function of certain space in the library 202. To elaborate and with reference to Fig. 7B, the library 202 comprises a

user-definable space 332 with six stations that are each capable of accommodating either one of two types of modules. Each of the stations is capable of accommodating a drive bay module that holds up to four drives or a magazine bay module that provides shelving for up to four magazines. A user-definable space with less than six stations is feasible. Further, if multiple stations are employed, each station does not need to have the same dimensions. For instance, a station could accommodate either only one drive or provide shelving for only one magazine.

[0112]

With reference to Figs 6A-6C, 7A-7B and 8A, 8B, the frame 204 comprises elements that define the edges of a box-like structure and the surfaces of the box-like structure. The surfaces comprise a front surface 340A, a back surface 340B, a first side surface 340C, a second side surface 340D, a top surface 340E, and a bottom surface 340F. Associated with the front surface 340A are the entry/exit port 206, an operator interface 342 that is implemented with a touch screen, and a grill structure 344 that covers the fans 220. Associated with the back surface 340B are a fixed panel 346 that is located adjacent to a series of shelves, a hinged door panel 348 that provides access to the drives 210 and the power supply 216, an opening 350 through which an AC cable(s) is/are connected to the power supply 216, and a grill 352 for promoting air circulation within the library. The first side surface 340C is removable so that the library 202 can be cascaded with at least one add-on unit to expand the library. Also associated with the first side

surface 340C is a first clear panel 354A that allows an operator to view the interior of the library 202. Similarly, a second clear panel 354B is associated with the second side surface 340D. The top surface 340E includes a pair of knockouts 356A, 356B that can be used to receive one or more AC cables that are connected to the power supply 216 and/or one or more computer cables that are used to connect the library to a host computer. Also associated with the top surface 340E is a fire suppression system hole 358 for receiving a nozzle or other device for dispensing a fire retardant into the library 202. Associated with the bottom surface 340F are casters 360A-D that facilitate movement of the library and adjustable stands 362A-D that allow the library to be leveled after the library has been positioned in a relatively permanent location. Further associated with the bottom surface 340F are openings 364A, 364B for receiving one or more AC cables that are connected to the power supply 216 and/or a computer cable(s) that are used to connect the library to a host computer.

[0113] The library 202 has a depth of 43.16 in. as measured from the front surface 340A to the back surface 340B, a width of 30.50 in. as measured from the first side surface 340C to the second side surface; and a height of 74.25 in. as measured from the top surface 340E to the bottom surface 340F.

[0114] Generally, the entry/exit port 206 serves to move magazines between: (a) an environment that is exterior to the library 202 and accessible to a user; and (b) a space located within the library 202 and accessible by

the magazine transport 212. When the entry/exit port 206 is exposed to the exterior environment such that an operator can either remove a magazine that has been conveyed from the space within the library or place a magazine in the entry/exit port 206 for conveyance to the space within the library 202, the port 206 is in a first state. Similarly, when the port 206 is exposed to the space located within the library such that the magazine transport 212 can either remove a magazine that is in the space or place a magazine in the space (typically, for conveyance to the exterior environment) the magazine is in a second state.

[0115]

With reference to Figs. 17A and 17B, the entry/exit port 206 is comprised of a first entry/exit port 368 and a second entry/exit port 370 that operates independently of the first entry/exit port 368. The first and second entry/exit ports 368, 370 provide greater throughput than a single entry/exit port, i.e., more magazines can be moved between the exterior environment and the spaces within the library 202 associated with the first and second entry/exit ports 368, 370 over a given time period than is possible with a single exit/entry port of the same design. In addition, the first and second entry ports 368, 370 provide redundancy. Consequently, if one of the first and second entry/exit ports 368, 370 should become disabled, the other port can, in many cases, still be used to transport magazines. A library with a single entry/exit port is also practicable. With continuing reference to Figs. 17A and 17B, the entry exit port 206 comprises a chassis 372 with an exterior side 374A that is exposed to the exterior environment or

adjacent to the front side 340A and an interior side 374B that is exposed to the magazine transport 212 and is substantially located along the plane 330A. In the illustrated embodiment, the first entry/exit port 368 is in the second state, i.e., a magazine (such as magazine 376) can be removed from a space 378 by the magazine transport 212 or a magazine (assuming magazine 376 is no longer present in the space) can be inserted into the space 378 by the magazine transport 212. Conversely, the second entry/exit port 370 is in the first state, i.e., an operator can remove a magazine (such as magazine 380) from the port 370 or an operator can associate a magazine (assuming magazine 380 is no longer present) with the port 370 for transport to the space 382.

[0116]

The first entry/exit port 368 is substantially identical to the second entry/exit port 370. Consequently, the first entry/exit port 368 is described with the understanding that the description is also applicable to the second entry/exit port 370. Generally, the first entry/exit port 368 utilizes a support to move a magazine between: (a) a first location at which an operator can either associate a magazine with the support or remove a magazine from the support; and (b) a second location at the magazine transport 212 can either remove a magazine from the support or associate a magazine with the support. Further, the first entry/exit port 368 also utilizes a door system for preventing an operator from accessing the interior of the library and possibly getting injured by one of the moving elements. The door system is comprised



of an interior door and an exterior door. The door system operates such that when the support is in the first location, such that an operator can either associate a magazine with the support or remove a magazine from the support, the exterior door is open and the interior door is closed or closing to prevent the operator from accessing the interior of the library 202. Conversely, when the support is in the second location, such that the magazine transport 212 can either associate a magazine with the support or remove a magazine from the support, the interior door is open to provide the magazine transport 212 with access to the support and the exterior door is closed or closing to prevent an operator from accessing the interior of the library 202.

[0117] With reference to Figs. 18A-18E, the first entry/exit port 368 is generally comprised of: (a) a tray 386 for supporting a magazine; (b) a door assembly 388 that comprises an exterior door and an interior door, is attached to the chassis 372, and used to support the tray 386; and (c) an actuator system 390 for moving the tray 386, the exterior door, and the interior door.

[0118] The tray 386 is comprised of a bottom side 392, first and second side walls 394A, 394B, an exterior end wall 396 and a movable end wall 398. The bottom side 392, first and second side walls 394A, 394B and exterior end wall 396 define a shallow interior space for supporting a magazine. The first and second side walls 394A, 394B prevent the magazine from moving laterally. The movable end wall 398 prevents a magazine from moving in the direction of movable end wall 398 under

certain conditions but permits a magazine to move in the direction of the movable end wall 398 under other circumstances. To elaborate, the movable end wall 398 is moveable between a first position and a second position. In the first position, the movable end wall 398 prevents a magazine that is located in the interior space from moving in the direction of the movable wall 398. The movable end wall 398 performs this preventive function when the tray 386 is moving between the first location and the second location, e.g., when a magazine is being inserted into the library 202 from the exterior environment. In this situation, the magazine acquires momentum that, if the moveable end wall 398 were not in the first position, could potentially cause the magazine to slide on the tray and into the space in which the magazine transport 212 and cartridge transport 214 operate, potentially damaging the library. In the second position, the movable end wall 398 allows the magazine transport device 212 to either place a magazine on the tray 386 or remove a magazine from the tray 386. The movable end wall 398 is placed in the second position after the tray 386 has been moved to the second location, i.e., the location at which the magazine transporter 212 is able to either load the tray 386 with a magazine or remove a magazine from the tray 386, or at a time before the tray 386 has reached the second location but at which it is unlikely that any momentum imparted to the magazine is likely to carry the magazine into the magazine transporter 212 or cartridge transport 214 operational space.

[0119] Associated with the bottom side 392 of the tray 386 is a linear rail 400 that mates with a rail block located on the door assembly 388 to allow the tray 386 to slide between the first and second locations. Also associated with the bottom side 392 is a sensor assembly 402 that is used to sense the possible presence of a magazine in the interior space of the tray 386 and a flex cable 404 that allow the signals produced by the sensor assembly 402 to be conveyed to the control system 218. Further associated with the bottom side 392 is a pair of brackets 406A, 406B to which the movable end wall 398 is mounted.

[0120]

Associated with the first and second side walls 394A, 394B are guides 408A, 408B that form part of a linkage that is used to move the exterior and interior doors between the open and closed positions. A first pair of end blocks 410A, 410B are located at the ends of the guides 408A, 408B that are located adjacent to the exterior end wall 396. A second pair of end blocks 412A, 412B are located at the ends of the guides 408A, 408B that are located adjacent to the movable end wall 398. A drive block 414 that is used to receive the motive forces from the actuator system 390 that cause the tray 386 to slide between the first and second locations is associated with the first side wall 394A. Also associated with the first side wall 394A is an orientation feature 416 that is used in conjunction with the rails 292A, 292B of a magazine to substantially ensure that a magazine that an operator places in the interior space of the tray 386 has a desired orientation. To elaborate, if an operator places a magazine in the interior space of the tray 386 with

magazine oriented such that the rails 292A is disposed adjacent to the orientation feature 416, the magazine is properly oriented and the bottom side 278 of the magazine lies flush with the bottom side 392 of the tray. If, however, an operator places a magazine in the interior space of the tray 386 with the magazine oriented such that the rail 292B is disposed adjacent to the orientation feature 416, the magazine is not properly oriented and the bottom side 278 of the magazine will not lie flush with the bottom side 392 of the tray. Further, the sensors associated with the sensor assembly 402 are able to detect this condition and provide the control system 218 with this information so that the control system 218 can prevent the tray 386 from being moved until the magazine is properly oriented. In one embodiment, the sensor assembly 402 comprises two optical sensors that are disposed laterally across the tray. Another sensor is operatively attached to the chassis adjacent to the exterior door and operates to sense the presence of an object adjacent to the door. The signals produced by the two optical sensors and the door sensor are capable of being used to determine if a magazine is not present in the tray 386, if a properly loaded magazine is present in the tray 386, an improperly loaded magazine is present in the tray 386, or something other than a magazine is present in or adjacent to the tray 386

[0121]

Associated with the exterior end wall 396 is a sloped surface 418 that facilitates insertion of a magazine into the interior space of the tray 386 when the tray is in the first location. The sloped surface 418 terminates

before reaching the bottom side 392 so that a corner 419 is formed for receiving the corner formed by the end side 274A and bottom side 278 of a magazine. Further, when the movable end wall 398 is in the first position and a magazine is in the interior space of the tray 386, the magazine is clamped between the corner 419 and the movable end wall 398.

[0122] The movable end wall 398 is comprised of an S-shaped member 420 that is pivotally attached to the brackets 406A, 406B. A spring (not shown) biases the S-shaped member 420 so that the S-shaped member 420 is in the first position, as shown in Fig. 18C, to prevent a magazine from entering the operational space of the magazine transport 212 and cartridge transport 214 in an uncontrolled fashion. The S-shaped member 420 further comprises a camming surfaces 424A, 424B that cooperate with camming elements associated with the door assembly 388 to move the S-shaped member 420 into the second position, shown in Fig. 19E, so that the magazine transport 212 can either remove a magazine from the tray 386 or associate a magazine with the tray 386.

[0123] With reference to Fig. 18D, the door assembly 388 comprises a door mounting plate 428, exterior door 430A, and an interior door 430B. The exterior door 430A is pivotally connected to the door mounting plate 428 via mounting blocks 432A, 432B, which constrain the exterior door 430A to rotate about a horizontal axis. The exterior door 430A comprises first and second connection flanges 434A, 434B for

establishing pivotal connections with a linkage that is used to move the door. Similarly, the interior door 430B is pivotally connected to the door mounting plate 428 via mounting blocks 436A, 436B, which constrain the interior door 430B to rotate about a horizontal axis. The interior door 430B comprises first and second connection flanges 438A, 438B for establishing pivotal connections with a linkage that is used to move the door. Also comprising the door assembly 388 is a rail block 440 that cooperates with the linear rail 400 of the tray 386 to allow the tray to slide between the first and second locations. The door assembly 388 further comprises a pair of cam elements 442A, 442B that interact with a camming surfaces 424A, 424B associated with the movable end wall 398 to move the S-shaped member 420 to the second position so that the magazine transport 412 can either remove a magazine from the tray 386 or place a magazine on the tray 386.

[0124]

The actuator system 390 operates to move the tray 386 between the first and second positions and to move the exterior and interior doors 430A, 430B between open and closed positions. Moreover, the actuator system 390 coordinates the opening and closing of the interior doors 430A, 430B with the movement of the tray 386 between the first and second positions. To elaborate, the actuator system 390 operates such that during the time that the tray 386 is moving from the first location to the second location (e.g., when a magazine is being inserted into the library 202), the exterior door 430A moves between open and closed positions and the interior door 430B moves between closed and

open positions. Conversely, the actuator system 390 operates such that during the time that the tray 386 is moving from the second location to the first location (e.g., when a magazine is being extracted from the library), the exterior door 430A moves between closed and open positions and the interior door 430B moves between the open and closed positions.

[0125]

The actuator system 390 comprises a pair of brackets 446A, 446B that support a lead screw 448, a nut 450 that is attached to the lead screw 448 and has a post that engages a hole in the drive block 414, an electric motor 452, and a pair of gears 454A, 454B that transmit a rotational force produced by the electric motor 452 to the lead screw 448. Further comprising the actuator system 390 is a first pair of slotted blocks 456A, 456B (see Fig. 19A) that are operatively associated with the guide 408A. A second pair of slotted blocks 458A, 458B are operatively associated with the guide 408B. The actuator system 390 further comprises a first pair of links 460A, 460B that respectively, pivotally connect the first connection flange 434A of the exterior door 430A with the slotted block 456A and the first connection flange 438A of the interior door 430B with the slotted block 456B. Similarly, a second pair of links 462A, 462B respectively, pivotally connect the second connection flange 434B of the exterior door 430A with the slotted block 458A and the second connection flange 438B of the interior door 430B with the slotted block 458B. The position of the tray 386 is provided by a detector that operates to detect the presence of a

flag associated with the tray when the tray is at a home position and an encoder associated with the motor 452. Other position detecting schemes are feasible.

[0126] With reference to Figs. 19A-19F, the operation of the entry/exit port 368 in moving a magazine 466 between an environment in which the tray 386 is accessible by an operator to a space that is accessible to the magazine transport 212 (i.e., in direction 468) is described.

[0127] With reference to Fig. 19A, the entry/exit port 368 is in the first state, i.e., the tray 386 is at a location at which an operator can associate a magazine with the tray 386. For illustration, the magazine 466 has been associated with the tray 386. In this regard, the spring associated with the movable end wall 398 has placed the S-shaped member 420 in the first position. As a consequence, the magazine 466 is prevented from sliding in the direction 468. Further, the magazine 466 is clamped between the corner 419 and the S-shaped member 420. At this point, the exterior door 430A is open and the interior door 430B is closed. Additionally, the slotted block 456B is either contacting the rail end block 412A or very close to the rail end block 412A.

[0128] With reference to Fig. 19B, the actuator system 390 has begun to move the tray 386 in the direction 468; the exterior door 430A is still open and the interior door 430B has moved from the closed position towards the open position. More specifically, the electric motor 452 has been used to produce a rotational force that has been transmitted by the pair of gears 454A, 454B to the lead screw 448. The rotation of the lead screw



448 has caused the nut 450 to move towards the electric motor 452. The nut 450, in turn, has applied a force to the tray 386 via the drive block 414 that has caused the tray 386 to slide over the rail block 440 and towards the electric motor 452. As the tray 386 has moved towards the electric motor 452, either gravity or contact with the tray 386 has caused the interior door 430B to begin rotating towards the open position. However, interaction between the rail end block 412A and the slotted block 456B, which is connected to the interior door via the link 460B, has controlled the movement of the interior door 430B.

[0129] With reference to Fig. 19C, the actuator system 390 has continued to move the tray 386 in the direction 468, the exterior door 430A is still in the open position and the interior door 430B is now also in the open position. More specifically, the electric motor 452 has continued to move the tray 386 in the manner described with respect to Fig. 19B. Further, the interaction between the rail end block 412A and the slotted block 456B has continued to control the movement of the interior door 430B between the closed position and the open position.

[0130] With reference to Fig. 19D, the actuator system 390 has continued to move the tray 386 in the direction 468, the exterior door 430A has now begun to move from the open position towards the closed position, and the interior door 430B remains in the open position. More specifically, the electric motor 452 has continued to move the tray 386 in the manner described with respect to Fig. 19B. In addition, the movement of the tray 386 has caused the rail end block 410A to begin applying a

motive force to the slotted block 456A. In turn, the slotted block 456A, due to the connection with the exterior door 430A via the link 460A, has begun to cause the exterior door 430A to rotate towards the closed position.

[0131] With reference to Fig. 19E, the actuator system 390 has continued to move the tray 386 in the direction 468; the exterior door 430A is now in the closed position, the interior door 430B remains in the open position, and the S-shaped member 420 has been moved to the second position so that the magazine transport 212 can engage the magazine 466. More specifically, the electric motor 452 has continued to move the tray 386 in the manner described with respect to Fig. 19B. In addition, the movement of the tray 386 has caused the rail end block 410A to continue applying a motive force to the slotted block 456A. In turn, the slotted block 456A, due to the connection with the exterior door 430A via the link 460A, has caused the exterior door 430A to rotate to the closed position. In addition, the movement of the tray 386 has caused the cam elements 442A, 442B to engage the camming surfaces 424A, 424B of the S-shaped member 420 and rotate the S-shaped member such that the magazine 466 can be removed from the tray 386 by the magazine transport 212. At this point, the entry/exit port 368 is in the second state.

[0132] It should be appreciated that to extract a magazine from the library 202 using the entry/exit port 368, the sequence of operations illustrated in Figs. 19A-19E is reversed.

[0133] It should also be appreciated that the entry/exit port 368 is capable of being modified in a number of ways, provided a magazine transport is provided that is able to place a magazine in the port or remove a magazine from the port. The following sets forth some of these other embodiments. For example, the tray 386 is a support for a magazine that primarily supports a magazine from below. Depending upon the design of a magazine, a support that contacts one or both of the sides of a magazine or the top surface of a magazine is feasible. Further, the tray 386 employs the orientation feature 416 to substantially ensure that magazines that employ the asymmetrical rails 292A, 292B are properly inserted into the tray 386. The entry/exit port 368 is capable of being adapted to magazines that employ a different orientation feature.

[0134] Additionally, structures other than the movable end wall 398 can be employed to prevent undesired movement of a magazine. For instance, a device that clamps the side surface of the magazine can be employed. If a particular magazine design has top and bottom surfaces, a device that clamps these surfaces is also feasible. Another alternative to preventing undesired movement of a magazine is to provide a structure that engages a feature of the magazine, such as a hole, indentation or protrusion. Further, a movable end wall in which the magazine transport, rather than the entry/exit port, causes the wall to move between positions is feasible.

[0135] Furthermore, while the actuation system 390 employs the electric motor 452 and linkages to cause the tray 386, exterior door 430A, and interior

door 430B to move in a coordinated fashion, other approaches are feasible. For example, a system in which separate electrical motors or other motive components (such as solenoids) are associated with the magazine support and each of the doors is feasible. In this case, coordination of the movements of the tray and the doors is achieved by controlling the motors or other motive components. Similarly, a system in which an electrical motor or other motive component is associated with the magazine support and another motor or motive component is associated with the combination of the two doors is feasible. In this case, the operation of the two doors is coordinated by a mechanical linkage extending between the doors, and the movements of the support and the doors is coordinated by appropriately controlling the motors or other motive components. It should also be appreciated that designs employing linear actuators (such as solenoids) are feasible. Further, other approaches for moving the magazine holder or support, such as a belt-and-pulley and rack-and-pinion systems, are feasible.

[0136]

Additionally, while the entry/exit port 368 employs planar doors that each rotate about horizontal axes that are parallel to the planes of the doors, many other designs are feasible. For example, designs in which a door rotates about an axis that is parallel to the plane of the door but not a horizontal axis (e.g., a vertical axis) is feasible. Designs in which a door rotates about an axis that is substantially perpendicular to the plane of the door are also feasible. An example of such a design is shown in Fig. 20A. In this design, both an exterior door 472A and an

interior door 472B that resides in a plane that is substantially parallel to the plane of the exterior door 472A rotate about an axis that is perpendicular to the planes of both of the doors. In addition, the exterior door 472A and the interior door 472B are angularly or rotationally offset. Coordination of the opening and closing of the doors is achieved by a rod 474 that connects the doors. It should be noted that with such a design, the exterior door 472A and the interior door 472B move simultaneously. Consequently, when one of the doors is moving from the open position to the closed position, the other door is moving from the closed position to the open position. Also feasible are designs in which a door is linearly translated between open and closed positions, rather than rotated between open and closed positions. An example of such a design is shown in Fig. 20B. In this design, a door 476 is disposed between a pair of guides 478A, 478B that constrain the door 476 to move linearly between open and closed positions. A linkage 480 is used to apply the forces needed to move the door 476 between open and closed positions. In Fig. 20B, the door 476 is in an open position so that a magazine 482 can pass through the door structure. Also feasible are door designs in which a door is comprised for two or more elements. An example of such a design is shown in Fig. 20C. In this design, a door structure 484 is comprised of a pair of doors 486A, 486B that are constrained to move linearly by guides 488A, 488B. A linkage 490 is used to transmit the forces that cause the doors 486A, 486B to move: (a) towards each other to achieve a closed position, and (b) away from each other to achieve an open position. Further feasible are

doors that employ non-planar doors. An example of such a design is shown in Fig. 20D. In this design, a curved door 492 is constrained to rotate about an axis 494 by a pair of curved guides 496A, 496B and a linkage 498. Also feasible is a "roll top" door. An example of door structure that utilizes roll top doors is shown in Fig. 20E. In this design, a door structure 500 is comprised of a pair of roll-top doors 502A, 502B that are connected to one another by a flexible cable structure 504. A guide structure 506 defines a track around which the doors 502A, 502B. This design also allows for overlap in the times during which one of the doors is transitioning between an open position and a closed position and the other door is transitioning between a closed position and an open position.

[0137] While the entry/exit port 368 employs a movable tray 386, an entry/exit port that employs a cartridge holder that does not move is also feasible.

[0138] An alternative to an entry/exit port that employs a pair of doors is a carousel entry/exit port. An example of a carousel entry/exit port is shown in Figs. 21A-21B. In this design, a carousel entry/exit port 508 is comprised of a housing 510 that defines a port 512 for holding a magazine. The housing 510 rotates about an axis 514. Rotation is accomplished by an electric motor (not shown). In operation, the carousel exit/entry port 508 rotates between a first location at which an operator can either remove a magazine from the port 512 or associate a magazine with the port 512 and a second location at which a magazine transport device can either remove a magazine from the port

512 or associate a magazine with the port 512.

[0139] Generally, the shelf system 208 serves to support magazines in the library 202 in a preferred or desired orientation. The shelf system 208 orients magazines in the library such that: (a) the magazines are parallel to one another; (b) any cartridges contained in the magazines are oriented to facilitate insertion and extraction by the cartridge transport 214; and (c) a high data density footprint is achieved. Furthermore, the shelf system 208, each of the shelves comprising the shelf system 208 is capable of supporting multiple magazines. However, a shelf system with a shelf that is only capable of accommodating a single magazine is feasible.

[0140] With reference to Fig. 16, the shelf system 208 is comprised of the five columns of shelving 328A and the two columns of shelving 328B. With reference to Figs. 7A-7B and 8A-8B, the five columns of shelving 328A are realized by ten, horizontally extending shelves that are each capable of supporting up to five magazines and three, horizontally extending shelves that are each capable of supporting up to three magazines. The two columns of shelving 328B are realized by twelve, horizontally extending shelves that are each capable of supporting up to two magazines.

[0141] Figures 22A illustrates a shelf 518 that is capable of accommodating up to five magazines. Shelf 518 is described with the understanding that the description is also applicable, with appropriate modifications, to the shelves that are capable of accommodating different numbers of

magazines, such as the shelves in the library 202 that are capable of accommodating up to three magazines and up to two magazines. The shelf 518 comprises a planar member 520 for supporting magazines from underneath. The shelf further comprises six cleats 522A-F that serve a number of purposes. Specifically, the cleats 522A-F: (a) divide the shelf 518 into five cells, each of which is capable of accommodating a single magazine; (b) constrain any magazines supported by the shelf 518 to be oriented substantially parallel to one another; (c) constrain any magazines supported by the shelf 518 to be oriented such that any cartridges in the magazines are all oriented in the same manner to facilitate insertion and extraction of the cartridges by the cartridge transport 214; (d) prevent, due to the spacing between consecutive cleats, lateral displacements (i.e., displacements along the x-axis) of any magazines supported by the shelf 518; (e) prevent vertical displacements (i.e., displacements along the z-axis) of any magazines supported by the shelf 518; (f) resist undesired horizontal displacements (i.e., displacements along the y-axis) of a magazine supported by the shelf 518; (g) constrain the direction that a magazine is either moved towards the shelf or away from the shelf to horizontal displacements (i.e., displacements along the y-axis).

[0142]

With reference to Fig. 22B, the cleat 522A is described with the understanding that the description is also applicable to cleats 522B-F. The cleat 522A is comprised of a dual guide structure 524 with an upper guide 526A for receiving the rail 292A of a magazine and a lower



guide 526B for receiving the rail 292B of a magazine. The cleat 522A also comprises prongs 528A-528D that engage holes (not shown) in the planar member 520 and operate to hold the cleat 522A in place on the planar member 520. Additionally, the cleat 522A comprises a receptacle 530 (which also extends away from the side of the cleat 524 not seen in Fig. 22B) for accommodating one of the detents 296A, 296B associated with a magazine, and when accommodating one of the detents, operating to resist undesired horizontal displacements of the magazine. The cleat 522A also comprises an end surface 532 that is detectable by the magazine transport 512 and facilitates positioning of the magazine transport 512 relative to the shelf 512 and relative to a particular magazine supported by the shelf. The end surface 532 presently has a reflective character that makes the end surface distinguishable from the adjacent features. A contrasting color approach is also feasible, as well as a tactile approach.

[0143]

Figure 22C demonstrates the manner in which two cleats cooperate to achieve some of the previously noted purposes. The operation of cleats 522B and 522C on a magazine 534 is described with the understanding that other pairs of cleats operate in a substantially similar manner. The cleats 522B and 522C are spaced from one another such that the upper guide 526A of cleat 522B captures the rail 292A of the magazine 534 and the lower guide 526B of the cleat 522C captures the rail 292B of the magazine 534. As a consequence, the cleats 522B and 522C cooperate to prevent the magazine 534 from being laterally and

vertically displaced. Additionally, the cleats 522B, 522C limit displacements of the magazine 534 to horizontal displacements along the y-axis. Although not shown in Fig. 22C, it should be appreciated that the detents 296A, 296B of the magazine 534 are respectively engaging the receptacle 530 associated with the cleat 522B and the receptacle 530 associated with the cleat 522C to prevent undesired horizontal displacements of the magazine 534. Further, the cleats 522B, 522C cause the magazine 530 to be oriented such that a cartridge 536 has a particular orientation on the shelf 518 and within the library 202. To elaborate, as previously noted, each magazine has an orientation structure associated with each slot that substantially ensures that all of the cartridges stored by the magazine have the same orientation in the magazine. The rails of the magazines 292A, 292B cooperate with the guides 526A, 526B associated with a pair of cleats to substantially ensure that the magazine has a particular orientation on the shelf 518. As a consequence, the guides 526A, 526B also substantially ensure that the cartridge 536 has a particular orientation on the shelf 518 and within the library 202. Further, since all of the cleats 522A-522F are oriented on the planar member 520 in the same way, all of the magazines supported by the planar member 520 have the same orientation and all of the cartridges contained by all of the magazines have the same orientation, as shown in Fig. 22A. It should be further appreciated that the shelf 518 and the magazine 534 cooperate such that the cartridge 536 is oriented in the library such that the faces of the cartridge 536 with the greatest surface areas (in this

particular case, faces 242A, 242B of the SAIT data cartridge) each lie in a vertical plane. Moreover, each pair of cleats substantially ensures that the cartridges contained in any magazines are oriented such that the faces of the cartridge with the greatest surface areas each lie in a vertical plane that is substantially perpendicular to the axis or direction in which the cleats allow the magazine to be displaced, namely, along the y-axis. Orienting the cartridges in this manner contributes to a high data density footprint.

[0144] With continuing reference to Fig. 22C, it should also be appreciated that cleats 522B and 522C each serve as a guide for a magazine that may be disposed on the planar member 520 adjacent to the magazine 534. To elaborate, the upper guide 526A of cleat 522B serves to capture the rail 292A of the magazine 534 and the lower guide 526B of the cleat 522B will serve to capture the rail 292B of any magazine that is disposed on the planar member 520 immediately adjacent to one side of the magazine 534. Likewise, the lower guide 526B of the cleat 522C serves to capture the rail 292B of the magazine 534 and the upper guide 526A of the cleat 522C will serve to capture the rail 292A of any magazine that is disposed on the planar member 520 immediately adjacent to the other side of the magazine 534.

[0145] With reference to Figs. 7A-7B and 8A-8B, each shelf of the shelf system 208 that has an immediately overlying shelf is vertically spaced from the immediately overlying shelf by a distance that is only slightly greater than the distance between the bottom side 278 of the magazine

and the surface associated with a cartridge that is properly located in the magazine and furthest from the bottom side 278 of the magazine. This spacing between shelves also contributes to achieving a high data density footprint.

[0146]

It should also be appreciated that the shelf system 208 is capable of being modified in a number of ways. The following sets forth, without limitation, some possible modifications or alternative embodiments. For example, a shelf system is feasible in which each shelf is capable of supporting only a single magazine. Also feasible is a shelf system that employs a structure other than a planar member to support one or more magazines. For instance, a shelf that utilizes two, L-shaped brackets to support a magazine is feasible. Further, a shelf system in which a shelf provides support to a magazine other than from a location below the magazine is also practicable. Depending upon the design of a magazine, a shelf that provides support to: (a) one side of the magazine; (b) both of sides of a magazine; or (c) the top surface of a magazine is feasible. A shelf that is tilted to prevent undesired displacements of a magazine is also practicable. Such a shelf would avoid the need for a latch structure, such as receptacle 530. Further, a shelf that incorporates an active latch or retention structure that is actuated by a magazine transport is also possible. A shelf system in which there is greater vertical spacing between shelves is practicable but in most cases will compromise the data density footprint of the library. Further, the shelf system 208 holds magazines in a grid array or

X-Y array. A shelf system 208 that holds magazines in different arrays is also feasible. Another embodiment of a shelf system comprises one or more fixed slots each for holding a cartridge. Among the types of cartridges that can be held in such a fixed slot is a "cleaning" cartridge, i.e., a cartridge that is used to hold a cartridge that is adapted to clean the heads associated with a drive.

[0147] As shown in Fig. 8B, each of the drives 210 has a horizontal orientation with the long dimension of the receptacle for receiving a cartridge extending horizontally. It should be appreciated that it is feasible for each of the drives to have a different orientation, such as vertical orientation. It is also possible to have one or more drives with one orientation and one or more drives with a different orientation. It should also be appreciated that the orientation or orientations of the drives, the orientation or orientations of cartridges stored in the library 202, and the orientations of the drives relative to the cartridges generally dictate the manner in which the cartridge transport must manipulate the cartridges.

[0148] Each of the drives 210 is also one of an LTO, SAIT and DLT tape drive. Typically, all of the drives are one of an LTO, SAIT and DLT tape drive. The library 202 is capable of being adapted to accommodate other tape drives. Moreover, the library 202 is adaptable to drives that operate on other types of cartridges, such as disk cartridges.

[0149] With reference to Fig. 8B, the library 202 is capable of accommodating up to twenty-four, full height drives, where each of the drives is one of an LTO, SAIT or DLT tape drive. The library 202 is also capable of

accommodating up to forty-eight, half-height drives, where each of the drives is one of an LTO, SAIT or DLT tape drive. The library 202 is capable of being modified to accommodate greater or lesser numbers of drives. However, the library 202 must have at least one drive to be functional. Also feasible are combinations of full-height and half-height drives.

[0150] Each of the drives 210 in the library 202 is mounted in a drive bay assembly that provides the ability to relatively quickly mount and demount a drive from the library. Generally, this ability is achieved using: (a) a sled that is capable of holding a drive; (b) a housing for receiving the sled; and (c) a plug structure that allows a drive that has been attached to a sled to be mounted by inserting the sled into the housing and demounted by removing the sled from the housing.

[0151] With reference to Figs. 23A-23C, an embodiment of a drive bay assembly 540 is described. The drive bay assembly 540 is capable of accommodating up to four, full-height drives or up to eight, half-height drives, and various combinations of full-height and half-height drives. The drive bay assembly 540 is mounted to a ladder frame 542 that defines six compartments 544A-544F that are each capable of accommodating one of the drive bay assembly 540. Generally, when the drive bay assembly 540 is mounted in one of the compartments 544A-544F and a drive is mounted in the drive bay assembly 540, the receptacle of the drive is positioned so that the cartridge transport 214 can insert a cartridge into and extract a cartridge from the drive. The

sled holding the drive is accessible to an operator by opening the hinged door 348. Consequently, the operator is able to remove the sled and associated drive from the library without substantial exposure to the magazine transport 212 or cartridge transport 214. Similarly, the operator is also able to insert a sled and a drive into the library without having any substantial exposure to the magazine transport 212 or the cartridge transport 214. Figure 23A illustrates the drive bay assembly 540 populated with four drives 546A-546D that, if the drive bay assembly 540 were mounted to the ladder frame 542, would be positioned for insertion and extraction of cartridges by the cartridge transport 214. Similarly, Figure 23B illustrates the drive bay assembly 540, with the assembly's four sleds 548A-548D that, if the drive bay assembly 540 were mounted to the ladder frame 542, would be positioned for extraction and insertion operations by an operator.

[0152]

With reference to Figs. 24A-24F, the drive bay assembly 540 is comprised of a housing 550 that defines four drive bays 552A-552D that are each capable of accommodating a single drive. The housing 550 also defines a quad unit interface processor bay 554 for holding a quad unit interface processor ("QIP") that is used to receive power, control and data signals and distribute the signals to any drives residing in the housing 550. The housing 550 further includes a first mounting flange 556 with a first pair of notches 558A, 558B and a second mounting flange 560 with a second pair of notches 562A, 562B. The first pair of notches 558A, 558B and second pair of notches 562A,

562B respectively correspond with a first pair of threaded holes 564A, 564B and a second pair of threaded holes 566A, 566B associated with each compartment of the ladder frame 542. To mount the drive bay assembly 540 to the ladder frame 542, the notches 558A, 558B of the mounting flange 556 and the notches 562A, 562B of the mounting flange 560 are respectively aligned with the threaded holes 564A, 564B, 566A and 566B of the ladder frame 542 and then bolts or screws are used to secure the drive bay assembly 540 to the ladder frame 542. Demounting of the drive bay assembly 540 from the ladder frame 542 is accomplished by removing the bolts or screws.

[0153]

The drive bays 552A-552D are substantially identical to one another. Consequently, only drive bay 552B is described with the understanding that the description is also applicable to the other drive bays. Drive bay 552B is comprised of a top wall 570A, a bottom wall 570B, a first side wall 570C, and a second side wall 570 that define an interior space 572 for accommodating a sled, a first open side 574A, and a second open side 574B. When the drive bay assembly is mounted in the library 202, the first open side 574A is adjacent to the space within which the cartridge transport 214 operates and the second open side is adjacent to the hinged door panel 348. Associated with the first open side 574A are a pair of alignment pins 576A, 576B that cooperate with a pair of alignment holes associated with the sled to align the sled in the bay 552B. Associated with the bottom wall 570B is a sled guide 578. The sled guide 578 comprises a base member 580 and two side members



582A, 582B that cooperatively form a guide for a sled. The base member 580 also defines a plug notch 584 that accommodates a plug that is also associated with the bottom wall 570B. The base member 580 is supported above the bottom wall 570B to form a space for accommodating the conductors that provide power, control and data signals to a drive associated with a sled. A spring-loaded flag 586 is attached to the base member 580. In operation, the spring associated with the flag 586 causes the flag to be oriented as shown in Fig. 24C when no sled is associated with the drive bay 552B. In this orientation, the flag 586 prevents or inhibits an operator from reaching through the drive bay 552B and into the space where the magazine transport 212 and/or cartridge transport 214 could possibly injure the operator. When a sled is inserted into the drive bay 552B, the insertion of the sled causes the flag 586 to rotate towards the base member 580. Also associated with the bottom wall 570B is a plug assembly 588 that comprises a first female plug 590A for receiving one or more power-related signals for a drive, a second female plug 590B for receiving control and/or data signals for a drive, and a multi-conductor ribbon cable 592 for conveying the power-related signals and the control and/or data signals to and from the QIP.

[0154]

The QIP bay 554 has a front side wall 594A, top side wall 594B, bottom side wall 594C, first side wall 594D, and second side wall 594E. The front side wall 594A accommodates a plug interface (not shown) that mates with a plug interface associated with the QIP and with one or

more plugs (not shown) that is/are connected to the ribbon cables associated with the plug assemblies of each of the drive bays 552A-552D. The second side wall 594E and the first side walls associated with the drive bays 552A, 552C respectively define gaps for the ribbon cables associated with the plug assemblies of each of the drive bays. The gap 596A receives the ribbon cables associated with the plug assemblies of drive bays 552A, 552B. The gap 596B receives the ribbon cables associated with the plug assemblies of drive bays 552C, 552D. The ribbon cable 592 associated with the drive bay 552B extends through a passageway 598A and under the base member of the sled guide associated with drive bay 552A to reach the gap 596A. Similarly, the ribbon cable associated with the drive bay 552D extends through the passageway 598B and under the base member of the sled guide associated with drive bay 552C to reach the gap 596B.

[0155]

The drive bay assembly 540 further comprises the four sleds 548A-548D, which are substantially identical to one another. Consequently, only sled 548A is described with the understanding that the description is also applicable to sleds 548B-548D. Before describing the sled 548A, the plug interface associated with a typical drive is described. With reference to Fig. 25, the typical drive 190 (see Figs. 5A-5C) comprises a back surface 602 that typically comprises a power plug 604 for receiving a power signal (typically, +5V and +12V signals) and a control/data plug 606 for receiving control signals and data signals. In the absence of the drive bay assembly 540, the drive 190 would be

oriented in the library such that the receptacle 186 would be accessible by the cartridge transport 214. Typically, the drive 190 would also be fixed to a frame within the library with screws that engage a first pair of threaded holes 608A, 608B associated with the third surface 190B of the drive and a second pair of threaded holes (not shown) associated with the opposite surface of the drive. In such a situation, removal of the drive 190 from the library would require that: (a) the cable that provides power to the drive 190 be disconnected from the power plug 604, which typically involves unscrewing screws or unlatching latches in addition to manipulating the cabling; (b) the cable that provides control and data signals to the drive 190 be disconnected from the control/data plug 606, which also typically involves unscrewing screws or unlatching latches in addition to manipulating the cabling; and (c) the screws connecting the drive to the frame be removed.

[0156]

With the foregoing description of the plug interface of a typical drive and the manner in which the drive is typically fixed in place in a library in mind, the sled 548A generally serves to: (a) provide a structure to which a drive can be fixedly mounted; and (b) provide a plug interface for the drive that faces the opposite direction from plug interface associated with the drive. As a consequence, when the sled 548A is used in combination with the drive bay 552A or any other drive bay of the housing 550, a drive held by the sled 548A can be removed from the library 202 without having to undo screws or other fasteners, as required by the conventional approach. Further, when the sled 548A

and an associated drive are removed from the library 202, the electrical connections with the drive are severed by removing the sled 548A from the drive bay. Conversely, a drive can be inserted into the library using the sled 548A and a drive bay without having to manipulate screws or fasteners in the manner required by the conventional approach.

Further, during such an insertion, the electrical connections for the drive are established by inserting the sled 548A into the drive bay.

[0157]

With reference to Figs. 26A-26C, the sled 548A comprises a housing 612 that is capable of accommodating a full-height LTO, SAIT or DLT tape drive or two, half-height LTO, SAIT or DLT tapes drives. The housing 612 comprises a top wall 614A, bottom wall 614B, first side wall 614C, and second side wall 614D that define an interior space 616 for holding a full-height drive or two, half-height drives and an opening 618 for receiving the drive or drives into the interior space. Associated with the first side wall 614C are three pairs of holes 620A, 620B, 622A, 622B, 624A and 624B. Similarly, associated with the second side wall 614D are three pairs of holes 626A, 626B, 628A, 628B, 630A and 630B. These holes allow most, if not all, of the presently known LTO, SAIT and DLT tape drives, both full-height and half-height, to be mounted in the housing 612. Also, associated with the second side wall 614D is a reference flag 632 for use by the magazine transport 212 and/or the cartridge transport 214 in locating a sled 548A and any drive held by the sled. Associated with the bottom wall 614B are a pair of rails 634A, 634B. The rails 634A, 634B are spaced so as to be received

by the guide formed by the base member 580 and two side members 582A, 582B of the sled guide 578. The rails 634A, 634B respectively include receptacles 636A, 636B. The receptacles 636A, 636B respectively receive the alignment pins 576A, 576B when the sled 548A is properly inserted into a drive bay. A hole 638 that provides access to a "tape wind" screw of a drive located in the housing 612 is also associated with the bottom wall 614B of the housing 612.

[0158]

The sled 548A further comprises a back wall assembly 640 that adjoins the top wall 614A, bottom wall 614B, first side wall 614C, and second side wall 614D. The back wall assembly 640 comprises a housing 642 that supports a plug structure 644 for connecting a drive held by the housing 612 with the plug assembly 588 associated with any one of the drive bays 552A-552D. The plug structure 644 comprises: (a) a first plug interface 646 that is located to engage the plug assembly 588 associated with a drive bay when the sled 548A is properly aligned and inserted into the drive bay; (b) a second plug interface 648 for engage the plug interface associated with the back surface of a drive; and (c) conductors 650 extending between the first and second plug interfaces 646, 648. More specifically, the first plug interface 646 comprises a first power plug 652A and a first data/control plug 652B that are respectively located to engage the power plug 590A and data/control plug 590B of the plug assembly 588 when the sled 548A is properly inserted into a drive bay. The second plug interface 648 comprises a second power plug 654A and a second data/control plug 654B for respectively

engaging the power plug and data/control plugs associated with the back surface of a drive. The conductors 650 comprise: (a) power conductors 656A that connect the first power plug 652A and the second power plug 654A; and (b) data/control conductors 656B that connect the first data/control plug 652B and the second data/control plug 654B. For accommodating two, half height drives, the second plug interface 648 further comprises an additional data/control plug 658 and an additional power plug (not shown) that passes through holes 659 of the housing 642. A

[0159] Also associated with the housing 642 is a fan 660 that is used to cool any drive located within the housing 612 by causing air to move from the interior space 616 of the housing 612 to the exterior of the housing 612 via a grill 662. Further associated with the housing 642 is a handle 664 that facilitates insertion/removal of the sled 548A into/from a drive bay.

[0160] By way of example, use of the drive bay assembly 540 comprises mechanically associating a drive with a sled 548 by using screws to attach the drive to the housing 612 and electrically associating the drive with the sled 548 using the second plug interface 648. Once the drive has been mechanically and electrically associated with the sled 548A, the drive can be associated with the library 202 by inserting the sled 548A into one of the drive bays 552A-552D and dissociated from the library 202 by removing the sled 548A from the drive bay.

[0161] The drive bay assembly 540 further comprises a QIP 668 that is

housed in the QIP bay 554 and operates to distribute power, control signals and data to each of the drive bays 552A-552D. The QIP 668 comprises a back panel 670 with a handle 672 that facilitates insertion/extraction of the QIP 668 into/from the QIP bay 554.

[0162] It should be appreciated that the drive bay assembly suitable 540 is susceptible to numerous modifications. The following sets forth, with limitation, some possible modifications. For instance, a drive bay assembly is feasible in which the drive bay housing is not susceptible to being readily mounted and demounted from the frame of a library. Further, a drive bay assembly is feasible that has a different number of drive bays. Additionally, a drive bay assembly that is adapted to hold one or more drives in an orientation other than a horizontal orientation is feasible. Further, a drive bay that accommodates different types of drives, such as disk drive, is also practicable. A drive bay without a QIP bay and/or QIP is likewise feasible. Further, it should also be appreciated that a drive bay assembly is not required for a functional magazine-based data cartridge library.

[0163] Generally, the power supply system 216 provides DC power to the drives 210 using a flat conductor that has a substantially rectangular cross-section, rather than a conventional conductor that has circular cross-section. The use of a flat conductor allows the space within a library to be more efficiently used or used to accommodate more cartridges and/or more drives.

[0164] With reference to Figs. 27A-27D, the power supply system 216

comprises a power supply 217 comprised of a box-like housing structure with a top side 676A, bottom side 676B, front side 676C, back side 676D, first side 676E, and second side 676F. Associated with the front side 676C are seven power supply bays 678 that each are capable of accommodating a sub-power supply. Generally, the power supply 217 includes a sub-power supply in one of the bays for providing DC power to elements in the library 202 other than the drives 210. The six other bays are populated with sub-power supplies depending on the number of drive bay assemblies that are attached to the ladder frame 542. Generally, one sub-power supply is required for each drive bay assembly attached to the ladder frame 542. Also associated with the front side 676C of the power supply 217 is a pair of AC receptacles 680, with each receptacle capable of accommodating an AC plug through which AC power is provided to the power supply 216 for conversion to DC power. Also associated with the front side 676C is a set of breakers 282 that operate to sever the connection with the source of AC power when the power supply 216 is in an undesirable operating state, such as when the power supply 216 is drawing too much current from the AC source. The first side wall comprises a slot for accommodating an embodiment of a flat electrical power conductor 686 that has a first flat exterior face 686A and a second flat exterior face 686B that extends substantially parallel to the first flat exterior face 686A.

[0165]

The flat, electrical power conductor 686 extends vertically and adjacent



to one side of the ladder frame 542. In the illustrated embodiment, only one vertical standard 688 of the ladder frame 542 is shown. The flat, electrical power conductor 686 is supported by a channel member 690, which is attached to the ladder frame 542. The channel member 690, in addition to supporting the flat, electrical power conductor 686, also supports six power plugs 692A-692F, one plug for each of the compartments 544A-544F of the ladder frame 542. Each compartment 544A-544F of the ladder frame 542 is capable of accommodating a drive bay assembly that, in turn, is capable of accommodating up to four full height drives and up to eight half-height drives.

[0166]

The flat, electrical power conductor 686 is attached to the channel member 690 using a plurality of hole hangers 694 that are attached to the conductor 686 and that each fit over a stud 696 extending from the channel member. The electrical connection between the flat, electrical power conductor 686 and each of the plugs 692A-692F is achieved with taps 698A-698D that are each connected to one of the planar electrical conductors 686 comprising the flat, electrical power conductor 686. To elaborate, any of the drives that can be associated with a drive bay assembly and the QIP associated with a drive bay assembly presently require a +5V signal, a +12V signal, and two ground paths (one for each of the voltage signals). Consequently, the flat, electrical power conductor 686 is a laminate of four electrical conductors, one for each of the two voltage signals and one for each of the two ground paths. If the library is modified so that different electrical signals are

required, the flat, electrical power conductor 686 can be modified accordingly.

[0167] As shown in Fig. 27E, an electrical power connection is established between the flat, electrical power conductor 686 and a drive bay assembly 700 in compartment 544F using a plug 702 that is associated with the QIP of the drive bay assembly 700 and that mates with the plug 692F. An electrical connection is established between the flat, electrical conductor 686 and the power supply 216 by a tap assembly 704 that extends between the conductor 686 and the points in the power supply that provide the necessary voltage signals and ground paths. It should be appreciated that the tap assembly 704 comprises a horizontally extending flat power conductor.

[0168] The distance between the first and second flat external faces 686A, 686B of the flat, electrical power conductor 686 is approximately 0.32 inches. In contrast, if a conventional round cable or bundled group of round cables were designed to be able to provide power to the same twenty-four drives 210, the cable or group of cables would have a cross-sectional measurement on the order of 3-4 inches.

[0169] It should be appreciated that the substantially rectangular cross-section shape of the flat, electrical power conductor 686 is complementary to the shapes of most of the other elements in the library 202. As a consequence, the flat, electrical power conductor 686 facilitates the layout of the library 202. In this regard, the flat surfaces 686A, 686B are located so as extend substantially parallel or perpendicular to many of

the surfaces associated with elements residing in the library. For instance, the flat surfaces 686A, 686B extend substantially parallel or perpendicular to the exterior surfaces of the housing of the drive bay assembly 700. A horizontally extending flat, electrical power conductor, should one be needed, is also likely to facilitate the layout of a library.

[0170] It should also be appreciated that the flat, electrical power conductor 686 provides benefits in addition to spatial and/or layout related benefits. Namely, the power conductor 686 has a large capacitance that allows power to be provided to the drives in a highly responsive manner. Further, the electrical power conductor 686 produces less electrical "noise" than the prior approach. In addition, relative to the prior approach, the electrical power conductor 686 allows fewer connectors or plugs to be utilized. The use of fewer connectors or plugs is likely to relate to the production of less noise relative to the prior approach. It should be further appreciated that a flat, electrical power conductor can also be applied to a cartridge-based library.

[0171] With reference to Figs. 7B and 23C, the user definable space 336 is a space in which the operator of the library 202 has a choice as to the identity of the functional element or elements of the library that occupies all or a portion of the space.

[0172] The extent of the user definable space 336 of the library 202 is largely defined by the ladder frame 542. To elaborate, the user definable space 336: (a) extends vertically from approximately the top side 676A of the power supply 216 (which is located immediately under the lower-

most compartment of the ladder frame 542, namely, compartment 544A) to the bottom side of cross-member 708; and (b) extends laterally between first and second sides 710A, 710B of the ladder frame 542. With reference to Fig. 16, the depth of the user definable space 336 extends from plane 330B to a substantially parallel plane located adjacent to the back surface 340B of the library 202. Further, the ladder frame 542 substantially divides the user-definable space 336 into six, equally sized spaces, namely, the compartments 544A-544F.

[0173]

As previously noted, each of the compartments 544A-544F is capable of accommodating one of the drive bay assembly 540. Moreover, the ladder frame 542 and the first and second mounting flanges 556, 560 facilitate the mounting and demounting of the drive bay assembly 540 from any one of the compartments 544A-544F. To reiterate, mounting of the drive bay assembly 540 in one of the compartments 544A-544F is accomplished by aligning: (a) the first pair of notches 558A, 558B associated with the first mounting flange 556 of the drive bay assembly 540 and the first pair of threaded holes 556A, 556B associated with a selected one of the compartments 544A-544F; and (b) the second pair of notches 562A, 562B associated with the second mounting flange 560 of the drive bay assembly 540 and the second pair of threaded holes 566A, 566B associated with the selected one of the compartments 544A-544F. Once the notches are aligned, bolts or screws are then used to secure the drive bay assembly 540 to the ladder frame 542. Demounting of the drive bay assembly 540 from the

ladder frame 542 is accomplished by first removing the bolts or screws, and then removing the drive bay assembly 540 from the ladder frame 542.

[0174] With reference to Figs. 28A-28B, each of the compartments 544A-544F is also capable of accommodating a magazine bay assembly 714 that provides two shelves that are each capable of accommodating two magazines. The magazine bay assembly 714 comprises a housing with a top wall 716A, a bottom wall 716B, a first side wall 716C, a second side wall 716D, and a back wall 716E that define an interior space 718 for holding up to four magazines. The top wall 716A, bottom wall 716B, first side wall 716C and second side wall 716D also define an opening 720 through which any magazines can be inserted into and removed from the interior space 718.

[0175] The magazine bay assembly 714 further comprises a first mounting flange 722 with a first pair of notches 724A, 724B, and a second mounting flange 726 with a second pair of notches 728A, 728B. Mounting of the magazine bay assembly 714 to the ladder frame 542 and demounting the magazine bay assembly 714 from the ladder frame 542 are done in substantially the same manner as the drive bay assembly 540 is mounted and demounted from the ladder frame 542. Consequently, the mounting and demounting of the magazine bay assembly 714 is not described further.

[0176] The magazine bay assembly 714 further comprises a first shelf 730 capable of accommodating up to two magazines. The first shelf 730 is

formed from the bottom wall 716B and three cleats 732A-732C that are each substantially identical to the previously described cleat 522A. The magazine bay assembly 714 further comprises a second shelf 734 that is also capable of accommodating up to two magazines. The second shelf 734 is formed from: (a) a planar member 736 that extends between and is attached to the first and second side walls 716C, 716D; and (b) three cleats 738A-738C, that are each substantially identical to the previously described cleat 522A. The operation of each of the first and second shelves 730, 734 is substantially identical to the operation of the previously described shelf 518. Consequently, the operation of the shelves 730, 734 is not described. It should, however, be noted that located between the back wall 716E and the cleats 732A-732C is a bias element 740 that applies a force to the cleats that prevents the cleats from coming out of the holes that receive the cleats in the bottom wall. A bias element 742 performs the same function with respect to cleats 738A-738C. Such bias elements are also present in the fixed shelving in the library and perform the same bias function.

[0177] Based on the foregoing, a user or operator is able to choose whether a drive bay assembly 540 or a magazine bay assembly 714 resides in each of the compartments 544A-544F associated with the user-definable space 336. Moreover, altering a choice is, due to the mounting structures employed, relatively easy.

[0178] It should be appreciated that: (a) the user-definable space 336 is a fixed space; (b) the compartments 554A-F each have a fixed size, a

fixed location and substantially the same size as the other compartments; and (c) the drive bay assembly 540 and the magazine bay assembly 714 are also of substantially of the same size. A number of modifications to the user-definable space 336 or alternative embodiments are feasible. Among the possible modifications or alternative embodiments are, without limitation, a user definable space that has an alterable size; compartments of varying number, location, and/or size; and/or assemblies for placing in the space that are of different sizes are feasible. Further, other mounting structures are feasible. For example, a ladder frame or similar structure with a mounting structure that provides a high degree of flexibility as to the location that a module is mounted is feasible.

[0179] Generally, a magazine transport is comprised of: (a) a magazine picker that operates to move a magazine to and from a magazine storage location in the library; and (b) an elevator that operates to move the magazine picker adjacent to the locations in the library at which magazines can be stored. It should be appreciated that the locations in a magazine-based library at which magazines can be stored include: (a) a fixed shelf or shelves; (b) an entry/exit port; and (c) a pass-through port. Further, in certain embodiments, the elevator also positions the magazine picker adjacent to the drive or drives in the library.

[0180] With reference to Figs. 29A- 29H, the magazine transport 212 comprises an elevator 750 for moving a magazine picker along

horizontal and vertical axes so that the magazine picker can be positioned adjacent to the entry/exit port 206, any shelf of the shelf system 208, and any of the drives 210. In this regard, the elevator 750 comprises: (a) a vertical axis assembly 752 for moving a magazine picker vertically within the library 202, and (b) a horizontal axis assembly 754 for moving the magazine picker horizontally within the library. The vertical axis assembly 752 supports or carries the magazine picker and generally extends from a first end 756A to a second end 756B.

[0181] Generally, the horizontal axis assembly 754 supports the first and second ends 756A, 756B of the vertical axis assembly 752 and is capable of applying a horizontal driving force to each of the first and second ends 756A, 756B to horizontally displace the vertical axis assembly 752 within the library 202. In this regard, the horizontal axis assembly 754 comprises: (a) top assembly 758A for supporting and applying a horizontal force to the first end 756A of the vertical axis assembly 752; (b) a bottom assembly 758B for supporting and applying a horizontal force to the second end 756B of the vertical axis assembly 752; and (c) a coordination assembly 758C for coordinating the application of horizontal forces to the first and second ends 756A, 756B of the vertical axis assembly 752 by the top assembly 758A and the bottom assembly 758B.

[0182] With reference to Fig. 29B, the top assembly 758A comprises a U-shaped channel 760 with a first side 762A, second side 762B and third



side 762C. The first side 762A is operatively attached to the interior side of the top surface 340E of the frame. The second side 762B serves as a guide for a set of rollers associated with the first end 756A of the vertical axis assembly 752. In addition, the second side 762B also supports a pair of horizontal stops 764A, 764B that cooperate with a stop block that is associated with the first end 756A of the vertical axis assembly 752 to limit the horizontal extent over which the horizontal axis assembly 754 can move the vertical axis assembly 752. The third side 762C supports a first pulley block 766A and associated pulley 766B. In addition, the third side supports a second pulley block 768A and associated pulleys 768B, 768C. Extending between the pulley 766B and the pulley 768B is a belt 770. Associated with the belt 770 is a mount 772 for connecting the belt 700 to the first end 756A of the vertical axis assembly 752. The mount 772 also incorporates a structure that allows the tension of the belt 770 to be adjusted. The pulley 768C is associated with another belt that is used to: (a) transmit the forces that are used to horizontally displace the first end 756A of the vertical axis assembly 752 via the belt 770 and mount 772; and (b) coordinate the operation of the top assembly 758A with the bottom assembly 758B.

[0183]

With reference to Fig. 29C, the bottom assembly 758B comprises a U-shaped channel 776 with a first side 778A, second side 778B and third side 778C. The first side 778A is operatively attached to the interior side of the bottom surface 340F of the frame and supports a guide

shaft 780 that receives a linear bearing associated with the second end 756B of the vertical axis assembly 752. The guide shaft 780 is supported by a set of stand-offs 782 that are operatively connected to the first side 778A. The guide shaft 780 also comprises a pair of guide stops that limit the horizontal extent over which the horizontal axis assembly 754 can move the vertical axis assembly 752. The first side 778A also support a home position sensor 786 that detects when the vertical axis assembly 752 is positioned adjacent to the end of the guide shaft 780. The third side 778C supports a first pulley block 788A and associated pulley 788B. In addition, the third side supports a second pulley block 790A and associated pulleys 790B, 790C. Extending between the pulley 788B and the pulley 790B is a belt 792. Associated with the belt 792 is a mount 794 for connecting the belt 792 to the second end 756B of the vertical axis assembly 752. The mount 794 also incorporates a structure that allows the tension of the belt 770 to be adjusted. The pulley 790C is associated with another belt that is used to: (a) transmit the forces that are used to horizontally displace the first end 756A of the vertical axis assembly 752 via the belt 770 and mount 772; and (b) coordinate the operation of the top assembly 758A with the bottom assembly 758B.

[0184]

With reference to Figs. 29A-29C, the coordinating assembly 758C comprises the pulley 768C associated with the top assembly 758A, the pulley 790C associated with the bottom assembly 758, and a belt 798 that extends between the pulley 768C and the pulley 790C. As can be

appreciated, the coordinating assembly 758C interfaces with the top assembly 758A and the bottom assembly 758B so that movements of the mount 772 associated with the top assembly 758A and the mount 794 associated with the bottom assembly 758C are mirrored. As a consequence, when the first and second ends 756A, 756B of the vertical axis assembly 752 are respectively attached to the mounts 772, 790 and a motive force is applied to the mounts, the first and second ends 756A, 756B are displaced in the same manner. It should also be noted that the belt 798 is enclosed within a housing 800.

[0185]

Also associated with the bottom assembly 758B are a DC electrical motor 802 and associated motor controller 804 that are used to provide the motive force for horizontally displacing the vertical axis assembly. To elaborate, any rotational force produced by the electrical motor 802 is transmitted by a motor drive pulley system 806 comprised of a pulley (not shown) that is connected to the electrical motor 802, a drive pulley 808, and a belt 810 extending between the pulleys. The drive pulley 808 resides on the same axle (not shown) that the pulley 790C and 790B reside. Consequently, rotation of the drive pulley 808 produces a corresponding rotation of the pulleys 790B, 790C. Rotation of the pulley 790B causes the mount 794 to be horizontally displaced. Similarly, rotation of the pulley 790C ultimately causes the mount 772 to be horizontally displaced in a manner that mirrors the horizontal displacement of the mount 794. The home position sensor 786 is used in conjunction with an encoder associated with the electrical motor 802

or other position sensor known in the art to determine the horizontal location of the vertical axis assembly 752.

[0186] With reference to Fig. 29D-29H, the vertical axis assembly 752 generally comprises: (a) structures that interface the vertical axis assembly 752 with the top and bottom assemblies 758A, 758B; (b) a rail structure that supports a carriage that holds the magazine picker and defines the vertical extent through which the carriage and associated magazine picker can be displaced; and (c) an actuation system that provides the motive force for vertically displacing the carriage along the rail structure.

[0187] With the foregoing general description in mind, the vertical axis assembly 752 comprises a first pair of guide roller bearings 816A, 816B and a second pair of guide roller bearing 818A, 818B for interfacing the vertical axis assembly 752 with the top assembly 758A of the horizontal axis assembly 752. More specifically, the second side 762B of the U-shaped channel 760 associated with the top assembly 758A is received between the first pair of guide roller bearing 816A, 816B and between the second pair of of guide roller bearings 816A, 816B to interface the vertical axis assembly 752 with the top assembly 758A of the horizontal axis assembly 752. A stop block 820 located adjacent to the roller bearings cooperates with the horizontal stops 764A, 764B of the top assembly 758A to limit the horizontal displacement of the vertical axis assembly 752. The vertical axis assembly 752 further comprises a linear bearing assembly 822 that receives the guide shaft 780 to

interface the vertical axis assembly 752 to the bottom assembly 758B of the horizontal axis assembly 752.

[0188] The vertical axis assembly 752 further comprises a rail mount 824 that holds a linear rail 826 that can be displaced along the length of the rail mount 824. An upper rail stop 828 defines the upper limit along the rail mount 824 that the linear rail 826 can be displaced. The linear bearing assembly 822 defines the lower limit along the rail mount 824 that the linear rail 826 can be displaced. Associated with the linear rail 826 is a pair of carriage blocks 830A, 830B that provide an interface for a carriage bracket that connects the linear rail 826 to the actuation system and to the carriage that supports the magazine picker.

[0189] The vertical axis assembly 752 further comprises a pulley system 832 is used to vertically displace the linear rail 826 along the rail mount 826. The system 832 comprises a housing 834 that supports an upper pulley 836 and a lower pulley 838. Extending between the upper pulley 836 and the lower pulley 838 is a belt 840. Associated with the belt 840 is a mount 842 for connecting the belt 840 to the carriage bracket that is, in turn, connected to the carriage blocks 830A, 830B associated with the linear rail 826. The mount 842 also comprises a structure for tensioning the belt 840.

[0190] The vertical axis assembly 752 further comprises a DC electric motor 844 and motor controller 846 for providing the motive force for moving the linear rail 826 along the rail mount 826. To elaborate, any rotation force produced by the DC electric motor 844 is transmitted to the lower

pulley 838 of the pulley system 832 by another pulley system comprised of a motor pulley 848, a drive pulley 850 that is attached to the same axle as the lower pulley 838, and a belt 852 that extends between the motor pulley 848 and the drive pulley 850. Rotation of the lower pulley 838, in turn, causes the linear rail 826 to be displaced along the rail mount 826.

[0191] With reference to Figs. 29G-29H, the vertical axis assembly 752 further comprises a carriage bracket 854 that is used to: (a) mechanically connect the linear rail 826 and the belt 840; and (b) mechanically connects the linear rail 826 to a carriage that supports the magazine picker. To elaborate, the carriage bracket 854 is connected to the linear rail 826 by screws (not shown) that pass through holes in the carriage bracket 854 and engage threaded holes in the carriage blocks 830A, 830B, which are fixedly attached to the linear rail 826. The carriage bracket 854 is connected to the belt 840 by: (a) a first pair of pins 856 that are associated with the mount 842 and pass through a pair of holes associated with the bracket; and (b) a second pair of pins 858 that are associated with the mount 842 and pass through a single slot 860 associated with the bracket. The slot allows the second pair of pins 858 to move when the tensioning device associated with the mount 842 is actuated and still maintain a connection with the bracket 854.

[0192] The vertical axis assembly 752 also comprises a channel guide 860 that holds a flex cable that is used to transmit signals between the control system 218 and magazine picker (as well as any other elements

associated with the magazine picker) as the magazine picker is vertically displaced.

[0193] Further comprising the vertical axis assembly 752 is a flag 864 that interacts with the home position sensor 786 to indicate when the vertical axis assembly 752 is located adjacent to the end of the guide shaft 780. To elaborate, the flag 864 interrupts an optical signal output by the home position sensor 786 to indicate when the vertical axis assembly is located adjacent to the end of the guide shaft 780. Other sensing schemes are feasible.

[0194] The vertical axis assembly 752 further comprises a brake mechanism 868 that operates to engage the belt 840 during a loss of power and thereby prevent the magazine picker from dropping to the bottom of the library and potentially being damaged or damaging other components of the library 202. The brake mechanism 868 comprises a roller 870 and a solenoid actuated brake assembly 872 that includes a brake shoe 874. The brake mechanism 868 operates so that when power is removed from the library, the solenoid actuated brake assembly 872 causes the brake shoe 874 to move so that the belt 840 is pinched between the brake shoe 874 and the roller 870, thereby preventing further movement of the belt 840. Conversely, when power is being applied to the library, the solenoid actuated brake mechanism 872 operates to hold the brake shoe 874 away from the belt 840 so that the belt 840 can be moved as needed for the operation of the library.

[0195] The vertical location of the carriage or related elements is provided

using an encoder associated with the DC motor 844 or other position sensors known in the art.

[0196] It should be appreciated that the elevating function within the library 202 and other magazine-based data cartridge libraries can be accomplished with a number of different elevator designs. For example, a counter-weight elevator is feasible.

[0197] With reference to Figs. 30A-30G, the magazine transport 212 comprises a magazine picker 880 for moving a magazine towards and away from a magazine storage location once the picker 880 has been positioned adjacent to the storage location by the elevator 750. Generally, the magazine picker 880 comprises: (a) a magazine support for supporting a magazine during movement of the magazine towards and away from a storage location in the library; and (b) a magazine transport device for moving a magazine between the magazine support and a storage location in the library 202.

[0198] Before describing the magazine picker 880, the structure for attaching the magazine picker to the vertical axis assembly 752 is described. With reference to Fig. 30A, the magazine picker 880 is operatively connected to a carriage 882 that is, in turn, connected to the carriage bracket 854 associated with the vertical axis assembly 752.

[0199] The magazine picker 880 comprises a support structure 884 for supporting a magazine during transport of the magazine towards and away from a storage location in the library 202. The support structure



comprises: (a) a base plate 886; (b) four brackets 888A-888D mounted to the base plate 886; (c) a pair of rail mounting plates 890A, 890B, with the rail mounting plate 890A supported by the brackets 888A, 888B and the rail mounting plate 890B supported by the brackets 888C, 888D; (d) a pair of rails 892A, 892B, with the rail 892A being attached to the rail mounting bracket 890A, and the rail 892B attached to the rail mounting bracket 890B. Each of the rails 892A, 892B comprises two grooves 894A, 894B, one for accommodating rail 292A of a magazine and one for accommodating rail 292B of a magazine. In library 202, the shelf system 208 is configured so that all of the magazines stored in the library have the same orientation. Consequently, only one of the grooves 894A, 894B associated with each of the 892A, 892B is utilized. For example, groove 894A of rail 892A may be used to accommodate rail 292A of a magazine, and groove 894B of the rail 892A would not be utilized. Continuing with the example, groove 894B of rail 892B would accommodate rail 292B of a magazine, and groove 894A of the rail 892B would not be utilized. The rail mounting plates 890A, 890B and the rails 892A, 892B form a guide during movements of a magazine to and from a storage location and a support for a magazine during transport of a magazine and during movements of a magazine to and from a storage location. Receptacles 896A, 896B are respectively associated with the rail mounting plates 890A, 890B and engage the detents 296A, 296B located on the bottom side 278 of a magazine to inhibit undesired movement of a magazine, especially during transportation of a magazine from one storage location to another

storage location.

[0200] The magazine transport 212 comprises a magazine transport device 900 for moving a magazine between the support formed by the rail mounting plates 890A, 890B and the rails 892A, 892B and a storage location in the library 202. The magazine transport device 900 comprises: (a) a magazine engagement device 902 that is capable of selectively engaging a magazine and disengaging from a magazine; and (b) a displacement device 904 for moving the magazine engagement device 902.

[0201] The displacement device 904 comprises: (a) a linear rail 906; (b) a bearing block 908 that is mounted to the linear rail 906, capable of moving along the linear rail 906, and supports the magazine engagement device 902; (c) a lead screw 910 that is supported by bearings associated with the brackets 888C, 888D; (d) a lead screw nut 912 for applying a motive force to the magazine engagement device 902 to move the device to a desired location along the linear rail 906; (e) a DC motor 914 that is supported by the bracket 888C and provides the rotational motive force that causes the lead screw nut 912 to move along the lead screw 910; (f) a first gear 916 and a second gear 918 that are used to transfer the rotational force produced by the DC motor 914 to the lead screw 910; and (g) a center position flag 920 that cooperates with a detector that is associated with the magazine engagement device 902 to indicate when the displacement device 902 has positioned the magazine engagement device 902 over the center

of the linear rail 906.

[0202] As can be appreciated, when the magazine engagement device 902 is attached to the bearing block 908 and the lead screw nut 912 engages the magazine engagement device 902, the production of a rotational force by the DC motor 914 causes the lead screw nut 912 to move along the lead screw 910 and, as a consequence, the magazine engagement device 902 to move along the linear rail 906. The location of the magazine engagement device 902 is determined using an encoder associated with the motor 914 or other position sensing device known in the art.

[0203] Generally, the magazine engagement device 902 operates so as to "hook" a magazine by rotating a toggle plate with pins that are located so as to pass through one of the pair of engagement holes associated with a magazine. Disengagement or "un-hooking" of the magazine is accomplished by counter-rotating the toggle plate.

[0204] With the foregoing general description in mind, the magazine engagement device 902 comprises a toggle base 924 that is mounted to the bearing block 908 and a drive block 926 with a hole for receiving a post associated with the lead screw nut 912. The magazine engagement device 902 further comprises: (a) a toggle plate 928 that is pivotally mounted to the toggle base 924 with a toggle axle 930; and (b) a toggle actuator system 932 for rotating the toggle plate 928 so as to engage a magazine and disengage from a magazine. The toggle plate 928 has a first end 934 and a second end 936 with the axis of rotation

for the toggle plate 928 located between the first and second ends. Associated with the first end 934 is a first pair of engagement pins 938A, 938B for passing through a pair of engagement holes associated with a magazine. Likewise, a second pair of engagement pins 940A, 940B are associated with the second end 936 and also serve to engage a magazine via a pair of engagement holes associated with the magazine. Also associated with the first end 934 is a first sensor assembly 942 that operates to detect the engagement flag structure associated with a pair of engagement holes. To elaborate, when the engagement pins 938A, 938B pass through the engagement holes of a magazine (i.e., when a magazine has been "hooked"), the sensor detects the engagement flag structure, thereby confirming that the magazine has been captured. A second sensor assembly 944 is associated with the second end 936 of the toggle plate 928 and operates in substantially the same manner as the first sensor assembly 942. In an alternative embodiment, an optical sensor system is used to detect the presence or absence of the exterior of the bottom side 278 of the magazine to determine whether or not a magazine has been engaged. The optical sensor system comprises a beam producing element and a reflected beam detecting element. If the magazine has been engaged, the beam produced by the beam producing element is reflected by the exterior of the bottom side 278 of the magazine and detected by the detecting element. If the magazine has not been engaged, the beam produced by the beam producing element is not detected by the detecting element. One optical sensing system is

associated with each of the first end 934 and second end 936 of the toggle plate 928. Also associated with the toggle plate 928 is a rotational position flag 946 that is used with a detector to indicate the rotational position of the toggle plate 928.

[0205]

The toggle actuator system 932 comprises: (a) a stepper motor 950 that is attached to the toggle base 924; (b) a pinion 952 that is attached to the spindle of the motor 950; (c) a cluster gear 954 that is attached to the toggle base 924 and has a first gear 956 that engages the pinion 952 and a second gear 958; (d) a driven gear 960 that engages the second gear 958; (e) an overdrive arm 962; (f) a first spring assembly 964 for connecting the driven gear 960 and the overdrive arm 962; and (g) a second spring assembly 966 for connecting the overdrive arm 962 and the toggle plate 928. In normal operation, the stepper motor 950 produces a rotation force for rotating one of the first and second ends 934, 936 into position to engage a magazine. The rotation force is transmitted to the toggle plate 928 by the pinion 952, cluster gear 954, driven gear 960, first spring assembly 964, overdrive arm 962 and the second spring assembly 966. If, however, the rotation of the toggle plate 928 is inhibited such that the toggle plate 928 cannot reach the desired rotational position, one of the spring assemblies comes into play to allow the toggle plate 928 to cease rotating before the desired rotational position is reached. By allowing the toggle plate 928 to cease rotating damage to the motor 950 and engagement pins is avoided, as well as damage to whatever is

inhibiting the rotation of the toggle plate 928. For example, if the stepper motor 950 is applying a force to produce a clockwise rotation of the toggle plate 928, as viewed from the stepper motor side of the engagement device 902, and an obstruction is causing a counter-clockwise force to be applied to the toggle plate 928, the second spring assembly 966 elongates to permit the toggle plate 928 to cease rotating. When the obstruction is no longer present, the energy stored in the elongated spring assembly 966 causes the toggle plate 928 to rotate to the desired position. The first spring assembly 964 operates in a similar fashion when an obstruction prevents rotation of the toggle plate 928 in the counter-clockwise direction.

[0206] Also attached to the toggle base 924 is a sensor block 970 that includes: (a) a first sensor assembly 972 for cooperating with the center position flag 920 to provide an indication as to when the rotational axis of the toggle plate 928 is positioned over the center of the linear rail 906; and (b) a second sensor assembly 974 for cooperating with the rotational position flag 946 to provide a signal indicative of the rotational position of the toggle plate 928. The electrical signals produced at the sensor block 970 are conveyed to a plug 976 by a flex cable 978. The plug 976 extends through the carriage 882 and receives a plug that is connected to a controller located on the opposite side of the carriage 882 from the magazine picker 880.

[0207] A first detector 982 is attached to bracket 888A and is used to detect, on one side of the magazine picker 880, the end surface 532 of a cleat

associated with a shelf storage location for a magazine and the reference flag 632 associated with a sled in a drive bay assembly 540 located on the one side of the magazine picker 880. A second detector 984 is attached to the bracket 888B and serves the same purpose as the first detector 982 but with respect to any cleats and/or reference flags located on the other side of the magazine picker 880.

[0208] With reference to Figs. 31A-31L, the operation of the magazine picker 880 is described with respect to the moving of a magazine 988 from a first shelf 990 that is associated with the two columns of shelving 528B to a second shelf 992 that is associated with the five columns of shelving 528A is described. For clarity, the only elements of the magazine picker 880 that are substantively shown in the noted figures are the base plate 886, rail mounting plate 890A, rail 892A, and the magazine engagement device 904. Associated with the first shelf 990 is a cleat 994 that is functionally equivalent to the cleat 522A that was previously discussed. Likewise, a cleat 996 is associated with the second shelf 992. The magazine 988 is shown in cross-section so that the interaction of the magazine engagement device with the engagement holes of the magazine can be seen.

[0209] Figs. 31A-31B illustrate a preferred idle state for the magazine picker 880, namely, with the rotational axis of the toggle plate 928 of the magazine engagement device 902 disposed over the center of the linear rail 906 and the toggle plate 928 in a neutral position. Before describing the movement of the magazine 988, it should be appreciated

that the elevator 750 has been used to position the magazine picker 880 adjacent to the first shelf 990. In this regard, the detector 984 has been used to produce a signal that is used to cause the elevator 750 to position the magazine picker 880 so that the magazine picker can remove the magazine 988 from the first shelf 990.

[0210] With reference to Fig. 31C, movement of the magazine 988 commences with the magazine displacement device 904 moving the magazine engagement device 902 from the idle state location illustrated in Figs. 31A-31B and in direction 1000A to a location sufficiently adjacent to the magazine 988 for the magazine engagement device 902 to engage the magazine 988. Engagement of the magazine 988 by the magazine engagement device 902 is accomplished by rotating the toggle plate 928 such that the engagement pins 940A, 940B associated with the second end 936 of the toggle plate 928 can pass through first engagement holes 1002 (e.g., engagement holes 298A, 298B) of the magazine 988 to engage the magazine 988. The engagement of the magazine 988 is confirmed by the interaction of the second sensor assembly 944 with the engagement flag structure (e.g., engagement flag structure 300) of the magazine. Alternatively, the optical sensor system that detects a signal reflected by the exterior of the bottom side 278 of the magazine is used to confirm the engagement.

[0211] Figure 31D illustrates an "over travel" condition that may occur in the course of using the magazine engagement device 902 to engage the



magazine 988 as shown in Fig. 31C. In an "over travel" situation, the magazine displacement device 904 has moved the magazine engagement device 902 in the direction that is opposite to the direction in which the magazine is to be moved and has moved the magazine engagement device 902 so far in this direction that the magazine engagement device 902 cannot engage the magazine. In the illustrated case, the magazine displacement device 904 has moved the magazine engagement device 902 too far in the direction 1000A for the magazine engagement device 902 to engage the magazine 988. As a consequence, when the toggle plate 928 is rotated, the engagement pins 940A, 940B contact the bottom surface 278 of the magazine 933, rather than pass through the engagement holes 1002. In this situation, the spring assembly 964 allows the toggle plate 928 to cease rotating, thereby preventing potential damage to at least the stepper motor 950 and the magazine 988.

[0212]

It should be noted that it may be desirable to perform an "over travel operation" so that the magazine engagement device 902 engages the magazine 988 is unlikely to require more than one try to engage the magazine. In an over travel operation, the magazine displacement device 904 purposely moves the magazine engagement device 904 in a direction that is opposite to the direction in which the magazine is to be moved and moves the magazine engagement device 904 so far in this direction that the engagement pins of the magazine engagement device 902 cannot engage the magazine and instead, upon rotation of

the toggle plate 928, contact the bottom surface 278 of the magazine. When the engagement pins of the toggle plate 928 contact the bottom surface 278 of the magazine, one of the spring assemblies 964A, 964B allows the toggle plate 928 to cease rotating. Subsequently, when the magazine displacement device 904 moves the magazine engagement device 904 in the direction that the magazine is to be moved and the engagement pins reach the engagement holes of the magazine, the energy stored in one of the spring assemblies 964A, 964B causes the toggle plate 928 to rotate and the engagement pins to enter the engagement holes of the magazine and thereby positively engage the magazine on the first try.

[0213]

To illustrate the use of the over travel operation, assume the magazine 988 is positioned further in the direction 1000B than is illustrate in Fig. 31C for some reason. In such a situation, the magazine engagement device 902 may not be able to engage the magazine 988 if the magazine engagement device 902 is operated in a manner that assumes that the engagement holes are in the location illustrated in Fig. 31C. If the magazine 988 is not engaged or cannot be engaged with the magazine engagement device 902 in this position, the magazine engagement device 902 will have to be repositioned and the engagement operation repeated. If, on the other hand, an over travel operation is performed so that the magazine engagement device 902 is positioned further in direction 1000A than is shown in Fig. 31C, the magazine engagement device 902 can then contact the bottom side

278 of the magazine 988 as shown in Fig. 31D. Once the magazine 988 is contacted in this manner, movement of the magazine engagement device 902 in direction 1000B (which is the direction in which the magazine is to be moved anyway) and the operation of the first spring assembly 964 will cause the engagement pins 940A, 940B to pass through the first engagement holes 1002 of the magazine 988, thereby engaging the magazine 988.

[0214] With reference to Fig. 31E, once the magazine 988 has been engaged, the magazine displacement device 904 is used to pull the magazine engagement device 902 and the engaged magazine 988 in direction 1000B and onto the support formed by the rail mounting plates 890A, 890B and into engagement with the rails 892A, 892B.

[0215] With reference to Fig. 31F, the magazine displacement device 904 is unable to move far enough in the direction 1000B to move the engaged magazine 988 either completely onto the support structure of the magazine picker 880 or completely off of the first shelf 990. Consequently, the following operations have occurred relative to the state of the magazine picker 880 shown in Fig. 31E: (a) the toggle plate 928 has been rotated in the counter-clockwise direction to disengage the toggle pins 940A, 940B from the magazine 988; (b) after disengagement, the magazine displacement device 904 has been moved in direction 1000A so as to position the magazine engagement device 902 to re-engage the magazine (an "over-travel operation" may be employed); and (c) the toggle plate 928 has been rotated in the

clock-wise direction so that the engagement pins 938A, 938B associated with the first end 934 of the toggle plate 928 pass through the engagement holes 1002 to re-engage the magazine 988.

[0216] With reference to Fig. 31G, after re-engagement of the magazine 988 by the magazine engagement device 902, the magazine displacement device 904 is used to push the magazine engagement device 902 and the engaged magazine 988 further in the direction 1000B. At this point, the magazine 988 is completely supported by the magazine picker 880 and completely removed from the first shelf 990.

[0217] At this point, it should be appreciated that: (a) the steps associated with moving the magazine 988 from the first shelf 988 to the magazine picker 880 are exemplary of the steps associated with moving a magazine from any shelf in the library or from the entry/exit port to the magazine picker 880; (b) the movement of the magazine 988 from the first shelf 990 to the magazine picker 880 required two separate displacements that were separated from one another by an amount of time associated with the disengagement and re-engagement of the magazine; (c) with the magazine 988 fully supported by the magazine picker 880 and completely removed from the first shelf 990, the elevator 750 can be used to move the magazine picker 880 and the magazine 988 to other locations in the library; and (d) the magazine 988 could be moved back onto the first shelf 990 or any other shelf associated with the two columns of shelving 528B.

[0218] With reference to Figs. 31H-31I, the use of the magazine picker to

move the magazine 988 from the magazine picker 880 to the second shelf 992 is described. It should be appreciated that the movement of the magazine 988 to any shelf of the shelf system 208 involves similar operations to those described hereinafter. Relative to the state of the magazine picker 880 shown in Fig. 31G, the following operations have occurred: (a) the toggle plate 928 has been rotated counter-clockwise to disengage the magazine engage device 902 from the engagement holes 1002; (b) after disengagement, the magazine displacement device 904 has moved the magazine engagement device 902 in the direction 1000A to position the magazine engagement device 902 for engaging the magazine 988; and (c) the toggle plate 928 has been rotated in a counter-clockwise direction so that the engagement pins pass through engagement holes 1004 (e.g., engagement holes 306A, 306B) and thereby engage the magazine 988. An "under travel operation" may be performed so that it is unlikely that engagement of the magazine will require more than one attempt. In an under travel operation, the magazine displacement device 904 purposely moves the magazine engagement device 904 in a direction that is opposite to the direction in which the magazine is to be moved and before the magazine engage device 904 is at a location at which the magazine can be engaged, rotates the toggle plate 928 so that the engagement pins contact the bottom surface 278 of the magazine. When the engagement pins of the toggle plate 928 contact the bottom surface 278 of the magazine, one of the spring assemblies 964A, 964B allows the toggle plate 928 to cease rotating. Subsequently, when the

magazine displacement device 904 moves the magazine engagement device 904 further in the direction that is opposite to the direction in which the magazine is to be moved, the engagement pins reach the engagement holes of the magazine and the energy stored in one of the spring assemblies 964A, 964B causes the toggle plate 928 to rotate and the engagement pins to enter the engagement holes of the magazine and thereby positively engage the magazine on the first try.

[0219] With reference to Fig. 31J, the magazine displacement device 904 is used to pull the magazine engagement device 904 and the engaged magazine 988 in direction 1000B. At this point, the magazine 988 is supported partially by the second shelf 992 and partially by the magazine picker 880.

[0220] With reference to Fig. 31K, the magazine displacement device 904 is unable to move far enough in the direction 1000B to move the engaged magazine 988 either completely off of the support structure of the magazine picker 880 or completely on to the second shelf 992. Consequently, the following operations have occurred relative to the state of the magazine picker 880 shown in Fig. 31J: (a) the toggle plate 928 has been rotated in the clockwise direction to disengage the toggle pins 940A, 940B from the magazine 988; (b) after disengagement, the magazine displacement device 904 has been moved in direction 1000A so as to position the magazine engagement device 902 to re-engage the magazine (an "under-travel operation" may be employed); and (c) the toggle plate 928 has been rotated in the clockwise direction so that

the engagement pins 938A, 938B associated with the first end 934 of the toggle plate 928 pass through the engagement holes 1002 to re-engage the magazine 988.

[0221] With reference to Fig. 31L, the magazine displacement device 904 has pushed the magazine engagement device 904 and the engaged magazine 988 in direction 1000B so that the magazine 988 is completely supported by the second shelf 992.

[0222] At this point, it should be appreciated that: (a) the steps associated with moving the magazine 988 from the magazine picker 880 to the second shelf 992 are exemplary of the steps associated with moving a magazine from the magazine picker 880 to any shelf in the library or to the entry/exit port; and (b) the movement of the magazine 988 from the magazine picker 880 to the second shelf 992 required two separate displacements that were separated from one another by an amount of time associated with the disengagement and re-engagement of the magazine.

[0223] At this point, the toggle plate 928 can be rotated in a counter-clockwise direction to disengage the magazine 988. After any disengagement, the magazine displacement device 904 can then be used to move the magazine engagement device 902 in direction 1000A and return the magazine engagement device 902 to the preferred idle position.

[0224] The foregoing has described the magazine transport device 212 used in the library 202. It should, however, be appreciated that other the

invention is not limited to the particular design of the elevator 750. For example, an elevator that operates based on a counter-weight principle is also feasible. Further, the invention is not limited to the design of the magazine picker 880. For instance, a magazine engagement device that operates by grasping oppositely situated surfaces of a magazine between two members, with either one member moving towards the other member to grasp the magazine or each member moving towards the other member to grasp a magazine, is possible. A magazine engagement device that operates by linearly translating one or more engagement pins to engage a magazine and disengage from a magazine is also feasible. For example, Fig. 32 illustrates a magazine engagement device 1008 that uses a linear actuator 1010 to displace a camming device 1012 that, in turn, causes engagement pins 1014A, 1014B to be linearly translated to engage a magazine and disengage from a magazine. Yet another approach is to use a conveyor belt to engage and move a magazine between a support structure and a shelf.

[0225] Generally, the cartridge transport operates to move a data cartridge between a magazine and a drive. With reference to Figs. 33A-33B, the cartridge transport 214 is comprised of: (a) a cartridge transport unit 1050; (b) a horizontal transport unit 1052 for horizontally displacing the cartridge transport unit 1050; and (c) the elevator 750.

[0226] With continuing reference to Figs. 33A-33B, the horizontal transport unit 1052 is attached to a transport chassis 1054 that is, in turn, attached to the vertical axis assembly 752 of the elevator 750. The transport



chassis 1054, in this embodiment, is an integration of the carriage 882, the base plate 886, and the brackets 888A-888D, previously described with respect to the magazine transport 212. It should also be noted that the rail 892A comprises a spring-loaded detent 1055 for engaging one of the notches 295A, 295B associated with a magazine to secure a magazine on the transport chassis 1054. A second spring-loaded detent (not shown) is associated with the rail 892B and is used to engage the other of the notches 295A, 295B associated with a magazine.

[0227]

The horizontal transport unit 1052 comprises: (a) a linear rail 1056 that is attached to the transport chassis 1054; (b) a rail block 1058 that is mounted to the linear rail 1056, capable of moving along the linear rail 1056, and supports the cartridge transport unit 1050; (c) a pair of stop blocks 1060A, 1060B for limiting the range over which the rail block 1058 can move along the linear rail 1056; (d) a lead screw 1062 that is supported by a pair of brackets 1064A, 1064B that are attached to the transport chassis 1054; (e) a lead screw nut 1066 for applying a motive force to the cartridge transport unit 1050 to move the unit to a desired location along the linear rail 1056; (e) a DC motor 1068 that provides the rotational motive force that causes the lead screw nut 1066 to move along the lead screw 1062, and is supported by bracket 1070 that is attached to the transport chassis 1054; (f) a pinion 1072 that is attached to the spindle of the DC motor 1068; and (g) first gear 1074 and a second gear 1076 that are used to transfer the rotational force

produced by the DC motor 1068 to the lead screw 1062.

[0228] With reference to Figs. 33C-33D, the cartridge transport unit 1050 comprises a carriage block 1080 that is attached to the rail block 1058 and engages the lead screw nut 1066. As can be appreciated, upon the application of a rotational motive force by the DC motor 1068, the lead screw nut 1066 is displaced along the lead 1062 and, as a consequence, the cartridge transport unit 1050 is horizontally displaced along the linear rail 1056. Horizontal displacement of the cartridge transport unit 1050 along the linear rail 1056 facilitates: (a) positioning of the cartridge transport unit 1050 over a particular slot of a magazine supported by the magazine picker 880 so that a data cartridge can be inserted into the slot or a data cartridge can be extracted from the slot; and (b) moving the cartridge transport unit 1050 towards and away from a drive during the transfer of a data cartridge between a magazine and the drive. The location of the cartridge transport unit 1050 is determined using an encoder associated with the DC motor 1068 or other position sensor known in the art.

[0229] With reference to Figs. 33C-33F, the cartridge transport unit 1050 further comprises: (a) a housing 1082 that defines an interior space 1084A for receiving a data cartridge and an opening 1084B through which a cartridge is received into the interior space 1084A and through which a cartridge is expelled from the interior space 1084A (sensor is located adjacent to the opening 1084B for use in determining whether a data cartridge has been grasped and for determining whether a

cartridge is in a magazine); and (b) a rotary assembly 1086 for selectively rotating the housing 1082. The rotary assembly 1086 comprises: (a) a bracket 1088 that is attached to the carriage block 1080; (b) a gear 1090 with a center bearing 1092 for attaching the bracket 1088 to the gear so as to allow relative rotational movement between the bracket 1088 and the gear, and with an outer gear ring 1094 that is fixed to the housing 1082; (c) a DC motor 1096 for providing a rotational motive force that is used to rotate the housing 1082 about an axis defined by the center bearing 1092, the DC motor 1096 is attached the housing 1082 by a bracket 1098; (d) a pinion 1100 that is attached to the spindle of the DC motor 1096 and engages the outer gear ring 1094. As can be appreciated, a rotational motive force produced by the DC motor 1096 is transmitted to the outer gear ring 1094 by the pinion 1100. As a consequence, the housing 1082 rotates relative to the carriage block 1080 and about the axis 1096. A rotational sensor 1102 that is attached to the housing 1082 cooperates with a flag 1104 that is associated with the bracket 1088 to providing information on the rotational position of the housing 1082.

[0230]

With reference to Fig. 34A, due to orientation of the drives 210 and the orientation of a cartridge 1104 in a magazine 1106 supported by the magazine picker 880, the rotary assembly 1086 must be able to rotate the housing 1082 through a range 1108 of a least ninety degrees located between a vertical plane 1110 and a horizontal plane 1112 to transfer a cartridge between one of the drives 210 and a magazine

supported by the magazine picker 880. In the illustrated embodiment, the rotary assembly is able to rotate the housing 1082 through an additional range 1114 of about forty-five degrees. The ability to traverse a total range of approximately 135 degrees allows a bar-code reader associated with the housing 1082 to read bar codes associated with magazines located on shelves 328A and shelves 328B, i.e., magazines located on both sides of the magazine transport 212 and cartridge transport 214. The range of the rotary assembly 1086 can be further increased if, for example, an additional drive or drives were located on the opposite side of the library from the drives 210.

[0231]

The housing 1082 comprises a top side 1118A, bottom side 1118B, first side 1118C, second side 1118D, and back side 1118E. Associated with the back side 1118E is a bar code reader 1120 that is used to read a bar code label that is associated with and identifies a magazine that is located on a shelf in the shelf system 208 and a bar label that is associated with a data cartridge magazine located in a magazine. The barcode reader 1120 has an aperture 1122 with a field of view that extends through the interior space 1084A of the housing 1082 and out through the opening 1084B defined by the housing 1082. Figure 34B illustrates the ability of the rotary assembly 1086 and the bar code reader 1120 to read a bar code that identifies a first magazine 1124A that is associated with the shelving 328A and a bar code that identifies a second magazine 1124B that is associated with the shelving 328B. With respect to the magazines disclosed herein and the common

orientation of all of the magazines in the library 202, the bar code label associated with the magazine 1124A is situated in one of the recesses 290A, 290B and the bar code label associated with the magazine 1124B is situated in the other of the recesses 290A, 290B. As Fig. 34B also illustrates, the rotary assembly 1086 and the bar code reader 1120 are also capable of reading a bar code label that is attached to an end face 1126 of a cartridge. In some cases, the horizontal transport unit 1052 must also be used to appropriately position the bar code reader 1120 to read a bar code label associated with a magazine or with a cartridge. It should be appreciated that a bar code reader could be located in a different location on the housing. Further, separate bar code readers can also be employed, one to read a bar code that identifies a magazine and one to read a bar code that identifies a cartridge. If two bar code readers are employed, the bar code reader for reading the bar code that identifies a magazine can be located elsewhere than the cartridge transport unit 1050(e.g., with the magazine picker 880). Additionally, a reader or readers can be employed that are not adapted to read bar codes are feasible. For instance, if an RFID tag is used to identify a magazine or cartridge, an appropriately situated reader or readers can be associated with the cartridge transport 214, magazine transport 212, or other appropriate element in the library.

[0232]

With reference to Figs. 33E and 33G-33I, the housing 1082 is capable of accommodating data cartridges of different dimensions. More

specifically, the housing 1082 is capable of accommodating cartridges that have different heights, i.e., the perpendicular distance between the two, parallel surfaces of the cartridge with the greatest surface areas. In the illustrated embodiment, the housing 1082 is capable of accommodating an LTO, SAIT or DLT tape cartridge. LTO and SAIT tape cartridges have substantially the same height. The DLT tape cartridge, however, has a height that is greater than the heights of the LTO and SAIT tape cartridges. With the foregoing in mind, the housing 1082 comprises a top half 1130A and a bottom half 1130B that is attached to the top half 1130A to form the housing 1082. Adaptively attached to the top half 1130A is plate 1132. To elaborate, the top half 1130A comprises: (a) screw holes 1134A-1134D each for receiving a screw, such as screw 1136; (b) spring towers 1138A-1138D that each house a spring. The plate 1132 comprises: (a) retaining screw posts 1140A-1140D that each receive and retain a screw that passes through the corresponding one of the screw holes 1134A-1134D; and (b) spring seats 1142A-1142D, each for supporting one end of a spring, such as spring 1144. The other end of each spring is received in the spring towers 1138A-1138D. The plate 1132 also comprises a ramp 1146 that is disposed adjacent to the opening 1084B to facilitate the insertion of data cartridges into the interior space 1084A. In operation, the plate 1132 and the interior surface of the bottom side 1118B are separated from one another when there is no cartridge in the interior space 1084A by a distance that is only slightly greater than the height of an LTO or SAIT tape cartridge. When an LTO or SAIT tape cartridge is located in

the interior space 1084A, the plate 1132 and the interior surface of the bottom side 1118B remain separated by approximately the same distance as when there was no cartridge in the interior space 1084A. In addition, the plate 1132 and interior surface of the bottom side 1118B operate to constrain the movement of the cartridge in one dimension, i.e., the height dimension. When a DLT cartridge is inserted into the interior space 1084A, the greater height of the cartridge displaces the plate 1132 towards the top side 1118A of the housing and compresses the springs. Again, the plate 1132 and the interior surface of the bottom side 1118B constrain the movement of the cartridge in one dimension, i.e., the height dimension. When the DLT cartridge is removed from the interior space 1084A, the springs operate to return the plate 1118B to the position at which it is separated from the interior surface of the bottom side 1118B by a distance that is slightly greater than the height of an LTO or SALT cartridge.

[0233] The plate 1132 further comprises a flag 1148 that cooperates with a detector 1150 to indicate when a DLT tape cartridge has been received in the interior space 1084A. In operation, when a DLT cartridge is received in the interior space 1084A, the displacement of the plate 1132 causes the flag 1148 to pass through a slot 1152 in the top half 1130A and engage the detector 1150. The detector 1150 is located on a circuit board 1154 that is mounted to the top side 1118A of the housing and that includes control circuitry for the rotary assembly 1086 and the picker.

[0234] With reference to Figs 33K-33N, the cartridge transport unit 1050 comprises: (a) a grasper assembly 1160 that is used to grasp a cartridge; and (b) a linear drive assembly 1162 for moving a portion of the grasper assembly 1160 to move a cartridge into and out of the interior space 1084A of the housing 1082. The grasper assembly 1160 comprises: (a) the plate 1132 and the interior surface of the bottom side 1118B of the housing 1082, which cooperate with one another to constrain a data cartridge in a first dimension, i.e., the height dimension; (b) a picker assembly 1164 and the interior surface of the back side 1118E of the housing 1082, which cooperate with one another to constrain a cartridge in a second dimension, i.e., the depth dimension between the ends of the cartridge; and (c) the interior surface of the first side 1118C of the housing in combination with an interior wall 1166 of the housing 1082 and the picker assembly 1164 to constrain a cartridge in a third dimension, i.e., the width dimension.

[0235] The picker assembly 1164 comprises: (a) a finger/thumb assembly 1168 that is used in grasping a cartridge; and (b) a finger actuation assembly 1170 that is used to place a finger associated with the finger/thumb assembly 1168 in a desired orientation for extracting a data cartridge from a magazine, inserting a data cartridge into a magazine, extracting a data cartridge from a drive, and inserting a data cartridge into a drive.

[0236] The linear drive assembly 1162 operates to linearly move the finger/thumb assembly 1168 to pull a cartridge into the interior space



1084A of the housing 1082 and to expel a cartridge from the interior space of the housing 1082. The linear drive assembly 1162 comprises: (a) a lead screw 1174 that is supported by the housing 1082; (b) a DC motor 1176 for providing a rotational motive force for moving the finger/thumb assembly 1168, the motor is attached to a bracket 1178; (c) a pinion 1180 that is attached to the spindle of the DC motor 1176; (d) an idler gear 1182 that interfaces with the pinion 1180; (e) a lead screw gear 1184 that interfaces with the idler gear 1182 and is attached to one end of the lead screw 1174. The finger/thumb assembly 1168 comprises a nut portion 1188 that resides on the lead screw 1174 and a guide tongue 1190 that is attached to the nut portion 1188 and resides in a groove 1192, a side of which is formed by the interior wall 1166. When the motor 1176 produces a rotational motive force, the finger/thumb assembly 1168 is displaced along the lead screw 1174. Typically, the displacement is associated with moving a cartridge into the interior space 1084A or expelling a cartridge from the interior space 1084A. Associated with the finger/thumb assembly 1168 is a flag 1194 that cooperates with a detector 1196 to indicate when the finger/thumb assembly 1168 has been fully retracted into the interior space 1084A of the housing 1082. In addition, the detector 1196 is used in combination with an encoder associated with the motor 1176 to provide positional information on the finger/thumb assembly 1168.

[0237]

The finger/thumb assembly 1168 further comprises: (a) mounting block 1200; (b) a finger 1202 that is pivotally attached to the mounting block

1200 via a finger pivot shaft 1204; (c) finger cam 1206 that is attached to the finger 1202 and includes a first and second finger cam legs 1208A, 1208B; (d) a finger return spring assembly 1210 that is attached to the pivot shaft 1204 and operates to bias the finger 1202 towards a data cartridge; and a thumb 1212 that limits the movement of the finger 1202 towards a cartridge and also assists in pushing a cartridge out of the interior space 1084A. It should be appreciated that in embodiments of a magazine-based data cartridge library that operate only on cartridges with two gripper notches, a cartridge may be grasped between a pair of movable elements.

[0238] The finger actuation assembly 1170 comprises: (a) a bracket 1216 that is mounted to the housing 1082; (b) a picker 1218 that is pivotally attached to the bracket 1216; (c) a picker cam 1220 that engages the finger cam surface 1206 to place the finger 1202 in desired orientations for particular insertion and extraction operations, with the picker cam 1220 comprising first and second picker cam legs 1222A, 1222B; (d) a solenoid 1224 that is used to rotate the picker 1218 and comprises a plunger 1226 that is attached to the picker 1218; and (e) a stop 1228 that limits the rotation of the picker 1218 in one direction.

[0239] Also associated with the cartridge transport 214 is a wall structure 1230 that serves to counteract the rotation of a cartridge that occurs during extraction of the cartridge from a magazine or insertion the cartridge into the magazine due to the manner in which the picker assembly engages the cartridge.

[0240] It should also be appreciated that the cartridge transport 214 and the magazine transport 212 both comprise the elevator 750. As a consequence, elements of the cartridge transport 214 other than the elevator 750 and elements of the magazine transport 212 other than the elevator 750 are moved in unison by the elevator 750. This relationship makes possible bulk load and bulk unload operations. However, it should be appreciated that in other embodiments a cartridge transport and a magazine transport can each have an elevator that is capable of independent operation. Further, the operational ranges of the elevators can be substantially the same or different. Further, a cartridge transport with fewer actuators than those associated with the cartridge transport 214 is feasible. For example, a cartridge transport with elements that are functionally equivalent to all of the elements of the cartridge transport 214 described above, except for the elevator, could be mounted adjacent to a drive. In such an embodiment, a magazine transport would bring a magazine into the operational range of the cartridge transport for the loading of cartridges into the magazine by the cartridge transport and the unloading of a cartridge from the magazine by the cartridge transport. In another possible embodiment, a cartridge transport with elements that are functionally equivalent to all of the elements of the cartridge transport 214 described above, except the elevator 750 and the horizontal transport 1052, could be mounted adjacent to a drive. In such an embodiment, the magazine transport would operate to bring magazines into the general operational range of the cartridge transport and the

magazine picker would be used to position a specific slot of a magazine for the loading and unloading of cartridges by the cartridge transport. In any event, a cartridge transport is a device that is capable of moving a data cartridge between a magazine and a drive.

[0241]

With reference to Figs. 35A-35C, the operation of the cartridge transport 214 in moving a data cartridge 1232 from a magazine 1234 to a drive 1236 is generally described. With reference to Fig. 35A, the magazine transport 212 has been used to move the magazine 1234 from a storage location, such as a shelf or the entry exit port, to the support structure 884. To elaborate, the magazine transport 212 has, if necessary, used the elevator 750 to position the magazine picker 880 and the support structure 884 adjacent to the whatever storage location was supporting the magazine 1234. In this regard, one of the detectors 982, 984 was used to position the magazine picker 880 and the support structure 884. Further, the rotary assembly 1086 has likely been used to place the housing 1082 and the associated bar-code reader 1120 at an orientation that allows the bar-code reader to read a bar-code label associated with the magazine 1234 to confirm that the appropriate magazine is being accessed. Once the magazine picker 880 and support structure 884 were positioned adjacent to the storage location that was supporting the magazine 1234, the magazine picker 880 and support structure 884 were used to move the magazine 1234 from the storage location to the support structure 884. During the moving of the magazine 1234 onto the support structure 884, the rotary assembly

1086 has been used to place housing 1082 and the associated bar-code reader 1120 at an orientation that allowed the bar code reader 1120 to read the bar-code labels associated with the cartridges in the magazine 1234 to identify the desired cartridge, namely, cartridge 1232. In this regard, the rotary assembly 1086 is typically used to place the housing 1082 in the vertical orientation shown in Fig. 35A or at an angle that is between the vertical and horizontal planes 1236A, 1236B so that the bar-code reader 1120 can read the bar-codes associated with cartridges in the magazine.

[0242] With reference to Fig. 35A, after the magazine 1234 has been positioned on the support structure 884 as shown in Fig. 35A or during the time when the magazine 1234 is being positioned on the support structure 884, the horizontal transport unit 1052 and the rotary assembly 1086 are used, as needed, to position the opening 1084B of the housing 1082 to receive the data cartridge 1232. The linear drive assembly 1162 and the picker assembly 1164 are then used to draw the cartridge 1232 into the interior space 1084A of the housing 1082. The detector 1196 is used to determine when the cartridge 1232 has been fully retracted into the interior space 1084A of the housing 1082. At this point, it should be appreciated that: (a) the magazine 1234 has oriented the cartridge 1232 (an LTO magazine) such that the face of the cartridge with the greatest surface area lies in a vertical plane; and (b) that in extracting the cartridge 1232 from the magazine 1234, the cartridge has been vertically displaced within the vertical plane.

[0243] At some point in retracting the cartridge 1232 into the housing 1082, the cartridge 1232 and elements of the cartridge transport 214 are situated so as not to interfere with any movement of the magazine 1234. At this point, the magazine picker 880 can be used to transfer the magazine 1234 from the support structure 884 to its original storage location or to another storage location, which may require the use of the elevator 750. Alternatively, the magazine 1234 can be left on the support structure 884. Leaving the magazine 1234 on the support structure 884 is desirable if, for example, a "bulk load" operation is to be performed.

[0244]

In any event, after the picker assembly 1164 has engaged the cartridge 1232, the rotary assembly 1086 is used to rotate the housing 1082 and the grasped cartridge 1232 from the vertical orientation shown in Fig. 35A to the horizontal orientation shown in Fig. 35B. Typically, the rotary assembly 1086 rotates the housing 1082 after the cartridge 1232 has been completely retracted into the housing 1082. However, in certain circumstances, it is possible for the rotary assembly 1086 to rotate the housing 1082 during the period of time when the cartridge is being retracted into the housing. For cartridges located in the slots at or near the ends of the magazine, rotation of the housing 1082 to the horizontal orientation shown in Fig. 35B may also require that the horizontal transport unit 1052 horizontally and linearly translate the housing 1082 towards the center of the magazine 1234 to prevent the housing 1082 from coming into contact with a shelf or other element of the library. At

this point, it should be appreciated that the cartridge 1232 has been rotated about a horizontal axis and about a horizontal axis that is parallel to the face of the cartridge 1232 with the greatest surface area.

[0245] With reference to Fig. 35C, the horizontal transport unit 1052 is used, as needed, to linearly and horizontally translate the housing 1082 and the cartridge 1232 in the direction required to insert the cartridge 1232 into the drive 1236. In addition, the elevator 750 and detector 984 (which operates in this instance to detect the flag 632 associated with the drive 1236) have been used, as needed, to position the housing 1082 so that when the housing 1082 is horizontally disposed as shown in Figs. 35B and 35C, the opening of the housing is aligned with the receptacle of the drive 1236. The elevator 750 and detector 984 can be used to position the housing 1082 for insertion of the cartridge 1232 into the drive 1236 at any time after the magazine 1234 has been loaded onto the support structure 1084. Once the opening 1084B of the housing 1082 has been aligned with the receptacle of the drive 1236, the cartridge 1232 is inserted into the drive 1236. In this regard, the linear drive assembly 1162 is used to expel the cartridge 1232 from the housing 1082 and into the drive. The linear drive assembly 1162 typically begins expelling the cartridge after the opening 1084B has been disposed substantially adjacent to the receptacle of the drive 1236. However, the expulsion process can commence earlier. However, there may be risks associated with commencing the expulsion process earlier.

[0246] At this point, if the magazine 1234 is still associated with the support structure 884, a bulk load operation can be performed, if desired. In a bulk load operation, the housing 1082 is repositioned using the horizontal transport unit 1052 and the rotary assembly 1056, as needed, so that a second cartridge in the magazine 1234 can be grasped. The second cartridge is grasped and then oriented for insertion into a second drive in the same manner as previously described with respect to the cartridge 1232. Further, the elevator 750 is used to position the housing 1082 for insertion of the second cartridge into a second drive. The process of grasping a cartridge in the magazine 1234, orienting the housing 1082 and the grasped cartridge using the rotary assembly 1054 and horizontal transport unit 1052, as needed, for insertion the grasped cartridge into a drive, and using the elevator 750 to position the housing 1082 and the grasped cartridge for insertion into a drive is repeated until the desired number of cartridges have been transferred from the magazine 1234 to the drives.

[0247]

It should also be noted at this point that the process for moving a data cartridge from a magazine to a drive involved the use of the magazine picker 880 to move a magazine onto the support structure 884 such that the magazine was no longer associated with a storage location and completely supported by the support structure 884. If only one cartridge is to be moved from a magazine to a drive, an alternative process potentially avoids the need to fully extract the magazine from its storage location. In this process, the magazine picker terminates the extraction



of a magazine from a storage location after the desired cartridge has been exposed and is capable of being grasped. In one embodiment, the horizontal transport unit 1052 is used to position the housing 1082 as close to the storage location from which the magazine is to be extracted as is feasible. Further, the rotary assembly 1086 places the housing 1082 in the vertical orientation shown in Fig. 35B. With the housing 1082 positioned by the horizontal transport unit 1052 and the rotary assembly 1086 in this manner, the desired cartridge can be grasped very quickly once it is exposed. To elaborate, the signal provided by the bar-code reader 1120 once the desired cartridge is exposed and detected is used to terminate the operation of the magazine picker 880 such that the desired cartridge is positioned underneath the opening 1084B of the housing 1082. It should be appreciated that if the slot in which the desired cartridge is located is known beforehand, this information can be used to terminate the operation of the magazine picker 880 such that the desired cartridge is positioned underneath the opening 1084B of the housing 1082. In any event, extraction of the cartridge from the magazine proceeds as previously described. However, if the magazine has not been completely extracted from its storage location, a decision must be made as to whether to proceed with the extraction of the magazine from its storage location or proceed to reinsert the magazine into its storage location because the elevator 750 cannot be used to move the grasped cartridge to a drive until the magazine is substantially removed from its storage location or substantially inserted into its storage location. In

either case, once the magazine has been substantially removed from its storage location or substantially returned to its storage location, the grasped cartridge is moved to a drive as previously described.

[0248] The operation of the cartridge transport 214 in moving a data cartridge 1232 from a drive 1236 to a magazine is generally described with reference to Figs. 35A-35C. With reference to Fig. 35C, the elevator 750 and the detector 984 have been used to grossly position the housing 1082 adjacent to the drive 1236, which holds the cartridge 1232. Further, the horizontal transport unit 1052 and the rotary assembly 1086 have been used, as needed, to orient the housing 1082 as shown in Fig. 35C. Consequently, through the operation of the elevator 750, horizontal transport 1052 and the rotary assembly 1086, as needed, the housing 1082 has been positioned to receive the cartridge 1232 from the drive 1236. Although Fig. 35C shows the magazine 1234 on the support structure 884, the magazine 1234 may or may not be associated with the support structure 884 when the housing 1082 is positioned to receive the cartridge 1232 from the drive 1236. If the magazine 1234 is associated with the support structure 884, a "bulk unload" operation can be performed, if desired. In any event, the linear drive assembly 1162 and picker assembly 1164 operate to extract the cartridge 1232 from the drive 1236.

[0249] With reference to Figs. 35B and 35C, once the cartridge 1232 is substantially clear of the drive 1236, the horizontal transport unit 1052 (as needed) and the rotary assembly 1086 are used to position the

housing 1082 and the grasped cartridge 1232 for insertion into the magazine 1234. Even though the housing 1082 and the grasped cartridge 1232 are being positioned for insertion of the cartridge into the magazine, it should be appreciated that the magazine may or may not be associated with the support structure 1084 during this positioning of the housing 1082 and the grasped cartridge. At this point, it should be appreciated that the cartridge 1232 has been rotated about a horizontal axis and about a horizontal axis that is parallel to the face of the cartridge 1232 with the greatest surface area. After the cartridge 1232 is substantially clear of the drive 1236, the elevator 750 and one of the detectors 982, 984 is used to position the magazine picker 880 and the support structure 884 adjacent to the storage location of the magazine 1234.

[0250]

After the housing 1082 and the grasped cartridge 1232 have been positioned as shown in Fig. 35A, the cartridge is positioned for insertion into the magazine 1234. Although Fig. 35A shows the magazine 1234 as being on the support structure 884, the magazine 1234 may not yet be associated with the support structure. In any event, before insertion of the cartridge 1232 into the magazine 1236 can be accomplished, the magazine 1234 is positioned on the support structure 884 as shown in Fig. 35A. If the magazine 1234 was not associated with the support structure 884 when the housing 1082 was positioned to receive the cartridge 1232 from the drive 1236, the magazine 1234 is extracted from its storage location after the elevator 750 has appropriately

positioned the support structure 884 adjacent to the storage location of the magazine 1234. Once the housing 1082 and grasped cartridge 1232 and the magazine 1234 are positioned as shown in Fig. 35A, the linear drive assembly 1162 and the picker assembly 1164 operate to expel the cartridge from the housing 1082 into the magazine 1234. It should be noted at this point that in inserting the cartridge 1232 into the magazine 1234: (a) the cartridge was oriented such that the face of the cartridge with the greatest surface area lay in a vertical plane; and (b) that the cartridge 1232 was vertically displaced within the vertical plane.

[0251] It should be noted that if the magazine 1234 was associated with the support structure 884 when the housing 1082 was positioned to receive the cartridge 1232 from the drive 1236, a "bulk unload" operation can be performed in which the cartridge 1232 is returned to the magazine 1234 as described except that before the elevator 750 and magazine picker 880 are used to return the magazine 1234 to its storage location, the elevator 750, horizontal transport unit 1052, rotary assembly 1086 and grasper assembly 1160 are used to move additional cartridges from other drives to the magazine 1234.

[0252] It should also be noted that in the situation in which the magazine is not associated with the support structure 884 when the housing 1082 is positioned to receive the cartridge from a drive, a process in which a cartridge can be returned to a magazine in many cases without fully extracting the magazine from its storage location can be implemented. To elaborate, the if the magazine 1234 was not associated with the

support structure 884 when the housing 1082 was positioned to receive the cartridge 1232, the magazine 1234 must be extracted from its storage location after the cartridge 1234 has been extracted from the drive and the elevator 750 has positioned the support structure 884 adjacent to the storage location for the magazine 1234. In this process, the magazine picker terminates the extraction of a magazine from a storage location after the desired cartridge has been exposed and is capable of being grasped. In one embodiment of the process, the magazine picker 880 terminates the extraction of a magazine from its storage location after the slot that is to store the cartridge has been exposed. In one embodiment, the horizontal transport unit 1052 is used to position the housing 1082 as close to the storage location from which the magazine is to be extracted as is feasible. Further, the rotary assembly 1086 places the housing 1082 in the vertical orientation shown in Fig. 35B. With the housing 1082 positioned by the horizontal transport unit 1052 and the rotary assembly 1086 in this manner, the cartridge can be inserted into the magazine shortly after the slot that is to retain the cartridge is exposed. To elaborate, signals provided by the operation of the center position flag 920 in conjunction with the first sensor assembly 942 and an encoder associated with the motor 914 are used to determine when the slot in which the magazine is to be placed is exposed and uses these signals to terminate the operation of the magazine picker 880 such that the desired cartridge is positioned underneath the opening 1084B of the housing 1082. At this point, the linear drive assembly 1162 and the picker assembly 1164 operate to

insert the cartridge into the magazine. Once the cartridge is sufficiently inserted into the magazine, the magazine picker 880 operates to return the magazine to its storage location.

[0253] It should also be appreciated that the magazine from which a cartridge is extracted does not have to be the magazine to which the cartridge is later returned.

[0254] If desired, the cartridge transport 214 can also be used to move a cartridge from one drive to another drive. To move a cartridge from one drive to another drive, the elevator 750, horizontal transport unit 1052, and rotary assembly 1086 are used to position the housing 1082 as shown in Fig. 35C to receive a data cartridge from the drive 1236. Once the housing 1082 has been positioned to receive a data cartridge from the drive, the linear drive assembly 1162 and the picker assembly 1164 are used to extract the cartridge from the drive. After the cartridge has been extracted from the drive, the elevator 750 is used to position the housing 1082 and the grasped cartridge for insertion into another one of the drives 210.

[0255] With reference to Figs. 36A-36G, having generally described the use of the cartridge transport 214 in moving a data cartridge between a magazine and a drive, the operation of the picker assembly 1164 (which is comprised of the finger/thumb assembly 1168 and the finger actuation assembly 1170) and the linear drive assembly 1162 is described with respect to the: (a) picking or removing of a cartridge from a magazine; and (b) loading of a previously grasped cartridge into

the magazine. Figures 36A-36F, when viewed in sequence, illustrate the operation of the picker assembly 1164 and the linear drive assembly 1162 in picking an LTO cartridge 1244 with a picker notch 1242 from a magazine 1244. With reference to Fig. 36A, (a) the elevator 750, horizontal transport unit 1052, and rotary assembly 1086 have, as needed, positioned the housing 1082 so that the opening 1084B is adjacent to the cartridge 1240; (b) the linear drive assembly 1162 has positioned the finger/thumb assembly 1168 within the housing 1082; and (c) the finger actuation assembly 1164 has positioned the picker 1218 so that the picker 1218 essentially has no effect upon the finger 1202 during the extraction operation. More specifically, the solenoid 1224 has fully extended the plunger 1226. As a consequence, the picker 1218 is positioned such that further rotation is prevented by the stop 1228.

[0256]

With reference to Fig. 36B, the DC motor 1176 of the linear drive assembly 1162 has been used to displace the finger/thumb assembly 1168 along the lead screw 1174 and towards the cartridge 1240. At this point, the finger 1202 has engaged the top end of the cartridge 1240. With reference to Fig. 36C, as the linear drive assembly 1162 displaces the finger/thumb assembly 1168 further towards the cartridge 1240, the interaction of the finger 1202 with the surfaces of the cartridge 1240 causes the finger 1202 to rotate away from the cartridge 1240 such that energy is stored in the return spring 1210. With reference to Figs. 36C and 36D, further displacement of the finger/thumb assembly 1168

towards the cartridge 1240 eventually results in the finger 1202 reaching the notch 1242. At this point, the energy stored in the return spring 1210 causes the finger 1202 to engage the notch 1242. With the notch 1242 engaged, the cartridge 1240 can now be extracted from the magazine 1244. With reference to Figs. 36E, after the notch 1242 has been engaged, the linear drive assembly 1162 operates so as to displace the finger/thumb assembly 1168 and the cartridge 1240 away from the magazine 1244. The wall 1230 serves to counteract rotation of the cartridge 1240 during the extraction. The linear drive assembly 1162 continues the displacement of the finger/thumb assembly 1168 and the cartridge 1240 away from the magazine 1244 until the cartridge is fully within the housing 1082, as shown in Fig. 36F.

[0257]

Figs. 36F, 36E, 36D and 36G illustrate the operation of the picker assembly 1164 and the linear drive assembly 1162 in loading the LTO cartridge 1244 into the magazine 1244. With reference to Fig. 36F, (a) the elevator 750, horizontal transport unit 1052, and rotary assembly 1086 have, as needed, positioned the housing 1082 so that the opening 1084B is adjacent to the slot of the magazine 1244 which is to hold the cartridge 1240; (b) the linear drive assembly 1162 and the finger/thumb assembly 1168 are operating to retain the cartridge 1240 within the housing 1082; and (c) the finger actuation assembly 1164 has positioned the picker 1218 so that the picker 1218 will not have an effect upon the finger 1202 during insertion of the cartridge 1240 into the magazine 1244. More specifically, the solenoid 1224 has fully



extended the plunger 1226. As a consequence, the picker 1218 is positioned such that further rotation is prevented by the stop 1228.

[0258] With reference to Fig. 36E, the linear drive assembly 1162 has been used to displace the finger/thumb assembly 1168 and the cartridge 1240 along the lead screw 1174 and towards the magazine 1244. With reference to Fig. 36D, the linear drive assembly 1162 has continued to displace the finger/thumb assembly 1168 and the cartridge 1240 along the lead screw 1174 and towards the magazine 1244 until the cartridge 1240 is fully loaded into the magazine 1244. During the displacement of the cartridge 1240 towards the magazine 1244, the wall 1230 has served to counteract rotation of the cartridge 1240. At this point, the picker cam surface 1220 of the picker 1218 is disposed between the finger cam 1206 of the finger 1202 and the pivot shaft 1204 of the finger 1202. To disengage the finger 1202 from the notch 1242 of the cartridge 1240 and release the cartridge 1240, the solenoid 1224 is operated so as to retract the plunger 1226 and thereby rotate the picker 1218. Rotation of the picker 1218 pushes the finger 1202 away from the cartridge 1240 as shown in Fig. 36G. At this point, loading of the cartridge 1240 into the magazine 1244 is complete.

[0259] Figures 37A-37D, when viewed in sequence, illustrate the operation of the picker assembly 1164 and the linear drive assembly 1162 in loading the LTO cartridge 1244 into a drive 1246. With reference to Fig. 37A, (a) the elevator 750, horizontal transport unit 1052, and rotary assembly 1086 have, as needed, positioned the housing 1082 so that the

opening 1084B is adjacent to the receptacle of the drive 1246; (b) the linear drive assembly 1162 and the finger/thumb assembly 1168 are operating to retain the cartridge 1240 within the housing 1082; and (c) the finger actuation assembly 1164 has positioned the picker 1218 so that the picker 1218 will not have an effect upon the finger 1202 at this point in time. More specifically, the solenoid 1224 has fully extended the plunger 1226. As a consequence, the picker 1218 is positioned such that further rotation is prevented by the stop 1228.

[0260]

With reference to Fig. 37B, the linear drive assembly 1162 has been used to displace the finger/thumb assembly 1168 and the cartridge 1240 along the lead screw 1174 and towards the drive 1246. In addition, before the displacement of the finger/thumb assembly 1168 along the lead screw 1174 by the linear drive assembly 1162 reaches a point at which the finger cam 1206 of the finger 1202 could contact with the picker cam surface 1220, the finger actuation assembly 1164 has positioned the picker 1218 the picker 1218 so that the picker cam surface 1220 will cause the finger to rotate away from the cartridge 1240 and release the cartridge earlier than occurs during the loading of a cartridge into a magazine. More specifically, the solenoid 1224 has been operated so as to fully retract the plunger 1226 and thereby position the picker cam surface 1220 of the picker 1218 to interact with the finger cam 1206 of the finger 1202 to release the cartridge earlier than in the magazine loading operation. With reference to Figs. 37C and 37D, further displacement of the picker/thumb assembly 1168 by

the linear drive assembly 1162 eventually causes the picker cam surface 1220 to engage the finger cam 1206. After the picker cam surface 1220 has engaged the finger cam 1206, further displacement of the picker/thumb assembly 1168 towards the drive results in the picker cam surface 1220 applying a force to the finger 1202, via the finger cam 1206, that causes the finger 1202 to rotate about the pivot shaft 1204 and away from the cartridge 1240, thereby releasing the cartridge 1240 to the drive 1246. Comparison of Fig. 37C to Fig. 36G shows that the operation of the finger actuation assembly 1164 in the loading of the cartridge 1240 into the drive 1246 causes the finger 1202 to release the cartridge 1244 earlier than in the case of loading the cartridge 1244 into the magazine 1244.

[0261]

Figures 37E, 37F, 37G and 37A, when viewed in sequence, illustrate the operation of the picker assembly 1164 and the linear drive assembly 1162 in picking an LTO cartridge 1244 with a picker notch 1242 from the drive 1246. With reference to Fig. 37E, (a) the elevator 750, horizontal transport unit 1052, and rotary assembly 1086 have, as needed, positioned the housing 1082 so that the opening 1084B is adjacent to the drive 1246; (b) the linear drive assembly 1162 has positioned the finger/thumb assembly 1168 within the housing 1082; and (c) the finger actuation assembly 1164 has positioned the picker 1218 so that the picker 1218 essentially has no effect upon the finger 1202 during the extraction operation. More specifically, the solenoid 1224 has fully extended the plunger 1226. As a consequence, the

picker 1218 is positioned such that further rotation is prevented by the stop 1228.

[0262] With reference to Fig. 37F, the DC motor 1176 of the linear drive assembly 1162 has been used to displace the finger/thumb assembly 1168 along the lead screw 1174 and towards the drive 1246. At this point, the finger 1202 has engaged the cartridge 1240 and the interaction of the finger 1202 with the surfaces of the cartridge 1240 has caused the finger 1202 to rotate away from the cartridge 1240 such that energy is stored in the return spring 1210. With reference to Fig. 37G, further displacement of the finger/thumb assembly 1168 towards the cartridge 1240 eventually results in the finger 1202 reaching the notch 1242. At this point, the energy stored in the return spring 1210 causes the finger 1202 to engage the notch 1242. With the notch 1242 engaged, the cartridge 1240 can now be extracted from the drive 1246. With reference to Figs. 37A, after the notch 1242 has been engaged, the linear drive assembly 1162 operates so as to displace the finger/thumb assembly 1168 and the cartridge 1240 away from the drive 1246. The linear drive assembly 1162 continues the displacement of the finger/thumb assembly 1168 and the cartridge 1240 away from the magazine 1244 until the cartridge is fully within the housing 1082, as shown in Fig. 37A.

[0263] It should be appreciated that many of the elements of the library 202 employed linear or rotary actuators. Generally, for elements that employ a linear actuator, designs are feasible that employ a rotary

actuator. Similarly, for elements that employ a rotary actuator, designs are feasible that employ a linear actuator. Further, the elements of the library 202 that employ a linear or rotary actuator, employ electrical actuators. Designs are feasible that employ actuators that utilize hydraulic or pneumatic actuators are feasible. In addition, many of the electrical motors utilized in the library are DC motors. As should be appreciated, other types of electrical motors are feasible.

[0264]

With reference to Fig. 38, the relationship between the space occupied by shelved magazines in the library 202 to the other elements of the library is described. A first magazine 1250A associated with a first shelf 1252A of any one of the five columns of shelving 328A resides in a vertical plane that is bounded by a first face 1254A that corresponds with the front face of the magazine and a second face 1256A that corresponds with the rear face of the magazine and is substantially parallel to the first face 1254A (a first magazine space). Similarly, a second magazine 1250B associated with a second shelf 1252B of any one of the two columns of shelving 328B resides in a vertical plane that is bounded by a first face 1254B and a second face 1256B that respectively correspond to the front and rear surfaces of the second magazine 1250B (a second magazine space). The distance between the first and second planes 1254A, 1256A (or between the first and second planes 1254B, 1256B) defines the minimum distance that is needed between the first planes 1254A, 1254B (at least a portion of the space between these two planes defining a magazine transport space)

for the magazine transport 212 to move a magazine within the library 202 without re-orienting the magazine. The closer the distance between the first planes 1254A, 1254B is to the minimum distance, the more volume there is within the library to accommodate more data cartridges and/or more drives. In the illustrated embodiment, the distance between the planes 1254A, 1254B is less than twice the distance between the planes 1254A, 1256A and approaches 130% of the distance of the distance between the planes 1254A, 1256A. Further, the distance between the first and second planes 1254A, 1256A (or the distance between the first and second planes 1254B, 1256B) is roughly equal to the distance between the front and rear surfaces 1258A, 1258B of a drive of the two columns of drives 210. This allows the drives and shelving to be positioned within the library 202 so as to efficiently utilize the available space within the library 202. It should also be appreciated that the magazine transport space overlaps with the space the magazine spaces, due to engagement of magazines by the magazine transport 212.

[0265] In a magazine-based library with a circular/cylindrical type layout, the magazine transport travels in a space that is located between two concentric "planes" or surfaces. Further, the magazines are typically located in one or more spaces that are each located between two planes.

[0266] Figure 39 illustrates the control system 218 for the library 202.

Generally, the control system 218 is comprised of controller 1264 that is

capable with communicating with other controllers in the library 202 that are associated with various electrical elements within the library. More specifically, the controller 1264 is capable of communicating with: (a) a first and second entry/exit port controllers 1266A, 1266B that respectively control electrical elements associated with the first and second entry/exit ports 368, 370; (b) a horizontal axis controller 1268 that controls electrical elements associated with the horizontal axis assembly 754 of the elevator 750; (c) a vertical axis controller 1270 that controls electrical elements associated with the vertical axis assembly 752 of the elevator 750; (d) a short axis/magazine controller 1272 that controls electrical elements associated with the horizontal transport unit 1052, magazine picker 880, and magazine transport device 900; (e) a rotation axis/picker axis controller 1274 that controls electrical elements associated with the rotary 1086, the linear drive assembly 1162, and the picker assembly 1164; and (f) an environmental controller 1276 that controls, among other things, the fans 220. In addition, the controller 1264 also communicates with elements associated with the operator interface 342. Further, the controller 1264 also communicates with the QIP associated with an drive bay assembly 540 in the library via a controller area network (CAN). Other control systems are also feasible.

[0267]

The library 202 operates to read and/or write data on a recording medium that is located in a cartridge that is stored in a magazine that is, in turn, stored on a shelf or in another storage location. Further, when the cartridge is stored in the magazine, the cartridge is oriented in

the library 202 such that the face of the cartridge with the greatest surface area lies in a substantially vertical plane. Moreover, the cartridge is oriented so that the surface vector of the face of the cartridge with the greatest surface area is substantially parallel to the direction in which the magazine picker 880 displaces the magazine. Further, when the cartridge picker 214 extracts/inserts the cartridge from/into the magazine, the cartridge picker 214 displaces the cartridge vertically within the noted vertical plane.

[0268] It should be appreciated that other embodiments of a magazine-based data cartridge library are capable of operating on cartridges that are oriented when in storage so that the face of the cartridge with the greatest surface area lies in a vertical plane, but the surface vector of the face lies substantially perpendicular to the direction in which a magazine picker displace the magazine storing the cartridge and/or a cartridge picker extracts/inserts the cartridge from/into the magazine such that the magazine is displaced horizontally within the vertical plane.

[0269] Figure 40A illustrates an alternative embodiment of a magazine 1280 suitable for use in an alternative embodiment of a magazine-based data cartridge library relative to library 202. When the magazine 1280 is operationally disposed on a shelf 1282 of the library, the magazine holds a cartridge 1284 such that: (a) a face of the cartridge with the greatest surface area 1286 lies in a vertical plane; (b) the surface vector 1286 of the face 1286 is substantially perpendicular to a



direction 1290 that the magazine is displaced by a magazine picker; and (c) the cartridge is displaced in a direction 1292, a vertical direction, by a cartridge picker to insert/extract the cartridge into/from the magazine.

[0270] Figure 40B illustrates an alternative embodiment of a magazine 1294 suitable for use in an alternative embodiment of a magazine-based data cartridge library relative to library 202. Each of the features in Fig. 40B that is substantially identical to a feature in Fig. 40A has been give the same reference number as the feature in Fig. 40A. When the magazine 1294 is operationally disposed on a shelf 1282 of the library, the magazine holds a cartridge 1284 such that: (a) a face 1286 of the cartridge with the greatest surface area lies in a vertical plane; (b) the surface vector 1288 of the face 1286 is substantially parallel to a direction 1290 that the magazine is displaced by a magazine picker; and (c) the cartridge is displaced in a direction 1292, a horizontal direction in the vertical plane in which the face of the cartridge with the greatest surface area lies, by a cartridge picker to insert/extract the cartridge into/from the magazine.

[0271] Figure 40C illustrates an alternative embodiment of a magazine 1296 suitable for use in an alternative embodiment of a magazine-based data cartridge library relative to library 202. Each of the features in Fig. 40C that is substantially identical to a feature in Fig. 40A has been give the same reference number as the feature in Fig. 40A. When the magazine 1296 is operationally disposed on a shelf 1282 of the library,

the magazine holds a cartridge 1284 such that: (a) a face 1286 of the cartridge with the greatest surface area lies in a vertical plane; (b) the surface vector 1288 of the face 1286 is substantially perpendicular to a direction 1290 that the magazine is displaced by a magazine picker; and (c) the cartridge is displaced in a direction 1292, a horizontal direction in the vertical plane in which the face of the cartridge with the greatest surface area lies, by a cartridge picker to insert/extract the cartridge into/from the magazine.

[0272] Figure 40D illustrates an alternative embodiment of a magazine 1298 suitable for use in an alternative embodiment of a magazine-based data cartridge library relative to library 202. Each of the features in Fig. 40D that is substantially identical to a feature in Fig. 40A has been given the same reference number as the feature in Fig. 40A. When the magazine 1298 is operationally disposed on a shelf 1282 of the library, the magazine holds a cartridge 1284 such that: (a) a face 1286 of the cartridge with the greatest surface area lies in a vertical plane; (b) the surface vector 1288 of the face 1286 is substantially perpendicular to a direction 1290 that the magazine is displaced by a magazine picker; and (c) the cartridge is displaced in a direction 1292, a horizontal direction in the vertical plane in which the face of the cartridge with the greatest surface area lies, by a cartridge picker to insert/extract the cartridge into/from the magazine.

[0273] Figure 40E illustrates a cross-section of an alternative embodiment of a magazine 1300 suitable for use in an alternative embodiment of a

magazine-based data cartridge library relative to library 202. Each of the features in Fig. 40E that is substantially identical to a feature in Fig. 40A has been give the same reference number as the feature in Fig. 40A. When the magazine 1300 is operationally disposed on a shelf 1282 of the library, the magazine holds a cartridge 1284 such that: (a) a face 1286 of the cartridge with the greatest surface area lies in a vertical plane; (b) the surface vector 1288 of the face 1286 is substantially perpendicular to or substantially parallel to a direction that the magazine is displaced by a magazine picker; and (c) the cartridge is displaced in a direction 1292, a direction in the vertical plane in which the face of the cartridge with the greatest surface area lies that has both vertical and horizontal components, by a cartridge picker to insert/extract the cartridge into/from the magazine.

[0274]

Figure 40F illustrates a cross-section of alternative embodiment of a magazine 1302 suitable for use in an alternative embodiment of a magazine-based data cartridge library relative to library 202. Each of the features in Fig. 40F that is substantially identical to a feature in Fig. 40A has been give the same reference number as the feature in Fig. 40A. When the magazine 1302 is operationally disposed on a shelf 1282 of the library, the magazine holds a cartridge 1284 such that: (a) a face 1286 of the cartridge with the greatest surface area lies in a vertical plane; (b) the surface vector 1288 of the face 1286 is substantially perpendicular or substantially parallel to a direction that the magazine is displaced by a magazine picker; and (c) the cartridge is

displaced in a direction 1292, a direction in the vertical plane in which the face of the cartridge with the greatest surface area lies that has both vertical and horizontal components, by a cartridge picker to insert/extract the cartridge into/from the magazine.

[0275] With reference to Fig. 41A-41B, an alternative embodiment of a magazine 1306 is suitable for use with an alternative embodiment of a magazine-based data cartridge library relative to library 202. Each of the features in Figs. 41A-41B that is substantially identical to a feature in Fig. 40A has been given the same reference number as the feature in Fig. 40A. When the magazine 1304 is operationally disposed on a shelf 1282 of the library, the magazine holds a cartridge 1284 such that: (a) a face 1286 of the cartridge with the greatest surface area lies in a vertical plane; (b) the surface vector 1288 of the face 1286 is neither perpendicular or parallel to a direction that the magazine is displaced by a magazine picker; and (c) the cartridge is displaced in a direction 1292, a horizontal direction in the vertical plane in which the face of the cartridge with the greatest surface area lies, by a cartridge picker to insert/extract the cartridge into/from the magazine.

[0276] Also feasible is a magazine that holds cartridge in a vertical plane and in an orientation that is a combination of the orientations that magazine 1300 or magazine 1302 and magazine 1304 hold a cartridge.

[0277] It should be appreciated that the foregoing magazine designs are only exemplary of magazine designs that operatively hold magazines in a magazine-based data cartridge library in an orientation such that the

face of the cartridge with the greatest surface area lies in a vertical plane.

[0278] It should also be appreciated that the library 202 is also operable with magazines that hold cartridges such that the face of a cartridge with the greatest surface area does not lie in a vertical plane. With reference to Fig. 41, the library 202 is operable with a magazine 1306, shown in cross-section, that when supported on a shelf 1282 in the library 202, the cartridge resides in a plane that is between a vertical plane and a horizontal plane. Further, the cartridge transport 214 displaces the cartridge in this plane during insertion/extraction into/from the magazine. As side loading/unloading embodiment of the magazine is also feasible but would not be operable with the library 202 without modification. These magazine designs are only exemplary of magazine designs suit for use magazine-based data cartridge library.

[0279] With reference to Figs. 43A-43B, the expansion of the magazine-based data cartridge library 202 to include an add-on unit 1310 is discussed. Generally, the library 202 is capable of being expanded to include drive and/or magazine storage capability beyond that available in the library 202. The process of expanding the library 202 comprises obtaining the add-on unit 1310. The add-on unit 1310 can have any of a number of combinations of elements. For example, the add-on unit 1310 may be comprised entirely of shelving for storing magazines, entirely of drives or drive bay assemblies for accommodating drives, and various combinations of shelving and drives or drive bay assemblies. The add-

on unit 1310 can also comprise an entry/exit port. The add-on unit 1310 can also be another magazine-based data cartridge library that is capable of functioning independently of the library 202.

[0280] With reference to Fig. 43B, the process of making the expanded library is described from a schematic perspective. The library 202 has a magazine transporter space 1312 and a side 340 that is capable of being removed or modified so as to provide a path for transporting magazines between the library 202 and the add-on unit 1310. Located within the magazine transporter space 1312 are horizontally extending components that are associated with the magazine transporter 212 and are cumulatively represented as horizontal components 1314. One horizontally extending component is the guide shaft 780. Other embodiments may employ a different type of rail or use a rack-and-pinion type of device for moving a magazine picker. The add-on unit 1310 has a magazine transporter space 1316 and may or may not have horizontally extending components. If the add-on unit 1310 has such components they are cumulatively represented by horizontal components 1318. Generally, the add-on unit 1310 also has a side 1320 that is either removable or capable of being altered to expose the magazine transport space. Alternatively, the add-on unit 1310 may be built or designed so that the magazine space is exposed and no removal or alteration of a side is necessary.

[0281] The process of expanding the library 202 further comprises: (a) removing or modifying the side 340 of the library 202 to expose the

magazine transporter space 1312 of the library; (b) removing or altering, if necessary, the side 1320 of the add-on unit 1300 to expose the magazine transporter space 1316; (c) aligning the magazine transporter space 1312 of the library 202 with the magazine transporter space 1316 of the add-on 1310; and (d) replacing the horizontal components 1314 of the elevator of the library 202 that limit the horizontal movement of the magazine transport 212 and any horizontal components 1318 associated with the add-on 1310 that limit the horizontal movement of a magazine transported within the add-on with components that allow the magazine transport 212 to move within the magazine transporter space 1312 of the library 202, as well as the magazine transporter space 1316 of the add-on 1310. With respect to the replacing step, the guide shaft 780 is replaced with a single, continuous guide shaft that allows the magazine transport to function in the magazine transporter space 1312 of the library 202 and the magazine transporter space 1306 of the add-on 1310, and thereby form an expanded library 1322.

[0282]

With reference to Fig. 44A, an alternative approach to replacing a guide shaft or other member that is used to horizontally displace a magazine picker is to effectively lengthen the rod or other member by adding one or more segments to the guide shaft or member. As shown in Fig. 44A, a magazine-based library 1326A comprises a magazine transport space 1328A, a first magazine transport add-on space 1330A that is typically not used when the library 1326A is configured as a stand-

alone device, a first side 1332A that is either removable or modifiable to provide a path for a magazine transport to move between the first library 1326A and an add-on unit, and first horizontal magazine transport components 1334A that are adapted to mate with comparable components associated with the add-on unit. An add-on unit 1326B comprises a magazine transport space 1328B, a second magazine transport add-on space 1330B, a second side 1332B that is either removable or modifiable to provide a path for a magazine transport, and second horizontal magazine transport components 1334B that are adapted to mate with the first horizontal magazine components 1334A. In this embodiment, the first and second sides 1332A, 1332B are either removed or appropriately modified, the first and second magazine transport spaces 1328A, 1328B are aligned, and the first and second horizontal magazine transport components 1334A, 1334B are mated, thereby forming an expanded library 1336.

[0283]

With reference to Fig. 44B, another approach to replacing a guide shaft or other member that is used to horizontally displace a magazine picker is to effectively lengthen the rod or other member by adding one or more segments to the guide shaft or member. As shown in Fig. 44B, a magazine-based library 1340A comprises a magazine transport space 1342A, a first side 1344A that is either removable or modifiable to provide a path for a magazine transport to move between the library 1340A and an add-on unit, and first horizontal magazine transport components 1346A that are adapted to mate with a bridge components



that, in turn, are adapted to mate with comparable components associated with the add-on unit. An add-on unit 1340B comprises a magazine transport space 1342B, a second side 1344B that is either removable or modifiable to provide a path for a magazine transport, and second horizontal magazine transport components 1346B that are adapted to mate with the bridge components. In this embodiment, the first and second sides 1344A, 1344B are either removed or appropriately modified, the first and second magazine transport spaces 1342A, 1342B are aligned, and the first and second horizontal magazine transport components 1346A, 1346B are mated to one another by an intermediate bridge component 1348, thereby forming the expanded library 1350.

[0284] It should be appreciated that with respect to the various method for expanding a magazine-based data cartridge library exemplified in Figs.43B, 44A and 44B that further expansion of the magazine-based data cartridge library with additional add-on units is feasible.

[0285] Figures 45A-45E illustrate various embodiments of magazine pass-through ports that are each capable of passing a magazine between a first magazine-based library 1354A with a first magazine transporter 1356A and a second magazine-based library 1354B with a second magazine transporter 1356B. With reference to Fig. 45A, a magazine pass-through port 1358 is provided that allows the first magazine transporter 1356A to move a magazine to and from a magazine storage space 1360 that is associated with the second magazine-based library

1354B and accessible by the second magazine transport 1356B.

[0286] With reference to Fig. 45B, a magazine pass-through port 1362 is provided in which first and second magazine transporters 1356A, 1356B are each able to access a space 1364 that is located between the first and second libraries 1354A, 1354B and pass a magazine from one to the other using the space 1364.

[0287] With reference to Fig. 45C, a magazine pass-through port 1366 is provided that utilizes an intermediate transport device 1368 to move a magazine between a first space 1370A that is accessible to a first magazine transporter 1356A and a second space 1370B that is accessible to a second magazine transporter 1356B. Another embodiment uses a chute to pass a magazine from a first space, such as the first space 1370A, associated with one library to a second space, such as the second space 1370B, associated with another library.

[0288] With reference to Fig. 45D, the first and second magazine-based libraries 1354A, 1354B are each magazine-based libraries with circular or cylindrical layouts. A magazine pass-through port 1372 is provided that utilizes an intermediate transport device 1374 to move a magazine between a first space 1376A that is accessible to a first magazine transporter 1356A and a second space 1376B that is accessible to the second magazine transporter 1356B. Alternatively, a magazine chute that extends from the library 1354A to library 1354B can be used. A second magazine chute from library 1354B to library 1354A is also feasible.

[0289] With reference to Fig. 45E, the first and second magazine-based libraries 1354A, 1354B are each magazine-based libraries with circular or cylindrical layouts. A magazine pass-through port 1378 is provided that utilizes an intermediate transport device in the form of a carousel device 1380 that is comprised of: (a) a carousel body 1382; and (b) a pair of magazine bays 1384A, 1384B that are capable of accommodating a magazine and are each accessible to the first magazine transporter 1356A and the second magazine transporter 1356B depending upon the rotational position of the carousel 1380. A carousel with one or more than two bays is feasible. The carousel device also comprises an electric motor (not shown) that is used to rotate the carousel body 1382 about an axis 1386 as needed.